

Ref. No.: AUSFB/SEC/2021-22/360

Date: 14th March, 2022

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir/Madam,

Sub: Clarification regarding inquiries related to a social media/news portal post

The Bank has received few queries seeking clarification in relation to a social media/news portal post. The management believes that the Bank is continuously being targeted by certain groups/individuals through social media messages/rumours which are unsubstantiated, misleading, and malicious in nature.

In the interest of all stakeholders, the Bank would like to clarify the following:

1. The Bank is not aware of any investigation of any nature by the Reserve Bank of India (RBI) into any of its top three senior executives and/or the Key Managerial Personnel (KMP) of the Bank.
2. As a responsible banking institution, the Bank's correspondence with the regulator remains business as usual.
3. The "AU Insights" event, which appears to have been alluded to in the social media messages, was announced in Q3'FY22 earnings call held on 28th January, 2022. The strategic purpose of this event is to provide insights into our business units. The Bank has conducted 2 of such sessions and would continue to hold these sessions in coming months. The presentations of these sessions can be accessed at <https://www.aubank.in/investors/au-insights>.

We earnestly hope that this communication will put an end to any speculation linking the referenced social media post with AU Bank.

As a public trust institution and a listed entity, the Bank puts utmost importance on our compliance and communication. The Bank is seeking legal counselling and will reach out to the regulatory authorities in due course to seek an investigation in this matter.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED




Manmohan Parnami

Company Secretary and Compliance Officer

Membership No.: F9999

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Formerly known as **Au Financiers (India) Limited**