

**Ref. No.: AUSFB/SEC/2022-23/166**

**Date: 9<sup>th</sup> July, 2022**

To,

<b>National Stock Exchange of India Ltd.</b> Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. <b>NSE Symbol: AUBANK</b>	<b>BSE Limited</b> Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. <b>Scrip Code: 540611</b>
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Dear Sir/Madam,

**Sub: Intimation of the Board Meeting of AU Small Finance Bank Limited ("Bank") to be held on Tuesday, 19<sup>th</sup> July, 2022 & Wednesday, 20<sup>th</sup> July, 2022**

**Ref: Regulation 29(1) & 50(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

In continuation to our intimation for closure of Trading Window for trading in the securities of the Bank vide letter bearing Ref. No. AUSFB/SEC/2022-23/150 dated 30<sup>th</sup> June, 2022, this is to inform that meeting of the Board of Directors of the Bank will be held on Tuesday, 19<sup>th</sup> July, 2022 and will continue on Wednesday, 20<sup>th</sup> July, 2022 at Jaipur.

The Board of Directors will consider and approve, inter-alia, the following at its meeting on Wednesday, 20<sup>th</sup> July, 2022:

- a) Unaudited Financial Results (subjected to limited review by the statutory auditors) for the Quarter ended 30<sup>th</sup> June, 2022;
- b) Raising of funds by issue of equity shares through private placement or qualified institutions placement (QIP) or preferential allotment or through a combination thereof or any other alternative mode, as may be considered appropriate, subject to shareholders approval and government/regulatory/ statutory approvals, and requirements, as applicable; and
- c) Borrowing/raising of funds by issue of debt instruments including but not limited to Bonds and Non-Convertible Debentures or such other debt securities as may be permitted by RBI from time to time, subject to necessary approvals and requirements, as applicable.

The Board would also consider convening annual general meeting and fix record date for dividend recommended by the Board at its meeting held on 25<sup>th</sup> & 26<sup>th</sup> April 2022.

**Trading Window Closure:**

Pursuant to the provisions of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and the Bank's Code of Conduct - Prohibition of Insider Trading, it is hereby informed that the Trading window for trading in securities of the Bank shall remain closed for the Designated Persons and their immediate relatives

**Registered Office**

**AU SMALL FINANCE BANK LIMITED**  
19-A Dhuleshwar Garden, Ajmer Road,  
Jaipur - 302001, Rajasthan, India  
**Phone:** +91 141 4110060/61, **Fax:** +91 141 4110090  
CIN: L36911RJ1996PLC011381  
Formerly known as **Au Financiers (India) Limited**

from Friday, 01<sup>st</sup> July, 2022 till Friday, 22<sup>nd</sup> July, 2022 and hence, they are not permitted to trade in the securities of the Bank till Friday, 22<sup>nd</sup> July, 2022.

We request you to take the above intimation on records and bring the above to the notice of all concerned.

This is for your information and records.

Thanking You,

Yours faithfully,

**For AU SMALL FINANCE BANK LIMITED**



**Manmohan Parnami**  
**Company Secretary and Compliance Officer**  
**Membership No.: F9999**  
[investorrelations@aubank.in](mailto:investorrelations@aubank.in)

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