

Ref. No.: AUSFB/SEC/2020-21/417
Date: 9th March, 2021

To,

BSE Limited Phiroze Jeejeebhoy Towers Dalal Street, Mumbai- 400001 Maharashtra Scrip Code: 540611	National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai – 400051 Maharashtra Symbol: AUBANK
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Dear Sir/Madam,

Subject: Intimation under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 ("SEBI LODR Regulations 2015").

This is to inform that AU Small Finance Bank Limited ("**Bank**") has received an intimation from Mr. Uttam Tibrewal, Whole Time Director of the Bank of his intention to sell up to 10,00,000 (upto Ten Lakh) equity shares of the Bank due to his personal commitments, out of shares held by him, subject to receipt of preclearance and approvals as required under the Code of conduct – Prohibition of Insider Trading Policy of the Bank and under SEBI (Prohibition of Insider Trading) Regulations, 2015. The requisite disclosure has been made in Preliminary Placement Document filed by the Bank on 9th March 2021 for proposed Qualified Institutions Placement ("**QIP**") of the Bank.

Further, the said sell of shares, if any, will be carried out post completion of the proposed QIP of the Bank.

We request you to kindly take this on records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary & Compliance Officer
Membership No.: F9999



SEARCHABLE FORMAT

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