

Ref. No.: AUSFB/SEC/2024-25/320

Date: November 08, 2024

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir/Madam,

Sub: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("**Listing Regulations**"), this is to inform that a penalty of Rs. 10,000 is imposed on the Bank by Ahmedabad office of the Reserve Bank of India on account of "Cash-out" in one of the Bank's ATM.

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 are given in the enclosed **Annexure - I**.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Vimal Jain
Chief Financial Officer
investorrelations@aubank.in

Annexure – I

**Details required under Regulation 30 of the Listing Regulations read with
SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023**

Sr. No.	Details of events that need to be provided	Information of such event(s)
1.	Name of the authority	Ahmedabad office of the Reserve Bank of India
2.	Nature and details of the action(s) taken, initiated or order(s) passed	Imposition of a monetary penalty of Rs. 10,000/-
3.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority;	November 08, 2024
4.	Details of the violation(s)/contravention(s) committed or alleged to be committed;	On account of "Cash-out" in one of the Bank's ATM
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Rs. 10,000/-

Registered Office

AU SMALL FINANCE BANK LIMITED
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 CIN: L36911RJ1996PLC011381