



Ref. No. : AUSFB/SEC/2019-20/501

Date: 06th March, 2020

To,

National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra.	The BSE Limited, Phiroz Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra.
Symbol: AUBANK	Scrip Code: 540611

Dear Sir(s),

Sub: Intimation pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations') regarding re-appointment of the Independent Directors of AU Small Finance Bank Limited ('the Bank').

In reference to the Regulation 30 of Listing Regulations, we wish to inform that on the recommendation of the Board of Directors of the Bank, shareholders through Postal Ballot have approved the reappointment of:

1. **Mr. Krishan Kant Rathi(DIN: 00040094)** as Independent Director of the Bank for second term for a period of 3 (Three) years commencing from 30th March, 2020.
2. **Ms. Jyoti Narang (DIN: 00351187)** as Independent Director of the Bank for second term for a period of 3 (Three) years commencing from 30th March, 2020.
3. **Mr. Raj Vikash Verma (DIN:03546341)** as Independent Director of the Bank for a second term for a period of 3 (Three) years commencing from 30th January 2021.

It is further confirmed that Mr. Krishan Kant Rathi, Ms. Jyoti Narang and Mr. Raj Vikash Verma are not debarred/disqualified from holding the office of Director by virtue of any SEBI order or any other such authority.

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. CIR/CFO/CMD/4/2015 dated 09th September 2015 are given as per enclosed Annexures.

You are requested to kindly take the above on record.

Thanking You,
Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
Encl: As above a/a

Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, Fax: +91 141 4110090
CIN: L36911RJ1996PLC011381
Formerly known as Au Financiers (India) Limited

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Annexure I

Re- appointment of Mr. Krishan Kant Rathi (DIN: 00040094) as Independent Director on the Board of AU SMALL FINANCE BANK LIMITED.

Sr. No.	Particulars	Information/ Details
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	The existing term of Mr. Krishan Kant Rathi (DIN: 00040094), Independent Director of the Bank is till 29th March, 2020 and on recommendation of Board of Directors, shareholders have approved re-appointment of Mr. Krishan Kant Rathi through Postal Ballot for second term for a period of 3 (three) years commencing from 30th March, 2020.
2.	Date of Appointment/ ~~cessation~~ & Terms of Appointment	30th March, 2020 For a period of 3 (three) years commencing from 30th March, 2020.

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3.	Brief Profile (in case of Appointment)	Heading	Details
		Brief	Mr. Krishan Kant Rathi has over 31 years of experience in Accounting, Corporate Finance, M&A, Capital Markets and Investing. He has held senior positions at some of India's most respected business houses such as Future Group, H & R Johnson (I) Limited, KEC International Limited, Motilal Oswal Private Equity Fund, Future Consumer Limited (erstwhile Future Ventures India Limited).
		Qualification	- Chartered Accountant - The Institute of Chartered Accountants of India. (All India Rank Holder) - Company Secretary - The Institute of Company Secretaries of India B. Com. (Hons) - University of Rajasthan, Jaipur
		Experience	Mr. Krishan Kant Rathi has experience of over 31 years at senior positions at some of India's most reputed Business house and possesses special knowledge and practical experience in field of Accountancy, Banking, Economics, Finance, Law and Small & Medium Scale Industry.
		Other Key Details	Mr. Krishan Kant Rathi expertise lies in developing robust systems driven processes, finance & accounts, improving working capital management, risk management, treasury management, strategic business planning, mergers & acquisitions, investments and investor relations.
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Mr. Krishan Kant Rathi is not related to any Director of the Bank.	

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Annexure II

Re -appointment of Ms. Jyoti Narang (DIN: 00351187) as Independent Director on the Board of AU SMALL FINANCE BANK LIMITED

Sr. No.	Particulars	Information/ Details
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	The existing term of Ms. Jyoti Narang (DIN: 00351187), Independent Director of the Bank is till 29th March, 2020 and on recommendation of Board of Directors, shareholders have approved re-appointment of Ms. Jyoti Narang through Postal Ballot for second term for a period of 3 (three) years commencing from 30th March, 2020.
2.	Date of Appointment/ ~~cessation~~ & Terms of Appointment	30th March, 2020 For a period of 3 (Three) years commencing from 30th March, 2020.



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3.	Brief Profile (in case of Appointment)	Heading	Details
		Brief	Ms. Jyoti Narang has been a business leader in the hospitality and services industry having 40 years of experience with strong strategic perspective. Ms. Narang was the Chief Operating Officer for Taj Hotels, a group of luxury hotels and a founding member of Experience India Society that created the first global campaign for marketing India.
		Qualification	• MBA in Finance with additional specialization in Marketing, Faculty of Management Studies, Delhi. • BA in Economics from Lady Shriram College, University of Delhi. • Advanced Management Programme, Harvard Business School, USA.
		Experience	Ms. Jyoti Narang carries vast experience of 40 years, she possesses knowledge and practical experience in the field of Banking, Economics & Finance, Administration, Hospitality, Customer Service and Business Management.
		Other Key Details	Ms. Jyoti Narang expertise lies in the understanding of diverse consumer lifestyles, management of human capital and the impact of technology on both distribution and operations. She is also actively engaged in creating a networking platform that will provide equal opportunity for women seeking Board positions.
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Ms. Jyoti Narang is not related to any Director of the Bank.	

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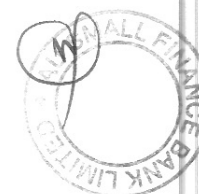




Annexure III

Re- appointment of Mr. Raj Vikash Verma (DIN:03546341) as Independent Director on the Board of AU SMALL FINANCE BANK LIMITED.

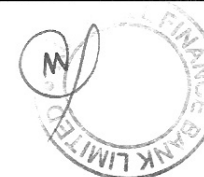
Sr. No.	Particulars	Information/ Details
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	The existing term of Mr. Raj Vikash Verma (DIN:03546341), Independent Director of the Bank is till 29th January, 2021 and on recommendation of Board of Directors, shareholders have approved re-appointment of Mr. Raj Vikash Verma through Postal Ballot as Independent Director for a second term for a period of 3 (three) years commencing from 30th January 2021.
2.	Date of Appointment/ ~~Cessation~~ & Terms of Appointment	30 th January, 2021 For a period of 3 (three) years commencing from 30th January 2021.



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3.	Brief Profile (in case of Appointment)	Heading	Details
		Brief	Mr. Raj Vikash Verma, Independent Director is associated with the Bank since 2018. He was appointed as Additional Director (Independent) on January 30, 2018. Subsequently, appointed as Independent Director on August 07, 2018. He has over 37 years of experience and is an Expert of Housing & Finance sector and he led the Regulatory Evolution of Housing Sector in the India. Further, he was associated with Reserve Bank of India and possess in depth knowledge of regulatory aspects of BFSI sector.
		Qualification	• Certified Associate of the Indian Institute of Bankers (CAIIB) • Master's in business administration, FMS, Delhi University • Master's in economics, Delhi School of Economics, Delhi University • BA (Honors), Economics, Delhi University
		Experience	Mr. Raj Vikash Verma is a passionate leader and great administrator who possesses over 37 years of experience in the financial sector, particularly in the field of development finance and regulatory bodies and this includes about 29 years in the housing, mortgage finance, banking and real estate sector.



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		Other Key Details	Mr. Raj Vikash Verma has brought insight to the Board of the Bank. His knowledge of Banking and Financial services including housing, mortgage and regulatory understanding has been significant in driving the Board and Organization in right direction. His experience, networking, expertise and leadership capability in the financial industry and strong business acumen is well acknowledged at industry level. He constantly engages with the policy makers, regulators, domestic and international financial institutions, and industry bodies which altogether has brought rich dimensions and value to his perspective. He takes active part in team building initiatives, developing vision and mission for the institution, chairing high level boards comprising eminent professionals including international representatives and senior bureaucrats, policy formulation and guiding the development financing, regulatory, market infrastructure initiatives, advocacy role under a social and economic charter etc.
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Mr. Raj Vikash Verma is not related to any Director of the Bank.	



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SEARCHABLE FORMAT

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Symbol: AUBANK	Scrip Code: 540611

Dear Sir(s),

Sub: Intimation pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations') regarding re-appointment of the Independent Directors of AU Small Finance Bank Limited ('the Bank').

In reference to the Regulation 30 of Listing Regulations, we wish to inform that on the recommendation of the Board of Directors of the Bank, shareholders through Postal Ballot have approved the reappointment of:

1. **Mr. Krishan Kant Rathi(DIN: 00040094)** as Independent Director of the Bank for second term for a period of 3 (Three) years commencing from 30th March, 2020.
2. **Ms. Jyoti Narang (DIN: 00351187)** as Independent Director of the Bank for second term for a period of 3 (Three) years commencing from 30th March, 2020.
3. **Mr. Raj Vikash Verma (DIN:03546341)** as Independent Director of the Bank for a second term for a period of 3 (Three) years commencing from 30th January 2021.

It is further confirmed that Mr. Krishan Kant Rathi, Ms. Jyoti Narang and Mr.Raj Vikash Verma are not debarred/disqualified from holding the office of Director by virtue of any SEBI order or any other such authority.

The details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. CIR/CFO/CMD/4/2015 dated 09th September 2015 are given as per enclosed Annexures.

You are requested to kindly take the above on record.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami

Company Secretary and Compliance Officer

Membership No.: F9999

Encl: As above a/a

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Annexure I

Re- appointment of Mr. Krishan Kant Rathi (DIN: 00040094) as Independent Director on the Board of AU SMALL FINANCE BANK LIMITED.

Sr. No.	Particulars	Information/ Details
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	The existing term of Mr. Krishan Kant Rathi (DIN: 00040094), Independent Director of the Bank is till 29th March, 2020 and on recommendation of Board of Directors, shareholders have approved re-appointment of Mr. Krishan Kant Rathi through Postal Ballot for second term for a period of 3 (three) years commencing from 30th March, 2020.
2.	Date of Appointment/ ~~cessation~~ & Terms of Appointment	30th March, 2020 For a period of 3 (three) years commencing from 30th March, 2020.

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3.	Brief Profile (in case of Appointment)	Heading	Details
		Brief	Mr. Krishan Kant Rathi has over 31 years of experience in Accounting, Corporate Finance, M&A, Capital Markets and Investing. He has held senior positions at some of India's most respected business houses such as Future Group, H & R Johnson (I) Limited, KEC International Limited, Motilal Oswal Private Equity Fund, Future Consumer Limited (erstwhile Future Ventures India Limited).
		Qualification	- Chartered Accountant - The Institute of Chartered Accountants of India. (All India Rank Holder) - Company Secretary - The Institute of Company Secretaries of India B. Com. (Hons) - University of Rajasthan, Jaipur
		Experience	Mr. Krishan Kant Rathi has experience of over 31 years at senior positions at some of India's most reputed Business house and possesses special knowledge and practical experience in field of Accountancy, Banking, Economics, Finance, Law and Small & Medium Scale Industry.
		Other Key Details	Mr. Krishan Kant Rathi expertise lies in developing robust systems driven processes, finance & accounts, improving working capital management, risk management, treasury management, strategic business planning, mergers & acquisitions, investments and investor relations.
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Mr. Krishan Kant Rathi is not related to any Director of the Bank.	

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Annexure II

Re -appointment of Ms. Jyoti Narang (DIN: 00351187) as Independent Director on the Board of AU SMALL FINANCE BANK LIMITED

Sr. No.	Particulars	Information/ Details
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	The existing term of Ms. Jyoti Narang (DIN: 00351187), Independent Director of the Bank is till 29th March, 2020 and on recommendation of Board of Directors, shareholders have approved re-appointment of Ms. Jyoti Narang through Postal Ballot for second term for a period of 3 (three) years commencing from 30th March, 2020.
2.	Date of Appointment/ ~~cessation~~ & Terms of Appointment	30th March, 2020 For a period of 3 (Three) years commencing from 30th March, 2020.

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3.	Brief Profile (in case of Appointment)	Heading	Details
		Brief	Ms. Jyoti Narang has been a business leader in the hospitality and services industry having 40 years of experience with strong strategic perspective. Ms. Narang was the Chief Operating Officer for Taj Hotels, a group of luxury hotels and a founding member of Experience India Society that created the first global campaign for marketing India.
		Qualification	• MBA in Finance with additional specialization in Marketing, Faculty of Management Studies, Delhi. • BA in Economics from Lady Shriram College, University of Delhi. • Advanced Management Programme, Harvard Business School, USA.
		Experience	Ms. Jyoti Narang carries vast experience of 40 years, she possesses knowledge and practical experience in the field of Banking, Economics & Finance, Administration, Hospitality, Customer Service and Business Management.
		Other Key Details	Ms. Jyoti Narang expertise lies in the understanding of diverse consumer lifestyles, management of human capital and the impact of technology on both distribution and operations. She is also actively engaged in creating a networking platform that will provide equal opportunity for women seeking Board positions.
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Ms. Jyoti Narang is not related to any Director of the Bank.	

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Annexure III

Re- appointment of Mr. Raj Vikash Verma (DIN:03546341) as Independent Director on the Board of AU SMALL FINANCE BANK LIMITED.

Sr. No.	Particulars	Information/ Details
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	The existing term of Mr. Raj Vikash Verma (DIN:03546341), Independent Director of the Bank is till 29th January, 2021 and on recommendation of Board of Directors, shareholders have approved re-appointment of Mr. Raj Vikash Verma through Postal Ballot as Independent Director for a second term for a period of 3 (three) years commencing from 30th January 2021.
2.	Date of Appointment/ ~~Cessation~~ & Terms of Appointment	30 th January, 2021 For a period of 3 (three) years commencing from 30th January 2021.

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3.	Brief Profile (in case of Appointment)	Heading	Details
		Brief	Mr. Raj Vikash Verma, Independent Director is associated with the Bank since 2018. He was appointed as Additional Director (Independent) on January 30, 2018. Subsequently, appointed as Independent Director on August 07, 2018. He has over 37 years of experience and is an Expert of Housing & Finance sector and he led the Regulatory Evolution of Housing Sector in the India. Further, he was associated with Reserve Bank of India and possess in depth knowledge of regulatory aspects of BFSI sector.
		Qualification	• Certified Associate of the Indian Institute of Bankers (CAIIB) • Master's in business administration, FMS, Delhi University • Master's in economics, Delhi School of Economics, Delhi University • BA (Honors), Economics, Delhi University
		Experience	Mr. Raj Vikash Verma is a passionate leader and great administrator who possesses over 37 years of experience in the financial sector, particularly in the field of development finance and regulatory bodies and this includes about 29 years in the housing, mortgage finance, banking and real estate sector.

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		Other Key Details	Mr. Raj Vikash Verma has brought insight to the Board of the Bank. His knowledge of Banking and Financial services including housing, mortgage and regulatory understanding has been significant in driving the Board and Organization in right direction. His experience, networking, expertise and leadership capability in the financial industry and strong business acumen is well acknowledged at industry level. He constantly engages with the policy makers, regulators, domestic and international financial institutions, and industry bodies which altogether has brought rich dimensions and value to his perspective. He takes active part in team building initiatives, developing vision and mission for the institution, chairing high level boards comprising eminent professionals including international representatives and senior bureaucrats, policy formulation and guiding the development financing, regulatory, market infrastructure initiatives, advocacy role under a social and economic charter etc.
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Mr. Raj Vikash Verma is not related to any Director of the Bank.	

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