

Ref. No.: AUSFB/SEC/2021-22/207

Date: 5th October 2021

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir/Madam,

Sub: Intimation under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015- Allotment of Equity Shares pursuant to exercise of Employees Stock Options

We hereby inform that the Bank has allotted 4,46,204 equity shares of face value of Rs. 10/- each on 5th October, 2021 pursuant to exercise of equivalent number of Employee Stock Options under the following ESOP Schemes as per the applicable regulations:

Name of Scheme	No. of Equity Shares
ESOP Scheme 2016	24,758
ESOP Scheme 2018	4,21,446
Total	4,46,204

The paid-up equity share capital of the Bank has increased from Rs. 313,11,04,110 consisting of 31,31,10,411 equity shares having a face value of Rs. 10/- each to Rs. 313,55,66,150 consisting of 31,35,56,615 equity shares having a face value of Rs. 10/- each.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED




Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in

Registered Office

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Formerly known as **Au Financiers (India) Limited**