Ref. No.: AUSFB/SEC/2025-26/468
Date: March 2, 2026

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. **NSE Symbol: AUBANK**	**BSE Limited** Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. **Scrip Code: 540611, 974093, 974094, 974095, 974914, 974963, 975017, 975038 & 976580**

Dear Sir/Madam,

Sub: Voting Results and Scrutinizer's Report of the Postal Ballot of AU Small Finance Bank Limited

Ref: Regulation 30, 44(3) and 51 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

In continuation to our letter dated January 29, 2026, regarding notice of Postal Ballot and pursuant to Regulation 44(3) of the Listing Regulations and Section 110 of the Companies Act, 2013 read with Rule 22 of the Companies (Management and Administration) Rules, 2014, we hereby inform that the following resolutions have been duly passed by the members of the Bank with requisite majority:

Item No.	Agenda Item	Type of Resolution
1.	To approve the appointment of Mr. Phani Shankar (DIN: 09663183) as an Independent Director of the Bank	Special Resolution
2.	To approve the amendments in AU Employees Stock Option Scheme 2023 ("AU ESOS 2023")	Special Resolution

The voting results in the format prescribed and Scrutinizer's Report for Postal Ballot via remote e-voting are enclosed as **Annexure-I.**

This is for your information and records.

Thanking You,

Yours faithfully,
For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami

Digitally signed by Manmohan Parnami
Date: 2026.03.02 17:16:38 +05'30'

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in

Encl: As above

Annexure-I

AU Small Finance Bank Limited

Date of the Postal Ballot: a) Date of Postal Ballot Notice b) Date of Declaration of Result of Postal Ballot	 January 20, 2026 March 2, 2026
Last Date of receipt of Postal Ballot Form/ e-voting	February 28, 2026
Total number of shareholders on record date (cut-off date for ascertaining the shareholders to whom the notice of Postal Ballot was sent and also reckoning voting rights i.e. cut-off date for Beneficiary Position)	1,86,310
No. of shareholders present in the meeting either in person or through proxy: Promoters and Promoter Group: Public:	Not Applicable
No. of Shareholders attended the meeting through Video Conferencing: Promoters and Promoter Group: Public:	Not Applicable

Resolution Required: Special			ITEM NO. 1 - TO APPROVE THE APPOINTMENT OF MR. PHANI SHANKAR (DIN: 09663183) AS AN INDEPENDENT DIRECTOR OF THE BANK.					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	170298412	170298412	100.0000	170298412	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		**170298412**	**100.0000**	**170298412**	**0**	**100.0000**	**0.0000**
Public Institutions	E-Voting	506111907	391971878	77.4477	391971878	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		**391971878**	**77.4477**	**391971878**	**0**	**100.0000**	**0.0000**
Public Non Institutions	E-Voting	70993161	21938619	30.9024	21937231	1388	99.9937	0.0063
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		**21938619**	**30.9024**	**21937231**	**1388**	**99.9937**	**0.0063**
Total		**747403480**	**584208909**	**78.1651**	**584207521**	**1388**	**99.9998**	**0.0002**

Registered Office
AU SMALL FINANCE BANK LIMITED
19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India
Phone: +91 141 4110060/61, **Fax:** +91 141 4110090
CIN: L36911RJ1996PLC011381

Resolution Required: Special			ITEM NO. 2 - TO APPROVE THE AMENDMENTS IN AU EMPLOYEES STOCK OPTION SCHEME 2023 ("AU ESOS 2023")					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	170298412	170298412	100.0000	170298412	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		**170298412**	**100.0000**	**170298412**	**0**	**100.0000**	**0.0000**
Public Institutions	E-Voting	506111907	391953549	77.4440	294376093	97577456	75.1048	24.8952
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		**391953549**	**77.4440**	**294376093**	**97577456**	**75.1048**	**24.8952**
Public Non Institutions	E-Voting	70993161	21938619	30.9024	21936662	1957	99.9911	0.0089
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		**21938619**	**30.9024**	**21936662**	**1957**	**99.9911**	**0.0089**
Total		**747403480**	**584190580**	**78.1627**	**486611167**	**97579413**	**83.2966**	**16.7034**

V. M. & ASSOCIATES

COMPANY SECRETARIES

403, ROYAL WORLD
SANSAR CHANDRA ROAD
JAIPUR—302 001 (RAJASTHAN)

Phone: 0141 - 4075010
E-mail: cs.vmanda@gmail.com

Scrutinizer's Report

To,
The Chairman
AU Small Finance Bank Limited
19-A Dhuleshwar Garden
Jaipur –302001 (Rajasthan)

Dear Sir,

Sub: Scrutinizer's Report on Postal Ballot by way of Electronic Voting ("e-voting").

The Board of Directors of **AU Small Finance Bank Limited** (hereinafter referred to as "**the Bank**") at its Meeting held on Tuesday, January 20, 2026 has appointed me as the scrutinizer for scrutinizing the Postal Ballot by way of remote e-voting pursuant to Sections 108 and 110 of the Companies Act, 2013 read with Rule 20 and 22 of the Companies (Management and Administration) Rules, 2014 (as amended) and in accordance with Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

The management of the Bank is responsible to ensure the compliance with the requirements of the relevant provisions of Sections 108 and 110 of the Companies Act, 2013 read with Rule 20 and 22 of the Companies (Management and Administration) Rules, 2014 and Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, (including any statutory modification(s), clarification(s), substitution(s) or re-enactment(s) thereof for the time being in force) and the guidelines prescribed by the Ministry of Corporate Affairs ("MCA") for holding general meetings/conducting postal ballot process through e-voting vide General Circular No. 03/2025 dated September 22, 2025 read along with other connected circulars issued from time to time in this regard ("MCA Circulars"), relating to postal ballot including voting by electronic means for the resolution contained in the Notice of Postal Ballot dated January 20, 2026.

My responsibility as a Scrutinizer is restricted to making a Scrutinizer's Report of the votes cast "in favor" and/or "against" the resolution stated in the notice of Postal Ballot, based on the reports generated/data downloaded from the e-voting system provided by Central Depository Services (India) Limited, the Agency Authorized under the Rules and engaged by the Bank to provide e-voting facility.

Report on Scrutiny:

- The Bank had appointed Central Depository Services (India) Limited (hereinafter referred to as "**CDSL / Service Provider**") as the Service Provider, for the purpose of extending the facility of remote e-voting to the Shareholders of the Bank. MUFG Intime India Private Limited (Formerly known as Link Intime India Private Limited) is the Registrar and Share Transfer Agent (hereinafter referred to as "**RTA**") of the Bank.

- The Service Provider had provided a system for recording the votes of the Shareholders electronically on the items of business stated in the Notice of Postal Ballot dated January 20, 2026.

MANOJ MAHESHWARI

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- The Service Provider had set up an electronic voting facility on their website, https://www.evotingindia.com. The Bank had uploaded the item of business to be transacted through Postal Ballot on the website of the Service Provider to facilitate their Shareholders to cast their vote through Remote E-voting.

- The internal cut-off date for the dispatch of the Notice of Postal Ballot was Friday, January 23, 2026 and as on that date, there were 1,86,310 (One Lakh Eighty Six Thousand Three Hundred and Ten) Shareholders of the Bank.

- The Bank informed that in compliance with the MCA Circulars and on the basis of the Register of Members and the list of Beneficial Owners made available by the RTA of the Bank and the depositories viz., National Securities Depository Limited ("NSDL") and Central Depository Services (India) Limited ("CDSL") respectively, the Service Provider completed dispatch of Notice of Postal Ballot along-with e-voting details on Thursday, January 29, 2026 by E-mail to 1,80,473 (One Lakh Eighty Thousand Four Hundred and Seventy Three) shareholders who had already registered their Email IDs with the Bank / Depositories.

- The notices sent contained the detailed procedure to be followed by the Shareholders who were desirous of casting their votes electronically as provided in Rule 20.

- As stated in Rule 22 of The Companies (Management and Administration) Rules, 2014 (as amended), an advertisement was published by the Bank on Friday, January 30, 2026, in “Financial Express”, English newspaper in English language and in “Nafa Nuksan” Vernacular language newspaper in vernacular language, informing about the completion of the dispatch of the Notice of Postal Ballot, by means of E-mail to the shareholders along with other related matters mentioned therein.

- The Cut-off date for the purpose of identifying Shareholders who will be entitled to vote on the resolution contained in the Notice of Postal Ballot was Friday, January 23, 2026.

- The remote e-voting period commenced from Friday, January 30, 2026 at 09:00 A.M. and concluded on Saturday, February 28, 2026 at 05:00 P.M.

- At the end of the voting period on Saturday, February 28, 2026 at 05:00 P.M., the voting portal of the Service Provider was blocked forthwith.

- After completion of e-voting, the votes cast by the Shareholders were unblocked and downloaded from the e-voting website of CDSL / Service Provider (https://www.evotingindia.com) in the presence of two witnesses, who are not in the employment of the Bank as prescribed in Sub Rule 4(xii) of the said Rule 20. The e-voting data/results downloaded from the e-voting system of CDSL were scrutinized and reviewed, the votes were counted, and the results were prepared.

- Based on the data downloaded from CDSL e-voting system, the total votes cast in favour or against on the resolution proposed in the Notice of Postal Ballot are as under:

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V. M. & ASSOCIATES
COMPANY SECRETARIES

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Item No. 1: Special Resolution:
TO APPROVE THE APPOINTMENT OF MR. PHANI SHANKAR (DIN: 09663183) AS AN INDEPENDENT DIRECTOR OF THE BANK

Total No. of Shareholders/folios	1,86,310		
Total No. of Shares	74,74,03,480		
e-voting Period	From 09:00 A.M. on Friday, January 30, 2026 till 05:00 P.M. on Saturday, February 28, 2026		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	1,086	58,42,08,909
~~Total Votes cast through Postal Ballot forms received~~	B	--	--
Grand Total of remote e-voting/Postal Ballot Forms (A+B)	C	1,086	58,42,08,909
Less: Invalid remote e-voting~~/ Postal Ballot Forms*(On account of signature mismatch, for/against option not indicated/ abstained/ less voted)~~	D	--	--
Net remote e-voting/Postal Ballot Forms (C-D)	**E**	**1,086**	**58,42,08,909**

SUMMARY OF VOTING

Promoter/Public	**No. of shares held (1)**	**No. of votes polled (2)**	**% of votes polled on outstanding shares (3)=[(2)/(1)]*100**	**No. of votes in Favour (4)**	**No. of Votes against (5)**	**% of votes in favour on votes polled (6)=[(4)/(2)]*100**	**% of votes against on votes polled (7)=[(5)/(2)]*100**
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	50,61,11,907	39,19,71,878	77.4477	39,19,71,878	0	100.0000	0.0000
Public- others	7,09,93,161	2,19,38,619	30.9024	2,19,37,231	1,388	99.9937	0.0063
Total	**74,74,03,480**	**58,42,08,909**	**78.1651**	**58,42,07,521**	**1,388**	**99.9998**	**0.0002**

Percentage of votes cast in favour: 99.9998% | Percentage of votes cast against: 0.00002%

RESULT:-

Since, the number of votes cast in favour of the resolution is **99.9998%,** based on the aforesaid result, I report that the **Special Resolution** as set out at **Item No. 1** in the notice of postal ballot dated January 20, 2026 has been passed by the shareholders with requisite majority. The resolution is deemed to be passed as on the last date specified for receipt of remote e-voting i.e. February 28, 2026.

MANOJ MAHESHWARI

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COMPANY SECRETARIES

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Item No. 2: Special Resolution:
TO APPROVE THE AMENDMENTS IN AU EMPLOYEES STOCK OPTION SCHEME 2023 ("AU ESOS 2023")

Total No. of Shareholders/folios	1,86,310		
Total No. of Shares	74,74,03,480		
e-voting Period	From 09:00 A.M. on Friday, January 30, 2026 till 05:00 P.M. on Saturday, February 28, 2026		
		Number of Votes/Folio	**Number of shares**
Total votes cast through remote e-voting	A	1,086	58,41,90,580
~~Total Votes cast through Postal Ballot forms received~~	B	--	--
Grand Total of remote e-voting/Postal Ballot Forms (A+B)	C	1,086	58,41,90,580
Less: Invalid remote e-voting~~/ Postal Ballot Forms*(On account of signature mismatch, for/against option not indicated/ abstained/ less voted)~~	D	--	--
Net remote e-voting/Postal Ballot Forms (C-D)	**E**	**1,086**	**58,41,90,580**

SUMMARY OF VOTING

Promoter/Public	**No. of shares held (1)**	**No. of votes polled (2)**	**% of votes polled on outstanding shares (3)=[(2)/(1)]*100**	**No. of votes in Favour (4)**	**No. of Votes against (5)**	**% of votes in favour on votes polled (6)=[(4)/(2)]*100**	**% of votes against on votes polled (7)=[(5)/(2)]*100**
Promoter and Promoter group	17,02,98,412	17,02,98,412	100.0000	17,02,98,412	0	100.0000	0.0000
Public- Institutional Holders	50,61,11,907	39,19,53,549	77.4440	29,43,76,093	9,75,77,456	75.1048	24.8952
Public- others	7,09,93,161	2,19,38,619	30.9024	2,19,36,662	1,957	99.9911	0.0089
Total	**74,74,03,480**	**58,41,90,580**	**78.1627**	**48,66,11,167**	**9,75,79,413**	**83.2966**	**16.7034**

Percentage of votes cast in favour: 83.2966% | Percentage of votes cast against: 16.7034%

RESULT:-

Since, the number of votes cast in favour of the resolution is **83.2966%,** based on the aforesaid result, I report that the **Special Resolution** as set out at **Item No. 2** in the notice of postal ballot dated January 20, 2026 has been passed by the shareholders with requisite majority. The resolution is deemed to be passed as on the last date specified for receipt of remote e-voting i.e. February 28, 2026.

MANOJ MAHESHWARI

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A soft copy, containing a list of Equity Shareholders who voted "FOR" or "AGAINST" and those whose votes were declared invalid/ abstained/ voted for lesser shares, for the resolution is being handed over to the Company Secretary.

The electronic data and all other relevant records relating to the e-voting are in my safe custody and will be handed over to the Company Secretary and Compliance Officer of the Company for preserving safely after the Chairman considers, approves and signs the Minutes/ Report on Postal Ballot.

I thank you for the opportunity given to act as a Scrutinizer for the above Postal Ballot.

Yours Faithfully

MANOJ MAHESHWARI
Digitally signed by MANOJ MAHESHWARI
Date: 2026.03.02 16:27:26 +05'30'

CS Manoj Maheshwari
Scrutinizer
M. No.: FCS 3355 | C.P. No. 1971
Partner
V. M. & Associates
Company Secretaries
(ICSI Unique Code P1984RJ039200)

Place: Jaipur
Date: March 02, 2026
UDIN: F003355G004019807

Countersigned By:
For AU Small Finance Bank Limited

Manmohan Parnami
Digitally signed by Manmohan Parnami
Date: 2026.03.02 17:17:47 +05'30'

Manmohan Parnami
Company Secretary & Compliance Officer
M. No.: FCS 9999
(As authorized by Chairman of the Bank)