ASTEC

Astec LifeSciences Ltd.

Dated: 23rd July, 2019

To,
BSE Limited
P. J. Towers, Dalal Street, Fort,
Mumbai – 400 001.

Ref.: BSE Scrip Code No. "533138"

To,
National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East), Mumbai – 400 051.

Ref: "ASTEC"

Sub: Notice to Shareholders for Transfer of Equity Shares to Investor Education Protection Fund (IEPF)

Dear Sir / Madam,

Pursuant to Regulation 30 and Regulation 47 read with Para A of Part A of Schedule III to the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached, Notice sent to the concerned Shareholders on 23rd July, 2019 in respect of transfer of Equity Shares of the Company against which Dividend has not been paid or claimed for 7 (seven) consecutive years (i.e., Dividend has remained Unclaimed / Unpaid from the Financial Year 2011-12 onwards) to Investor Education and Provident Fund (IEPF).

The intimation is also uploaded on Company's website, viz. www.astecls.com.

Please take the same on your records.

Thanking you,

Yours sincerely,
For Astec LifeSciences Limited

TEJASHREE ROHAN PRADHAN

Digitally signed by TEJASHREE ROHAN PRADHAN
DN: c=IN, o=Personal, cn=TEJASHREE ROHAN PRADHAN, serialNumber=cc444393f2150dc819f3d8164db345c555b40cb184b6ae8611cda7b9d64587a7, postalCode=400606, 2.5.4.20=7e85e7813cc072737901 5ad6d28a3b65295c637560 8d78aea1ca152cafefaf7f, st=Maharashtra, title=Mrs.
Date: 2019.07.23 19:56:11 +05'30'

Tejashree Pradhan
Company Secretary & Compliance Officer
(FCS 7167)

Encl.: As above

Regd. Office :
"Godrej One", 3rd Floor,
Pirojshanagar, Eastern Express Highway,
Vikhroli (East), Mumbai-400079,
Maharashtra, India
Telephone No.: 022- 25188010
Fax No.: 022-22618289
Email id: astecinfo@godrejastec.com
Website: www.astecls.com
CIN : L99999MH1994PLC076236

ASTEC LIFESCIENCES LIMITED

Corporate Identity Number (CIN): L99999MH1994PLC076236

Registered Office: "Godrej One", 3rd Floor, Pirojshanagar, Eastern Express Highway, Vikhroli (East), Mumbai - 400 079, Maharashtra
Tel.: +91 22 2518 8010, **Fax:** +91 22 2261 8289, **Website:** www.astecls.com, **Email:** astecinvestors@godrejastec.com

Date: 18th July, 2019

IMPORTANT AND URGENT NOTICE FOR YOUR IMMEDIATE ATTENTION

Dear Shareholder(s),

Subject: Transfer of Equity Shares of the Company in case of Unclaimed Dividend in previous 7 (seven) consecutive years to Investor Education and Protection Fund (IEPF).

As our long term valued Shareholder, we would like to inform you that in terms of the provisions of Section 124(5) and other applicable provisions of the Companies Act, 2013 ("the Act") and the Rules framed thereunder [including any amendment(s) / modification(s) / re-enactment(s) thereof], all Unpaid / Unclaimed Dividend for a period of 7 (seven) consecutive years, are required to be transferred by the Company to the Investor Education and Protection Fund (IEPF) established by the Central Government. Further, Section 124(6) of the Act, requires that all Shares in respect of which Dividend has not been paid or claimed for 7 (seven) consecutive years or more, shall also be transferred by the Company to IEPF. Full details of such Unclaimed Dividends are updated by the Company on the website of Ministry of Corporate Affairs (MCA) and on the website of the Company at www.astecls.com.

In terms of the provisions of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 ("the said Rules") [including any amendment(s) / modification(s) / re-enactment(s) thereof] the Company is required to transfer all shares in respect of which Dividend has not been paid or claimed for 7 (seven) consecutive years or more to IEPF.

Accordingly, the Company is required to transfer all the Equity Shares against which the Dividend has remained Unclaimed / Unpaid from the Financial Year 2011-12 onwards, upon completion of 7 (seven) years i.e., by **Friday, 25th October, 2019** to IEPF.

Our records indicate that Dividend against Equity Shares held in your name has remained Unpaid / Unclaimed for a period of 7 (seven) consecutive years commencing from the Financial Year 2011-12, details of which are given herein below.

Folio No./ DP ID CLIENT ID	Name of the Shareholder	Address of the Shareholder	Number of Equity Shares which shall be transferred to IEPF	Amount of Unpaid / Unclaimed Dividend for the Year 2011-12 (in Rs.)	Date of transfer to IEPF
XXX	XXX	XXX	XXX	XXX	XXX

You are therefore requested, to claim the Unpaid / Unclaimed Dividend due to you by making an application as per attached enclosure immediately to Bigshare Services Private Limited, Registrar and Share Transfer Agents, on or before due date, preferably by **Thursday, 10th October, 2019**.

You are requested to note that if the Dividend amounts are not claimed by Friday, 25th October, 2019, or such other date, as may be applicable under the law, the Company will be compelled to transfer the Equity Shares (whether held in physical or electronic form) to IEPF, without any further notice, by following the due process as provided in the said Rules, which is as under:-

(1) **If you hold Equity Shares in physical form:** Duplicate Share Certificate(s) will be issued and Equity Shares will be transferred to IEPF. The Original Share Certificate(s) which is registered in your name and is / are held by you will automatically stand cancelled and be deemed non-negotiable.

(2) **If you hold Equity Shares in electronic form:** Your Demat Account will be debited for the Equity Shares liable to be transferred to IEPF.

However, after the transfer of Equity Shares to IEPF, you may claim from IEPF authority, both Unclaimed Dividend amount(s) and the Equity Shares, by making an online application in the prescribed e-Form IEPF-5 and by sending physical copy of the same duly signed (as per registered specimen signature) alongwith requisite documents enumerated in the said e-Form IEPF-5 to the Company at its Registered Office or to Bigshare Services Private Limited, Registrar and Share Transfer Agents of the Company, for verification of your claim. We shall send a verification report to IEPF for refund of Unclaimed Dividend amount(s) and transfer of the Equity Shares back to the credit of the concerned Shareholder.

The said Rules and the Application Form (e-Form IEPF-5), as prescribed by the MCA for claiming back the Equity Shares / Unpaid Dividend(s) are available on the website of MCA at www.iepf.gov.in.

Please note that no claim shall lie against the Company in respect of Unclaimed Dividend(s) and Equity Shares transferred to IEPF in terms of the said Rules.

You are requested to take immediate necessary action, in order to avoid getting your Equity Shares compulsorily transferred to IEPF.

Please feel free to contact the Company / Bigshare Services Private Limited in case if you have any queries at the following Address(es) / email(s) / telephone number(s):

Astec LifeSciences Limited Godrej One, 3rd Floor, Pirojshanagar, Eastern Express Highway, Vikhroli (East), Mumbai – 400 079, Maharashtra Tel. No.: 022 - 25195768 Fax No.: 022 - 22618189 Email: astecinvestors@godrejastec.com	**Bigshare Services Private Limited** Bharat Tin Works Building, 1st Floor, Opp. Vasant Oasis, Makwana Road, Marol, Andheri (East), Mumbai – 400 059, Maharashtra Tel No.: 022- 62638200 Fax No.: 022- 62638299 Email: info@bigshareonline.com

Thanking you,
Yours faithfully,
For Astec LifeSciences Limited

Tejashree Pradhan
Company Secretary & Compliance Officer
(FCS 7167)
Encl : A/a

Date:

To,

Company Secretary,

Astec LifeSciences Limited

"Godrej One", 3rd Floor,

Pirojshanagar, Eastern Express Highway,

Vikhroli (East), Mumbai – 400 079,

Maharashtra

Subject: Transfer of Equity Shares in case of Unclaimed Dividend in previous 7 (seven) consecutive years of the Company to Investor Education Protection Fund (IEPF).

Ref: Folio No./DP ID/CL ID :- _____

This is with reference to the letter received from Astec LifeSciences Limited regarding transfer of Unpaid / Unclaimed Dividend(s) to IEPF Account.

I/We* confirm that I/We* have not received / encashed the Dividend Warrant(s) for the year(s) _____.

I/We am/are enclosing Letter of Undertaking duly signed by me/all the shareholder(s) for remittance of the Dividend Warrant(s) amount.

_____

Signature of Shareholder(s)

*Strike out whichever is not applicable

Note: Please find overleaf the format of Undertaking in this regard. This is a computer generated letter, hence does not require signature.

……

LETTER OF UNDERTAKING

[For issue of duplicate Dividend Warrant(s) / Demand Draft(s) / Pay Order(s)]

To,
Company Secretary
Astec LifeSciences Limited
"Godrej One", 3rd Floor,
Pirojshanagar, Eastern Express Highway,
Vikhroli (East), Mumbai-400079,
Maharashtra

Ref: Folio / DP ID / CLIENT ID: _____

I/We hereby confirm that I/we have not encashed, negotiated or otherwise dealt in respect of the following Dividend paid by the Company in the last 7 (seven) years, so as to create any adverse claim upon the amount of the Dividend Warrant(s).

The following are the details of the Unclaimed Dividend(s) for the subsequent Financial Years:

Dividend Warrant No.	MICR No.	Amount (in Rs.)	Date of payment

In consideration of your issuing to me / us all Demand Draft(s) / Pay Order(s) Duplicate Dividend Warrant(s) in lieu of the Dividend Warrant(s) lying Unclaimed / Unpaid in my / our name(s) and which are irretrievable / lost / misplaced / crediting the amounts directly to my Bank Account as mentioned below, I/we hereby agree and undertake to hold the Company, its Directors and Officers harmless and to keep them protected from / against all loss(es), cost(s) or damage(s) which you may sustain or incur by reason of the Company or the Bank issuing Demand Draft(s) / Pay Order(s) / Duplicate Dividend Warrant(s) or by the original Dividend Warrant(s) being, at any time, found and presented for payment by any person or persons claiming to be the holder(s) of the Dividend Warrant(s) or in any way interested there in.

I/We further agree and undertake to return to you the original Dividend Warrants, should it be found by me/us or again come into my/our possession at any time hereafter.

Particulars	Name	Signature
First Holder		
Second Holder		
Third Holder		
Address of First Holder		
Bank Account Details : (for electronic credit of unpaid dividends and all future dividends)		
Name of the Bank		
Name of the Branch		
Account (as appearing in your Cheque Book)		

Account Type (Current/ Saving/ Cash Credit)	Saving	Current	Cash Credit
9 Digit MICR Number (as appearing on the MICR cheque issued by the bank) Please enclose a photocopy of a cheque for verification			
11 Digit IFSC Code			

In case, at a later date if it is proved that the amount(s) has / have been credited to my / our account earlier, I / We undertake to refund the amount(s) paid through such Demand Draft(s) / Pay Order(s) / Duplicate Dividend Warrant(s) along with Interest @ 15% p.a., till the date of payment. I / We hereby for myself / ourselves, my / our heir(s), Executor(s), Administrator(s) and Assignee(s) agree to indemnify and save harmless and to pay the Company against all claim(s), damage(s), cost(s), charge(s), demand(s) and expense(s) which may be made against or suffered or incurred by the Company by reason of and in consequence of such Issue of Duplicate Dividend Warrant(s) or otherwise howsoever in relation thereto.

I/We hereby unconditionally and irrevocably submit to the exclusive jurisdiction of the Competent Forum in Mumbai with regard to any question or matter arising out of this undertaking and / or any other documents that may be executed by me / us or any of us in pursuance hereof arising there from.

I / We solemnly affirm and declare that whatever stated hereinabove is true and correct to the best of my / our knowledge and belief.

Name / Address/ Signature of Shareholder(s)	Name / Address/ Signature of Witness
1. _____	1. _____
(First Holder)	
2. _____	2. _____
(Second Holder)	
3. _____	
(Third Holder)	

Date:
Place:

*Strike out whichever is not applicable.

Instructions:-

1) For the undertaking to be considered complete, please ensure the name, address and bank details of First holder and Joint holder(s), as applicable, are filled correctly and it is duly signed by all the holders and two witnesses.
2) In case of joint holdings, all the Joint Holders have to sign the Undertaking.
3) The above Undertaking is to be furnished on plain paper duly filled in and signed as per the specimen signature registered with the Company, duly attested by the Bank Manager specifying the Account number or Notarised.
4) Please note that if the Dividend amount is in excess of Rs. 500/- (Rupees Five Hundred Only) then this 'Undertaking' should be executed on a Rs.20/- (Rupees Twenty Only) Non-Judicial Stamp Paper or franked for Rs. 20/- (Rupees Twenty Only).
5) Please intimate us if there is any change / corrections in your Registered Address alongwith proof of Address.