



ASL INDUSTRIES LIMITED

Reg. Off: 7B, Punwani Chambers, Kiran Shankar Roy Road, Kolkata, West Bengal – 700 001
CIN: L36900WB1992PLC099901 Phone No.: +91 8097207334; Email: cs@aslindustries.in
Website: www.aslindustries.in

Date: 25th June 2025

To,
Executive Listing/Listing Department
SME Platform of National Stock Exchange
Exchange Plaza, Plot no. C/1, G Block,
Bandra-Kurla Complex, Bandra (East),
Mumbai – 400 051.

SCRIP CODE: ASLIND - INE617I01024

Dear Sir/Madam,

Ref: Code for prevention of Insider Trading read with SEBI (Prohibition of Insider Trading) Regulations, 2015.

Sub: SDD Compliance Certificate for the Financial Year Ended 31st March, 2025

Dear Sir/Ma'am,

Pursuant to applicable provisions of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, we are herewith submitting the Structural Digital Database Compliance Certificate from the Practicing Company Secretary for the Financial year ending 31st March, 2025.

Request you to kindly take the same on record.

Thanking You,

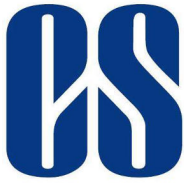
For ASL INDUSTRIES LIMITED

KIRAN
DILIP
THAKORE



Digitally signed
by KIRAN DILIP
THAKORE
Date: 2025.06.25
17:02:21 +05'30'

Kiran Thakore
Director
DIN: 03140791



AARJU AGRAWAL & ASSOCIATES

PRACTICING COMPANY SECRETARY

Cell: +918793481948, E-mail: csaarjuagrawal@gmail.com

COMPLIANCE CERTIFICATE FOR THE FINANCIAL YEAR ENDED 31st MARCH, 2025

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **Aarju Agrawal**, Practicing Company Secretary, appointed by **ASL INDUSTRIES LIMITED (CIN: L36900WB1992PLC099901)**, am aware of the compliance requirement of Structured Digital Database ("SDD") pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 ("PIT Regulations") and I certify that:

1. the Company has a Structured Digital Database in place-Yes
2. control exists as to who can access the SDD-Yes
3. all the UPSI disseminated in the previous quarter have been Captured in the Database-*Yes
4. the system has captured nature of UPSI along with date and time-Yes
5. the database has been maintained internally and an audit trail is maintained-Yes
6. the database is non-tamperable and has the capability to maintain the records for 8 years-Yes

I also confirm that the Company was required to capture **03 (Three)** number of events during the financial year ended on 31st March, 2025 and has captured **02 (Two)** number of the said required events.

I would like to report that the following non-compliance(s) was observed during the year and the remedial action(s) taken along with timelines in this regard:

* The Company has not captured the entry of Un-audited Financial Results for the half year ended on 30th September, 2024 in SDD Database within the stipulated timeline due to oversight. The Company has taken the note on the same and ensured that all SDD entries shall be captured diligently and timely.

Further, the Company has delayed captured the entry of Appointment of Additional Non-Executive-Independent Director on 25th February, 2025 in SDD Database and it has taken the note on the same and ensured that all SDD entries shall be captured diligently and timely.

Reply by Company: Kindly note that as per the virtual inspection for SDD software conducted by NSE dated 12th March 2025 the Company has made clarification in the mail dated 13th March 2025 for such non-compliance in that particular period and assure that they have implemented measures to ensure that all future transactions that qualify as UPSI are captured in the Companies SDD software on a real-time basis, as per regulatory requirements.



AARJU AGRAWAL & ASSOCIATES

PRACTICING COMPANY SECRETARY

Cell: +918793481948, E-mail: csaarjuagrawal@gmail.com

Note: The information of the audit should cover the period when such information was inserted in the SDD up to the date of disclosure.

**For AARJU AGRAWAL & ASSOCIATES
Company Secretaries**

AARJU
AGRAWAL

Digitally signed by
AARJU AGRAWAL
Date: 2025.06.25
13:16:47 +05'30'

**AARJU AGRAWAL
Proprietor**

**ACS No: A42507 CP No: 15770
UDIN: A042507G000657275
P/R No.: 2871/2023**

**Place : Nagpur
Date : 25th June, 2025**