ASCOM LEASING & INVESTMENTS LIMITED

CIN: L65993GJ1986PLC085128

Reg. Off: 331, 3rd floor, Four Point complex, Vesu, besides Maniba Park, Surat Gujarat 395007

E-mail: adityagroup.in@gmail.com, Website: www.ascomfinance.com

Date: 04.11.2024

To,

National Stock Exchange Limited, Exchange Plaza, C-1, Block G, Bandra (E), Bandra Kurla Complex, Mumbai – 00051 Symbol: ASCOM

Sub: SDD Compliance Certificate for the Quarter ended September 30, 2024

Dear Sir,

Pursuant to provisions of Regulation 3(5), 3(6) and other applicable provisions of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed the Structured Digital Database (SDD) Compliance Certificate for the Quarter ended September 30, 2024.

Kindly take the same in your records.

Yours Faithfully,

Thanking you,

For and behalf of ASCOM LEASING & INVESTMENTS LIMITED

Tushar Rohitbhai Pandya Managing Director DIN: 03264783

Encl: as above

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Date: 04.11.2024

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2024

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

- I, **Tushar Rohitbhai Pandya**, Managing Director of **Ascom Leasing & Investments Limited**, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:
- 1. The Company has a Structured Digital Database in place
- 2. Control exists as to who can access the SDD
- 3. All the UPSI disseminated in the previous quarter have been captured in the Database
- 4. The system has captured nature of UPSI along with date and time
- 5. The database has been maintained internally and an audit trail is maintained
- 6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture (01) number of events during the quarter ended and has captured (01) number of the said required events.

I would like to confirm that there were no non-compliance(s) observed in the previous quarter.

For and behalf of ASCOM LEASING & INVESTMENTS LIMITED

Tushar Rohitbhai Pandya Managing Director DIN: 03264783