

March 13, 2026

To,
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai- 400001
Scrip Code: 544530

To,
National Stock Exchange of India Ltd.
Exchange Plaza, Plot no. C/1, G Block,
Bandra-Kurla Complex,
Bandra (E), Mumbai - 400051
Symbol: ARSSBL

Dear Sir/ Madam,

Subject: Disclosure under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Pursuant to Regulation 30 read with Part A of Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI Listing Regulations'), this is to inform that Securities and Exchange Board of India ("SEBI") have, vide their email communication dated March 13, 2026, imposed penalty on the Company w.r.t the inspection conducted for the period April 01, 2023 to August 31, 2024, for the violations under Cyber Security & Cyber Resilience Framework, SEBI (Stock Brokers) Regulations, 1992 and applicable SEBI Circulars which inter alia includes capacity planning, Business Continuity Planning (BCP) & Disaster Recovery Site (DRS) policy, password policy, data leakage prevention, PowerShell access (network security), password controls, privileged access management, Vulnerability Assessment and Penetration Testing (VAPT), incident reporting, API security, monitoring and detection, and KYC validation of clients.

The details of the above as required under Regulation 30 of Listing Regulations read with SEBI Master Circular No. HO/49/14/14(7)2025-CFD-POD2/I/3762/2026 dated January 30, 2026 are given in Annexure -A.

The date and time and occurrence of event is March 13, 2026 at 4:26 p.m.

We request you to take the above on record.

Thanking you,

Yours faithfully,
For **Anand Rathi Share and Stock Brokers Limited**

Chetan Prajapati
Company Secretary and Compliance Officer
Membership No.: A39130

Encl.: A/a

Annexure -A

S.no	Particular	Details
1.	Type of communication received	Order passed by the Adjudicating Officer (AO) of Securities and Exchange Board of India ("SEBI") at Mumbai.
2.	Date of receipt of communication/ direction or order, including any ad-interim or interim orders or any other communication from the authority	13 th March, 2026
3.	Authority from whom communication received/ Name of the authority	Securities and Exchange Board of India ("SEBI")
4.	Brief summary of the material contents of the communication received, including reasons for receipt of the communication/ Details of the violation(s)/ contravention(s) committed or alleged to be committed.	A monetary penalty of Rs. 10,00,000/- for the violation under Cyber Security & Cyber Resilience Framework, SEBI (Stock Brokers) Regulations, 1992 and applicable SEBI Circulars which inter alia includes capacity planning, Business Continuity Planning (BCP) & Disaster Recovery Site (DRS) policy, password policy, data leakage prevention, PowerShell access (network security), password controls, privileged access management, Vulnerability Assessment and Penetration Testing (VAPT), incident reporting, API security, monitoring and detection, and KYC validation of clients.
5.	Period for which communication would be applicable, if stated	April 01, 2023 to August 31, 2024
6.	Expected financial implications, operation or other activities of the listed company, quantifiable in monetary terms to the extent possible	Penalty of Rs. 10,00,000/-
7.	Details and nature of any aberrations/ non-compliances identified/ the action(s) taken or order(s) passed by the authority in the communication	The SEBI Adjudicating Officer imposed a consolidated penalty of Rs. 10,00,000/- on the Company in the matter of non-compliances with Cyber Security & Cyber Resilience Framework, SEBI (Stock Brokers) Regulations, 1992 and applicable SEBI Circulars.
8.	Details of any penalty or restriction or sanction imposed pursuant to the communication.	The SEBI Adjudicating Officer imposed a consolidated penalty of Rs. 10,00,000/- on the Company in the matter of non-compliances with Cyber Security & Cyber Resilience Framework, SEBI (Stock Brokers) Regulations, 1992 and applicable SEBI Circulars.
9.	Action(s) taken by listed company with respect to the communication	The said penalty shall be debited from the settlement account of the Company within 45 days of receipt of this Order.