

To,  
 Listing Department  
 National Stock Exchange of India Limited  
 Exchange Plaza, C-1, G Block,  
 Bandra Kurla Complex, Bandra (East),  
 Mumbai - 400 051.

**Symbol: ANGELONE**

Department of Corporate Services  
 BSE Limited  
 Phiroze Jeejeebhoy Towers,  
 Dalal Street,  
 Mumbai - 400 001.

**Scrip Code: 543235**

**Subject: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Dear Sir / Ma'am,

Pursuant to the provisions of Regulation 30 read with sub-para 20, Para A, Part A, Schedule III of the SEBI (Listing Obligations and Disclosure Requirements), Regulations 2015 (Listing Regulations), we hereby submit the disclosure regarding the settlement order passed by Securities and Exchange Board of India (SEBI)

The details of the above order as required under Regulation 30 of Listing Regulations read with industry standards on Regulation 30 are given below:

<b>Name of the Listed Entity</b>	Angel One Limited
<b>Type of communication received</b>	Settlement Order No.PSD/SD/SettScheme/2/2025-26, passed under <i>Settlement Scheme on Association with Certain Algo Platforms, 2025'</i>
<b>Name of the authority from whom communication received</b>	Securities and Exchange Board of India (SEBI)
<b>Nature and details of the action(s) taken or order(s) passed / Brief summary of the material contents of the communication received, including reasons for receipt of the communication</b>	<p>Settlement Order No.PSD/SD/SettScheme/2/2025-26, passed under <i>Settlement Scheme on Association with Certain Algo Platforms, 2025'</i> (hereinafter referred to as the "<b>Scheme</b>") in respect of the following:</p> <p>SEBI conducted examination to ascertain whether the association of the stock brokers with algo platforms is in violation of SEBI Circular SEBI/HO/MIRSD/DOP/P/CIR/2022/117 dated September 02, 2022 and Clause A (2) &amp; A (5) of Schedule II for Code of Conduct read with Regulation 9 of SEBI (Stock Brokers) Regulations, 1992 (hereinafter referred to as the "<b>SB Regulations</b>"). observed that Application Programming Interface of 122 stock brokers which included our Company Angel One Limited (<b>AOL</b>) remained integrated with certain algo platforms where description of algo strategies contained guaranteed returns/consistent profit and the act of association with aforesaid algo platforms by 122 stock brokers was allegedly found to be in violation of aforesaid SEBI Circular and SB Regulations.</p> <p>In view of the same, SEBI initiated Adjudication Proceedings against the said 122 stock brokers.</p> <p>Considering the large number of cases and common nature of violations and with the objective of reducing the regulatory burden, SEBI decided to introduce a Settlement Scheme referred to as the '<i>Settlement Scheme on Association with Certain Algo Platforms, 2025'</i> in terms of Section 15JB of the Securities and Exchange Board of India Act, 1992 read with Regulation 26 of the</p>



**Corporate & Regd Office:**  
 601, 6th Floor, Ackruti Star, Central Road, MIDC,  
 Andheri (E), Mumbai - 400093.  
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 F: (022) 4000 3609  
 E: support@angelone.in  
 www.angelone.in

**Angel One Limited**  
 CIN: L67120MH1996PLC101709,  
 SEBI Registration No Stock Broker: INZ000161534,  
 CDSL: IN-DP-384-2018, PMS: INP000001546,  
 Research Analyst: INH000000164, Investment Advisor: INA000008172,  
 AMFI Regn. No. ARN-77404, PFRDA, Regn. No.-19092018.

	<p>Securities and Exchange Board of India (Settlement Proceedings) Regulations, 2018 (hereinafter referred to as "<b>Settlement Regulations</b>")</p> <p>AOL made an application to avail the benefit of the Scheme and remitted the specified amount of Rs.1,00,000/- (One lakh only).</p> <p>On receipt of settlement amount and in exercise of the powers conferred under Section 15JB read with Section 19 of the SEBI Act, 1992 and in terms of Regulation 26 of the Settlement Regulations proceedings initiated against AOL were settled qua.</p>
<b>Period for which communication would be applicable, if stated</b>	NA
<b>Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority / Date of Receipt of Communication</b>	March 18, 2026
<b>Details of any penalty or restriction or sanction imposed pursuant to the communication</b>	There is no Penalty levied by SEBI. AOL made an application to avail the benefit of the Scheme and remitted the specified amount of Rs.1,00,000/- (One lakh only).
<b>Details of the violation(s) / contravention(s) committed or alleged to be committed / Details of any aberrations/non-compliances identified by the authority in the communication</b>	Violation of SEBI Circular SEBI/HO/MIRSD/DOP/P/CIR/2022/117 dated September 02, 2022 and Clause A (2) & A (5) of Schedule II for Code of Conduct read with Regulation 9 of SEBI (Stock Brokers) Regulations, 1992 (hereinafter referred to as the " <b>SB Regulations</b> ").
<b>Details of any aberrations/noncompliances identified by the authority in the communication</b>	The authority's investigation and issuance of SCN alleged that applicant had association with certain algo vendors who were offering assured returns.
<b>Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible / Expected financial implications on the listed company, if any</b>	There is no material impact on financial, no impact on operations or other activities of AOL due to the order.
<b>Action(s) taken by listed company with respect to the communication</b>	AOL has disassociated itself from such algo platforms where description of algo strategies contained guaranteed returns/consistent profit.

For **Angel One Limited**

**Dinesh Thakkar**  
**Chairman and Managing Director**  
**DIN: 00004382**

**Date: March 19, 2026**  
**Place: Mumbai**



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