

ANANDRATHI

Private Wealth. uncomplicated

Letter No: 30/2023-24

Date: June 07, 2023

To,

The Manager,
Listing Department,
The National Stock Exchange of India Ltd.,
Exchange Plaza, 5th Floor, Plot C/1, G Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai - 400 051.
Tel No.: 2659 8235
Fax No.: 26598237/ 26598238
Trading Symbol: ANANDRATHI

The Manager,
Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Tower,
Dalal Street, Mumbai 400 001.
Tel no.: 22721233
Fax No.: 22723719/ 22723121/ 22722037
Scrip Code: 543415

Subject: - Voting Results of 28th Annual General Meeting pursuant to Regulation 44 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations") along with the Scrutinizer's Report.

Dear Sir/ Madam,

We hereby inform the Exchanges that the Twenty Eighth (28th) Annual General Meeting ("AGM") of the Members of the Company was held on June 05, 2023 at 2:30 p.m. through Video Conferencing ("VC") at Corporate office of the Company, to approve the businesses included in the Notice dated April 12, 2023, convening the AGM.

In this regard, please find enclosed following for your reference and record:

- Voting Results as per the format prescribed in Regulation 44 of Listing Regulations - **Annexure 1;**
- Combined Scrutinizer's Report issued by Rath & Associates, Practising Company Secretaries - **Annexure 2;**

Based on the Scrutinizer's Report, all the Resolutions have been passed with requisite majority.

The aforesaid Voting Results along with Scrutinizer's Report of the AGM would also be made available on website of the Company at <https://anandrathiwealth.in/Investorrelations.php>

Further, pursuant to Regulation 30 of the Listing Regulations, we wish to inform the Exchange that the Members of the Company at the AGM had approved the re-appointment of Mr. Rakesh Rawal as "Whole-time director and chief executive officer" for further term of 3 (three) years with effect from 1st April, 2023.

This is for your information and records.

Thanking You,

For Anand Rathi Wealth Limited

NITESH
Digitally signed
by NITESH
TANWAR
Date: 2023.06.07
19:46:43 +05'30'

Nitesh Tanwar
Company Secretary & Compliance Officer
M. NO. FCS-10181
Place: Mumbai
Encl: as Above



Anand Rathi Wealth Limited								
Resolution Required : (Ordinary)			1 - To receive, consider and adopt Audited Standalone and Consolidated Financial Statements of the Company for the financial year ended March 31, 2023 and the Reports of the Board of Directors and Statutory Auditors thereon					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes –Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	19988406	19964400	99.8799	19964400	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		19964400	99.8799	19964400	0	100.0000	0.0000
Public Institutions	E-Voting	4868503	3731688	76.6496	3731688	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		3731688	76.6496	3731688	0	100.0000	0.0000
Public Non Institutions	E-Voting	16831338	7630538	45.3353	7630528	10	99.9999	0.0001
	Poll		146375	0.8697	146375	0	100.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		7776913	46.2050	7776903	10	99.9999	0.0001
Total		41688247	31473001	75.4961	31472991	10	100.0000	0.0000



Anand Rathi Wealth Limited

Resolution Required : (Ordinary)			2 - To approve payment of final dividend and to confirm interim dividend paid during 2022-23.					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes –Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]} *100	[4]	[5]	[6]={[4]/[2]} *100	[7]={[5]/[2]}* 100
Promoter and Promoter Group	E-Voting	19988406	19964400	99.8799	19964400	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		19964400	99.8799	19964400	0	100.0000	0.0000
Public Institutions	E-Voting	4868503	3747217	76.9686	3747217	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		3747217	76.9686	3747217	0	100.0000	0.0000
Public Non Institutions	E-Voting	16831338	7630538	45.3353	7630538	0	100.0000	0.0000
	Poll		146375	0.8697	146375	0	100.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		7776913	46.2050	7776913	0	100.0000	0.0000
Total		41688247	31488530	75.5334	31488530	0	100.0000	0.0000



Anand Rathi Wealth Limited								
Resolution Required : (Ordinary)			3 - To re-appoint Mr. Pradeep Kumar Gupta (DIN: 00040117), the Director, liable to retire by rotation at this meeting, who being eligible, has offered himself for re-appointment.					
Whether promoter/ promoter group are interested in the agenda/resolution?			Yes					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes –Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	$[3]=\{[2]/[1]\} \times 100$	[4]	[5]	$[6]=\{[4]/[2]\} \times 100$	$[7]=\{[5]/[2]\} \times 100$
Promoter and Promoter Group	E-Voting	19988406	19964400	99.8799	19964400	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		19964400	99.8799	19964400	0	100.0000	0.0000
Public Institutions	E-Voting	4868503	3747217	76.9686	3742956	4261	99.8863	0.1137
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		3747217	76.9686	3742956	4261	99.8863	0.1137
Public Non Institutions	E-Voting	16831338	7630538	45.3353	7630447	91	99.9988	0.0012
	Poll		146375	0.8697	146375	0	100.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		7776913	46.2050	7776822	91	99.9988	0.0012
Total		41688247	31488530	75.5334	31484178	4352	99.9862	0.0138



Anand Rathi Wealth Limited								
Resolution Required : (Ordinary)			4 - To re-appoint Mr Rakesh Rawal (DIN: 02839168) as Executive Director of the Company designated as "Whole-time Director and Chief Executive Officer" for a further period of 3 years w.e.f. April 01, 2023.					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes –Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	19988406	19964400	99.8799	19964400	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		19964400	99.8799	19964400	0	100.0000	0.0000
Public Institutions	E-Voting	4868503	3747217	76.9686	3322417	424800	88.6636	11.3364
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		3747217	76.9686	3322417	424800	88.6636	11.3364
Public Non Institutions	E-Voting	16831338	7630538	45.3353	7630447	91	99.9988	0.0012
	Poll		146375	0.8697	146375	0	100.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		7776913	46.2050	7776822	91	99.9988	0.0012
Total		41688247	31488530	75.5334	31063639	424891	98.6506	1.3494



Anand Rathi Wealth Limited

Resolution Required : (Ordinary)

5 - To approve Material Related Party Transaction with Anand Rathi Global Finance Limited.

Whether promoter/ promoter group are interested in the agenda/resolution?

Yes

Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes –Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	$[3]=\frac{[2]}{[1]} \times 100$	[4]	[5]	$[6]=\frac{[4]}{[2]} \times 100$	$[7]=\frac{[5]}{[2]} \times 100$
Promoter and Promoter Group	E-Voting	19988406	0	0.0000	0	0	0.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		0	0.0000	0	0	0.0000	0.0000
Public Institutions	E-Voting	4868503	3744901	76.9210	3437238	307663	91.7845	8.2155
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		3744901	76.9210	3437238	307663	91.7845	8.2155
Public Non Institutions	E-Voting	16831338	7610501	45.2163	7610501	0	100.0000	0.0000
	Poll		146374	0.8697	146374	0	100.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		7756875	46.0859	7756875	0	100.0000	0.0000
Total		41688247	11501776	27.5900	11194113	307663	97.3251	2.6749



Anand Rathi Wealth Limited								
Resolution Required : (Ordinary)			6 - To approve Material Related Party Transaction with Anand Rathi Financial Services Limited.					
Whether promoter/ promoter group are interested in the agenda/resolution?			Yes					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes –Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	19988406	5236887	26.1996	5236887	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		5236887	26.1996	5236887	0	100.0000	0.0000
Public Institutions	E-Voting	4868503	3744901	76.9210	3437238	307663	91.7845	8.2155
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		3744901	76.9210	3437238	307663	91.7845	8.2155
Public Non Institutions	E-Voting	16831338	7610501	45.2163	7610501	0	100.0000	0.0000
	Poll		146376	0.8697	146376	0	100.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		7756877	46.0859	7756877	0	100.0000	0.0000
Total		41688247	16738665	40.1520	16431002	307663	98.1620	1.8380



Rathi & Associates

COMPANY SECRETARIES

A-303, Prathamesh, 3rd Floor, Raghuwanshi Mills Compound, 11-12, Senapati Bapat Marg, Lower Parel (W), Mumbai - 400 013.
Tel.: 4076 4444 / 2491 1222 • Fax : 4076 4466 • E-mail : associates.rathi8@gmail.com

June 6, 2023

The Chairman / Company Secretary
ANAND RATHI WEALTH LIMITED
A Wing, Express Zone, 10th Floor,
Western Express Highway,
Goregaon (East),
Mumbai - 400 063

Dear Sir,

Sub: Scrutinizer's Report on the remote e-voting prior to and e-voting at the 28th Annual General Meeting of the Members of Anand Rathi Wealth Limited held on Monday, June 5, 2023:

Anand Rathi Wealth Limited (the Company) vide resolution of its Board of Directors dated April 12, 2023, appointed the undersigned as the Scrutinizer to ensure that the process of remote e-voting prior to and e-voting during 28th Annual General Meeting (AGM) on the resolutions contained in the Notice dated April 12, 2023 for the AGM, as prescribed under Section 108 of the Companies Act, 2013 ("the Act") as amended from time to time, read with Rule 20 of the Companies (Management and Administration) Rules, 2014 and Regulation 44 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, placed for the approval of Members of the Company, be carried out in a fair and transparent manner.

The AGM was held through Video Conferencing (VC) / Other Audio Visual Means (OAVM) facility without the physical presence of the Members at a common venue and in compliance with the General Circular Nos. 14/2020 dated April 08, 2020, 17/2020 dated April 13, 2020, 20/2020 dated May 5, 2020, 2/2022 dated May 05, 2022 and 10/2022 dated December 28, 2022 along with such other applicable circulars issued by the Ministry of Corporate Affairs, Government of India ("MCA Circulars") and the Securities Exchange Board of India Circular dated January 5, 2023 bearing Ref. No.: SEBI/HO/CFD/PoD-2/P/CIR/2023/4, May 13, 2022 bearing Ref. No.: SEBI/HO/CFD/CMD2/CIR/P/2022/62 read with Circular dated May 12, 2020 bearing Ref. No.: SEBI/HO/CFD/CMD1/ CIR/P/2020/79. The Company had provided e-voting facility during the AGM for those members who did not cast their votes through remote e-voting facility prior to the AGM.

The management of the Company is responsible to ensure the compliance with the requirements of the Act and Rules made thereunder, the circulars issued by the MCA and the applicable regulations of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, relating to remote e-voting prior to and e-voting during AGM on the resolutions contained in the aforesaid Notice convening the AGM. My responsibility as a Scrutinizer is to scrutinize and ensure that the voting through remote e-voting prior to and e-voting during AGM is done in a fair and transparent manner and to make a Consolidated Scrutinizer's Report of the votes cast "in favour" or "against" on the resolutions, based on the reports generated from the remote e-voting and e-voting system at the time of AGM as per the



facility provided by Link Intime (India) Private Limited, the agency engaged by the Company to provide remote e-voting facility prior to AGM and e-voting facility during the AGM.

As required under Section 101 of the Act read with aforementioned circulars issued by MCA, Notice convening AGM along with Explanatory Statement under Section 102 of the Act was sent to the Members by electronic means. In compliance with the requirements of the MCA circulars, the AGM of the Company was held through VC/OAVM.

Following resolutions were proposed for approval by remote e-voting prior to AGM and e-voting during AGM, by the Members of the Company:

- 1) **Resolution No. 1** as an Ordinary Resolution to receive, consider and adopt Audited Standalone and Consolidated Financial Statements of the Company for the financial year ended March 31, 2023 and the Reports of the Board of Directors and Statutory Auditors thereon.
- 2) **Resolution No. 2** as an Ordinary Resolution for approval of payment of final dividend and confirmation of Interim dividend paid during the Financial Year 2022-23.
- 3) **Resolution No. 3** as an Ordinary Resolution for re-appointment of Mr. Pradeep Kumar Gupta (DIN: 00040117), the Director, liable to retire by rotation at this meeting, who being eligible, has offered himself for reappointment
- 4) **Resolution No. 4** as an Ordinary for re-appointment of Mr. Rakesh Rawal (DIN: 02839168) as Executive Director of the Company designated as “Whole-time Director and Chief Executive Officer” for a further period of 3 years w.e.f. April 01, 2023.
- 5) **Resolution No. 5** as an Ordinary Resolution for approval of Material Related Party Transaction with Anand Rathi Global Finance Limited
- 6) **Resolution No. 6** as an Ordinary Resolution for approval of Material Related Party Transaction with Anand Rathi Financial Services Limited.

The Company provided remote e-voting facility to the members to cast votes on aforesaid resolutions prior to the AGM. The Company also provided e-voting facility during the AGM to those members who did not cast their votes through remote e-voting facility, to enable them to cast their votes on the aforesaid resolutions.

Remote e-voting facility was made available to members of the Company to cast their votes from 9.00 a.m. of Thursday, June 1, 2023 which ended on Sunday, June 4, 2023 at 5.00 p.m. Accordingly, votes casted through remote e-voting up to 5.00 p.m. of June 4, 2023 and votes casted through e-voting during the AGM, have been considered for my scrutiny.

After conclusion of the 28th Annual General Meeting, the voting through remote e-voting prior to AGM and e-voting during the AGM were unlocked. In case of members who had casted votes through remote e-voting prior to as well as e-voting during the AGM, the voting through remote e-voting by such members prior to AGM was treated as valid. A summary of the votes cast by members through remote e-voting prior to AGM and e-voting during the AGM with their pattern of voting is as per Annexure attached to this Report.



The results of the voting by members through remote e-voting prior to AGM and e-voting during the AGM in respect of the above mentioned resolutions may accordingly be declared by the Chairman of the 28th AGM or any person authorized by him in writing.

**For RATHI & ASSOCIATES
COMPANY SECRETARIES**



**HIMANSHU S. KAMDAR
PARTNER
M. NO. FCS 5171
COP NO. 3030
UDIN: F005171E000461594
PEER REVIEW NO. 668/2020**



ANNEXURE

Summary of voting through remote e-voting prior to AGM and e-voting during the AGM for:

Resolution No. 1 as an Ordinary Resolution to receive, consider and adopt Audited Standalone and Consolidated Financial Statements of the Company for the financial year ended March 31, 2023 and the Reports of the Board of Directors and Statutory Auditors thereon.

Sr. No.	Particulars		Resolution 1	
			No. of members who voted	No. of shares voted for
a.	Votes cast through e-voting during AGM		48	1,49,976
b.	Votes cast through remote e-voting prior to AGM		194	3,13,26,626
	Total		242	3,14,76,602
c.	Less: Invalid voting		3	3,601
d.	Net Valid voting		239	3,14,73,001
	(i)	Voting with assent for the Resolution	238	3,14,72,991
		% of Assent		100.00*
	(ii)	Voting with dissent for the Resolution	1	10
		% of Dissent		0.00*

(*Rounded off to the nearest number)



Resolution No. 2 as an Ordinary Resolution for approval of payment of final dividend and confirmation of Interim dividend paid during the Financial Year 2022-23.

Sr. No.	Particulars	Resolution 2	
		No. of members who voted	No. of shares voted for
a.	Votes cast through e-voting during AGM	48	1,49,976
b.	Votes cast through remote e-voting prior to AGM	195	3,13,42,155
	Total	243	3,14,92,131
c.	Less: Invalid voting	3	3,601
d.	Net Valid voting	240	3,14,88,530
	(i) Voting with assent for the Resolution	240	3,14,88,530
	% of Assent	100.00	
	(ii) Voting with dissent for the Resolution	-	-
	% of Dissent	0.00	



Resolution No. 3 as an Ordinary Resolution for re-appointment of Mr. Pradeep Kumar Gupta (DIN: 00040117), the Director, liable to retire by rotation at this meeting, who being eligible, has offered himself for reappointment.

Sr. No.	Particulars	Resolution 3	
		No. of members who voted	No. of shares voted for
a.	Votes cast through e-voting during AGM	48	1,49,976
b.	Votes cast through remote e-voting prior to AGM	195	3,13,42,155
	Total	243	3,14,92,131
c.	Less: Invalid voting	3	3,601
d.	Net Valid voting	240	3,14,88,530
	(i) Voting with assent for the Resolution	232	3,14,84,178
	% of Assent		99.986*
	(ii) Voting with dissent for the Resolution	8	4352
	% of Dissent		0.014*

(*Rounded off to the nearest number)



Resolution No. 4 as an Ordinary for re-appointment of Mr. Rakesh Rawal (DIN: 02839168) as Executive Director of the Company designated as “Whole-time Director and Chief Executive Officer” for a further period of 3 years w.e.f. April 01, 2023

Sr. No.	Particulars	Resolution 4	
		No. of members who voted	No. of shares voted for
a.	Votes cast through e-voting during AGM	48	1,49,976
b.	Votes cast through remote e-voting prior to AGM	195	3,13,42,155
	Total	243	3,14,92,131
c.	Less: Invalid voting	3	3,601
d.	Net Valid voting	240	3,14,88,530
	(i) Voting with assent for the Resolution	191	3,10,63,639
	% of Assent		98.65*
	(ii) Voting with dissent for the Resolution	49	4,24,891
	% of Dissent		1.35*

(*Rounded off to the nearest number)



Resolution No. 5 as an Ordinary Resolution for approval of Material Related Party Transaction with Anand Rath Global Finance Limited

Sr. No.	Particulars	Resolution 5	
		No. of members who voted	No. of shares voted for
a.	Votes cast through e-voting during AGM	48	1,49,975
b.	Votes cast through remote e-voting prior to AGM	190	2,49,23,412
	Total	238	2,50,73,387
c.	Less: Invalid voting	13	1,35,71,611
d.	Net Valid voting	225	1,15,01,776
	(i) Voting with assent for the Resolution	194	1,11,94,113
	% of Assent		97.325*
	(ii) Voting with dissent for the Resolution	31	3,07,663
	% of Dissent		2.675*

(*Rounded off to the nearest number)



Resolution No. 6 as an Ordinary Resolution for approval of Material Related Party Transaction with Anand Rathi Financial Services Limited.

Sr. No.	Particulars	Resolution 6	
		No. of members who voted	No. of shares voted for
a.	Votes cast through e-voting during AGM	48	1,49,977
b.	Votes cast through remote e-voting prior to AGM	189	2,18,29,176
	Total	237	2,19,79,153
c.	Less: Invalid voting	12	52,40,488
d.	Net Valid voting	225	1,67,38,665
	(i) Voting with assent for the Resolution	194	1,64,31,002
	% of Assent		98.162*
	(ii) Voting with dissent for the Resolution	31	3,07,663
	% of Dissent		1.838*

(*Rounded off to the nearest number)

