



AG Universal Limited

(Formerly Known as AG Universal Private Limited)

Regd. Office : Plot No. 2, 1st Floor, Arihant Nagar, Near Shivaji Park Metro Station,
West Punjabi Bagh, New Delhi-110026 (INDIA)

Date: 25.06.2026

To,
The Manager - Listing Compliance
National Stock Exchange of India Limited (NSE)
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex, Bandra (East),
Mumbai – 400051

ISIN - INE006N01012; Symbol - AGUL

Sub: Clarification and Submission of Fresh SDD Compliance Certificate as on 25th June, 2026.

Ref: Email from NEAPS (Listing Compliance Department) dated June 24, 2026.

Dear Sir / Madam,

This is with reference to your email notification dated June 24, 2026, wherein it was observed that the company was marked as non-compliant with the provisions of Regulation 3(5) and 3(6) of the SEBI (Prohibition of Insider Trading) Regulations, 2015 for the Financial Year ended March 31, 2026.

In this regard, we wish to clarify that the company maintains a fully functional, non-tamperable Structured Digital Database (SDD) in complete accordance with the regulatory mandates. The observations of non-compliance arose solely due to an inadvertent clerical error in our previous submission, where the disclosure period was erroneously typed as "December 2025" instead of "March 2026".

To rectify this typographical mismatch and as instructed by the Exchange, we are hereby submitting the fresh, updated **SDD Compliance Certificate as on date** for the Financial Year ended March 31, 2026, duly certified by our Practicing Company Secretary.

We request you to kindly take the corrected submission on record and update our compliance status on the Exchange Website accordingly by removing the non-compliant tag against the company and the Compliance Officer.

Thanking you,

Yours faithfully,
For A G Universal Limited

Surbhi
Gupta

Digitally signed by
Surbhi Gupta
Date: 2026.06.25
14:18:52 +05'30'

Surbhi Gupta
Company Secretary Cum Compliance Officer
Membership No- A71576
Place: New Delhi

Enclosures:

1. Copy of the email notice received from NSE dated June 24, 2026.
2. Fresh SDD Compliance Certificate (as on 25.06.2026) certified by Practicing Company Secretary.



SDD Non-Compliance for Financial Year ended March 31, 2026

From neaps@nse.co.in

Jun 24, 9:13 PM



to me ▾

Dear Sir/Madam,

This is with reference to the submission made by the company w.r.t. ASCR/SDD Compliance Certificate for Financial Year ended March 31, 2026.

Based on the submission made by the company, it has been observed that the company is non-compliant with provisions of Reg. 3(5) and/or Reg. 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

Hence, as per Exchange Circular No. NSE/CML/31 dated October 18, 2024, Exchange has displayed the company as Non-compliant with SDD Compliance and has also mentioned the name of Compliance Officer on the Get Quote page of the Listed Entity on the Exchange Website. The said shall remain to be displayed as Non-compliant on the Exchange Website till the Exchanges have satisfactorily verified that

Reply

Forward



**CERTIFICATE FOR COMPLIANCE OF MAINTENANCE OF STRUCTURED DIGITAL
DATABASE (SDD) AS ON JUNE 25TH, 2026**

[As per Regulation 3(5) & 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

**TO,
THE BOARD OF DIRECTORS
A G UNIVERSAL LIMITED
PLOT NO. 2, 1ST FLOOR, ARIHANT NAGAR, SHIVAJI PARK
WEST DELHI-110026**

We, **Chandan J & Associates**, a Practising Company Secretary have examined the relevant records and documents maintained by **A G UNIVERSAL LIMITED** ("the Company") upto **25th June, 2026** and the compliance with the provisions of Regulation 3(5) and 3(6) of the SEBI (Prohibition of Insider Trading) Regulations, 2015 ("PIT Regulations"), as amended from time to time.

Based on the examination, we certify that the Company has:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

We also confirm that the Company was required to capture **8** number of events during the quarter ended and has captured **8** number of the said required events.

We would like to report that the following non-compliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: **NIL**

Yours faithfully,

For Chandan J & Associates

Practicing Company Secretaries

CHANDAN

JHA

Digitally signed by
CHANDAN JHA
Date: 2026.06.25
16:01:22 +05'30'

Chandan Jha

Company Secretary

Membership Number: A62350

Certificate of Practice Number: 27629

Peer Review Number: 6292/2024

UDIN: A062350H000684807

Place: Ghaziabad

Date: 25.06.2026