

Ref. No.: ABSLAMCL/PS/103/2024-25

October 1, 2024

BSE Limited

Phiroze Jeejeebhoy Towers,
Dalal Street Mumbai - 400 001
Scrip Code: 543374

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor,
Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (East), Mumbai - 400 051
Symbol: ABSLAMC

Dear Sir/ Ma'am,

Subject: Intimation of receipt of request for reclassification from “Promoter/Promoter group” to “Public” category in accordance with Regulation 31A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 31A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended (“SEBI LODR Regulations”), we wish to further inform you that Aditya Birla Sun Life AMC Limited (“Company”) has received request from Mr. A. Balasubramanian, who is presently classified as part of Promoter and Promoter Group of the Company, for reclassification as a public shareholder by his letter dated October 1, 2024. Previously, he was holding 416 equity shares of the Company as a Nominee of Aditya Birla Capital Limited (“ABCL”), which is currently the Promoter of the Company. The said shares have been transferred to ABCL and as on the date of this intimation, he does not hold any equity shares in the Company in the capacity of Nominee of Promoter and Promoter group.

Pursuant to Regulation 31A of the SEBI LODR Regulations, we wish to further inform you that the Company has also received request from Mrs. Pinky Mehta, who is presently classified as part of Promoter and Promoter Group of the Company, for reclassification as a public shareholder by her letter dated October 1, 2024. Previously, she was holding 192 equity shares of the Company as a Nominee of ABCL, which is currently the Promoter of the Company. The said shares have been transferred to ABCL and as on the date of this intimation, she does not hold any equity shares in the Company in the capacity of Nominee of Promoter and Promoter group.

The necessary steps will be undertaken by the Company in compliance with Regulation 31A of the SEBI LODR Regulations. This intimation is made in compliance with Regulation 31A(8)(a) of the SEBI LODR Regulations.

We are enclosing herewith the copy of the request letters received from Mr. A. Balasubramanian and Mrs. Pinky Mehta as Annexure I and II respectively to this intimation.

We request you to kindly take the above information on record.

Thanking you.

Yours sincerely,

For **Aditya Birla Sun Life AMC Limited**

Prateek Savla

Company Secretary and Compliance Officer
ACS 29500

Encl: as above

Date: 01/10/2024

To

The Board of Directors

Aditya Birla Sun Life AMC Limited,
One World Center, Tower 1,
17th Floor, Senapati Bapat Marg,
Elphinstone Road, Mumbai,
Maharashtra – 400013.

Subject: Application for re-classification from the 'Promoter and Promoter Group' category to 'Public' category shareholder of Aditya Birla Sun Life AMC Limited ("Company") in terms of Regulation 31A(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015, as amended ("SEBI LODR Regulations")

Dear Sir / Madam,

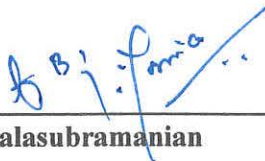
1. I am writing this letter to you to inform you of my intention to be re-classified as a Public Shareholder of the Company, in accordance with Regulation 31A(3) of the SEBI LODR Regulations.
2. Currently, my name is shown as part of the 'Promoter and Promoter group' category in the latest shareholding pattern filed by the Company with the stock exchanges in terms of the SEBI LODR Regulations, since I was holding 416 equity shares of the Company as a Nominee of Aditya Birla Capital Limited ("ABCL"), while the beneficial interest in the same belongs to ABCL, which is currently the Promoter of the Company. The said shares have been transferred to ABCL and as on the date of this application, I do not hold any equity shares in the Company in the capacity of nominee of Promoter and/or persons belonging to the Promoter group.
3. While I am currently acting as a Managing Director & Chief Executive Officer of the Company (Key Managerial Personnel) and will continue to act as such till the expiry of my term in the said role, I request you to consider my application of re-classification as a Public Shareholder of the Company for the following reasons:
 - (a) As stated above, I was holding 416 equity shares of the Company as a Nominee of ABCL i.e. I was not the beneficial owner of 416 equity shares of the Company. Further, the said shares have been transferred to ABCL, as on the date of this application.
 - (b) In April 2021, certain Restricted Stock Units / Employee Stock Options of the Company were granted to me. Further, I had also subscribed to the IPO of the Company in October 2021, and as on date I am holding 18,285 equity shares of the Company in my personal capacity in a separate demat account and these shares are categorised in the 'public category' in the shareholding pattern filed by the Company with the stock exchanges.
 - (c) I do not exercise any control over the Company or the affairs of the Company in the capacity of nominee of Promoter and/or persons belonging to the Promoter group.
4. Considering the above, I request the Board of Directors of the Company to seek exemption from the stock exchanges on my behalf from the requirements prescribed under sub-clauses (iv) and (v) of clause (b) of Regulation 31A(3) of SEBI LODR Regulations and to take on record my request for re-classification as a Public Shareholder in accordance with Regulation 31A(3) of the SEBI LODR Regulations and take all necessary steps in this regard.

5. In terms of Regulation 31A(3)(b) of the SEBI LODR Regulations, I hereby confirm that I along with persons related to me:
- (a) do not together hold more than 10% of the voting rights in the Company;
 - (b) do not together exercise control over the affairs of the Company either directly or indirectly;
 - (c) do not have any special rights with respect to the Company through formal or informal arrangements including through any Shareholder Agreements;
 - (d) am not "wilful defaulter" as per the Reserve Bank of India Guidelines; and
 - (e) am not fugitive economic offender.
6. Further, I also confirm that:
- (a) There is no pending regulatory action against me; and
 - (b) I shall continue to comply with the conditions mentioned at sub-clauses (i), (ii) and (iii) of clause (b) of Regulation 31A(3) of SEBI LODR Regulations at all times from the date of re-classification, failing which, I shall automatically be reclassified as promoter/ persons belonging to promoter group of the Company, as applicable.
7. Accordingly, in view of the above submissions, I request the Board of Directors of the Company to take necessary steps to re-classify me as a 'Public' Shareholder and remove my name from the 'Promoter and persons belonging to the Promoter Group' category in accordance with Regulation 31A of the SEBI LODR Regulations.
6. I shall extend all necessary cooperation including providing all necessary information / documents as may be required with respect to the re-classification, as and when required, to facilitate the process.

I would appreciate if you could please acknowledge receipt of my letter. Looking forward to your expedient cooperation in this regard.

Thanking you.

Yours sincerely,



A. Balasubramanian

Copy to:

Mr. Prateek Savla

Company Secretary

Aditya Birla Sun Life AMC Limited,

One World Center, Tower 1,

17th Floor, Senapati Bapat Marg,

Elphinstone Road, Mumbai,

Maharashtra – 400013.

Date: 01/10/2024

To

The Board of Directors

Aditya Birla Sun Life AMC Limited,
One World Center, Tower 1,
17th Floor, Senapati Bapat Marg,
Elphinstone Road, Mumbai,
Maharashtra – 400013.

Subject: Application for re-classification from the ‘Promoter and Promoter Group’ category to ‘Public’ category shareholder of Aditya Birla Sun Life AMC Limited (“Company”) in terms of Regulation 31A(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015, as amended (“SEBI LODR Regulations”)

Dear Sir / Madam,

1. I am writing this letter to you to inform you of my intention to be re-classified as a Public Shareholder of the Company, in accordance with Regulation 31A(3) of the SEBI LODR Regulations.
2. Currently, my name is shown as part of the ‘Promoter and Promoter group’ category in the latest shareholding pattern filed by the Company with the stock exchanges in terms of the SEBI LODR Regulations, since I was holding 192 equity shares of the Company as a Nominee of Aditya Birla Capital Limited (“ABCL”), while the beneficial interest in the same belongs to ABCL, which is currently the Promoter of the Company.
3. The said shares have been transferred to ABCL and as on the date of this application, I do not hold any equity shares in the Company in the capacity of nominee of Promoter and/or persons belonging to the Promoter group. Further, I confirm that I am not associated with the business or management of the Company in any manner or capacity whatsoever.
4. In culmination of the above, I request the Board of Directors of the Company to take on record my request for re-classification as a Public Shareholder in accordance with Regulation 31A(3) of the SEBI LODR Regulations and take all necessary steps in this regard.
5. In terms of Regulation 31A(3)(b) of the SEBI LODR Regulations, I hereby confirm that I along with persons related to me:
 - (a) do not together hold more than 10% of the voting rights in the Company;
 - (b) do not together exercise control over the affairs of the Company either directly or indirectly;
 - (c) do not have any special rights with respect to the Company through formal or informal arrangements including through any Shareholder Agreements;
 - (d) am not represented on the Board of Directors (including not having a nominee director) of the Company;
 - (e) do not act as Key Managerial Personnel in the Company;
 - (f) am not “wilful defaulter” as per the Reserve Bank of India Guidelines; and
 - (g) am not fugitive economic offender.

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6. Further, I also confirm that:
- (a) There is no pending regulatory action against me;
 - (b) I shall continue to comply with the conditions mentioned at sub-clauses (i), (ii) and (iii) of clause (b) of Regulation 31A(3) of SEBI LODR Regulations at all times from the date of re-classification, failing which, I shall automatically be reclassified as promoter/ persons belonging to promoter group of the Company, as applicable; and
 - (c) I shall continue to comply with conditions mentioned at sub-clauses (iv) and (v) of clause (b) of Regulation 31A(3) of SEBI LODR Regulations for a period of not less than three years from the date of re-classification, failing which, I shall automatically be reclassified as promoter/ persons belonging to promoter group of the Company, as applicable.
7. Accordingly, in view of the above submissions, I request the Board of Directors of the Company to take necessary steps to re-classify me as a 'Public' Shareholder and remove my name from the 'Promoter and persons belonging to the Promoter Group' category in accordance with Regulation 31A of the SEBI LODR Regulations.
8. I shall extend all necessary cooperation including providing all necessary information / documents as may be required with respect to the re-classification, as and when required, to facilitate the process.

I would appreciate if you could please acknowledge receipt of my letter. Looking forward to your expedient cooperation in this regard.

Thanking you.

Yours sincerely,



Pinky Mehta

Copy to:
Mr. Prateek Savla
Company Secretary
Aditya Birla Sun Life AMC Limited,
One World Center, Tower 1,
17th Floor, Senapati Bapat Marg,
Elphinstone Road, Mumbai,
Maharashtra – 400013.