SHIV AUM STEELS
LIMITED

Date: 08.01.2020

To,

The Manager,
Listing Department,
National Stock Exchange Of India Limited,
'Exchange Plaza', C-1, Block 'G',
Bandra Kurla Complex, Bandra (E),
Mumbai – 400051

Subject: Certificate under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 for the quarter and eight months ended 31.12.2019

Ref: Symbol – SHIVAUM

Dear Sir/Madam,

With reference to the above caption subject, we are enclosing herewith the Certificate under Regulation 74(5) of SEBI (Depositories and Participants) Regulations, 2018 for the quarter and eight months ended 31.12.2019 received from Skyline Financial Services Pvt. Ltd, the Registrar and Share Transfer Agent of our Company.

You are kindly requested to take the same on record and oblige.

Thanking You,

Yours faithfully,

For SHIV AUM STEELS LIMITED,

Krishna N. Mehta

Shiv Aum Steels Ltd. Mumbai

KRISHNA N MEHTA
WHOLE-TIME DIRECTOR
DIN: 03581129

Skyline Financial Services Pvt. Ltd

S.E.B.I. REGISTERED CATEGORY-1 REGISTRARS & SHARE TRANSFER AGENT
Office : A/505, Dattani Plaza, Andheri Kurla Road, Safeed Pool, Mumbai- 400072.
Tel. : +91-22 62215779/ +91-22 28511022 | CIN :U74899DL1995PTC071324
Email : subhashdhingreja@skylinerta.com Website : www.skylinerta.com

January 07, 2020

REF:SFSPL/QTR/ JAN/2020/776

To,
The Company Secretary
SHIV AUM STEELS LIMITED
515,THE SUMMIT BUSINESS BAY OPP.CINEMAX
WESTERN EXPRESS WAY A.K.ROAD ANDHERI
(EAST) MUMBAI 400093

Subject: Certificate under Regulation 74(5) of the SEBI (Depository and Participant) Regulations, 2018 for the quarter ended 31st December, 2019 .

Ref : NSDL/CIR/II/5/2019 dated 25th January, 2019
CDSL/OPS/RTA/POLICY/2019/14 dated 25th January, 2019.
SEBI vide its letter ref no. MRD/DOP2/DSA2/OW/2019/2498/1 dated 24/01/2019.

Dear Sir,

In accordance with Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended **31st December, 2019** , w.r.t. physical share certificates received for dematerialization of securities from the depository participant (Equity Shares) of the Company, " **SHIV AUM TEELS LIMITED " CIN: U27105MH2002PLC135117.** We hereby confirm and certify the following:

a) The securities comprised in the said certificate(s) have been listed on the Stock Exchange where the earlier issued securities are listed:
and
b) The said certificate(s) after due verification have been mutilated and cancelled and the name of depository has been substituted in our records as registered owner within 30 days.

This is for you information and records.

Thanking you,

For **Skyline Financial Services Private Limited**

Authorised Signtory