



**SHIV AUM STEELS**  
LIMITED

**Date: 09.04.2021**

**To,  
The Manager,  
Listing Department,  
The National Stock Exchange of India Ltd,  
'Exchange Plaza', C-1 Block 'G',  
Bandra Kurla Complex, Bandra (E ),  
Mumbai -400051**

**Subject: Certificate under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31<sup>st</sup> March, 2021**  
**Ref: Symbol – SHIVAUM**

Dear Sir/Madam,

We are enclosing herewith the Compliance Certificate as required under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31<sup>st</sup> March, 2021 duly signed by the Compliance Officer of the Company and the Authorized Representative of the Share Transfer Agent.

You are requested to kindly take the above on record and oblige.

Thanking You,  
Yours faithfully,

**For SHIV AUM STEELS LIMITED,**

*Krishna N. Mehta*

**KRISHNA N MEHTA  
WHOLE-TIME DIRECTOR  
DIN: 03581129**



# Skyline Financial Services Pvt. Ltd



S.E.B.I. REGISTERED CATEGORY-1 REGISTRARS & SHARE TRANSFER AGENT  
Office : A/505, Dattani Plaza, Andheri Kurla Road, Safeed Pool, Mumbai- 400072.  
Tel. : +91-22 49721245/ +91-22 28511022 | CIN :U74899DL1995PTC071324  
Email : [subhashdhingreja@skylinerta.com](mailto:subhashdhingreja@skylinerta.com) Website : [www.skylinerta.com](http://www.skylinerta.com)

To

April 07, 2021

## NATIONAL STOCK EXCHANGE OF INDIA LIMITED

"EXCHANGE PLAZA",  
BANDRA, KURLA COMPLEX,  
BANDRA (EAST)  
MUMBAI-400 051

**Sub: Compliance Certificate under Regulation 7(3) of the SEBI (LODR), Regulations 2015**  
**Ref: Shiv Aum Steels Limited.**

Dear Sir/Madam,

This has with reference to above subject, we confirm that **M/s. Shiv Aum Steels Limited** having **CIN: U27105MH2002PLC135117** has authorized to **Skyline Financial Services Private Limited**, Share Transfer Agent, registered with SEBI vide registration number INR000003241 for all activities in relation to both Physical and Electronic Transfer facility during the period **1st October, 2020 to 31st March, 2021** under regulation 7(2) of the SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015.

The certificate has issued in compliance of regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take on record the same.

Thanking You,

Yours Faithfully,

For Skyline Financial Services Pvt. Limited

The block contains a handwritten signature in blue ink and a circular blue ink stamp. The stamp has the text "Skyline Financial Services Pvt. Ltd." around the perimeter and a star in the center.

Authorised Signatory

For Shiv Aum Steels Limited

Signature of Compliance Officer