

October 29, 2025

To, The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai – 400 001. BSE Scrip Code: 540776	To, The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai – 400 051. NSE Symbol: 5PAISA
---	--

Dear Sir / Madam,

Sub: Disclosure under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulations, 2015 (“SEBI Listing Regulations”):

Pursuant to earlier intimation dated September 11, 2025 and in terms of Regulation 30 read with Schedules of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform that the Company has been advised by Securities and Exchange Board of India (SEBI) to surrender its Certificate of Registration as Research Analyst issued under SEBI (Research Analysts) Regulations, 2014.

The Company will accordingly initiate the process of surrender of the said certificate. The surrender of the Research Analyst Certificate does not have any material impact on the operations or financials of the Company.

The required details according to the SEBI Circular No. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024 are disclosed in “**Annexure A**”.

The Company is committed to adhering to the highest standards of corporate governance and will continue to make disclosures in compliance with the SEBI Listing Regulations from time to time.

You are requested to take the same on record.

Thanking You,
Yours faithfully,

For 5paise Capital Limited

Charvi Panchmatia
Company Secretary
M. No.: A49189
Email: csteam@5paise.com

5paise Capital Limited

Annexure A

Disclosure of information pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, read with SEBI Circular No. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024

1.	Name of the authority	Securities and Exchange Board of India (SEBI)
2.	Nature and details of the action(s) taken or order(s) passed	<p>SEBI has, vide email dated October 28, 2025, advised the Company to surrender its Certificate of Registration as a Research Analyst issued under the SEBI (Research Analysts) Regulations, 2014, at the earliest by submitting an online application for surrender through the BSE portal.</p> <p>This advisory follows the earlier correspondence from SEBI regarding the expiry of the Research Analyst registration and the associated Show Cause Notice issued under Section 12(3) of the SEBI Act, 1992 read with Regulation 30A of the SEBI (Intermediaries) Regulations, 2008.</p>
3.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	The communication from SEBI advising the surrender of the Certificate of Registration was received on October 28, 2025 <i>via</i> email.
4.	Details of the violation(s) / contravention(s) committed or alleged to be committed	<p>In accordance with Clause 3 of the Second Schedule to the SEBI (Research Analysts) Regulations, 2014, a Research Analyst is required to pay the prescribed renewal fees every five years from the date of grant of registration, within three months before expiry of the validity period, to keep the registration in force.</p> <p>The Company did not remit the renewal fees within the stipulated time, resulting in the expiry of the Certificate of Registration. Accordingly, SEBI has advised the Company to formally surrender the said Certificate through the prescribed online process.</p>
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	The Research Analyst activity is not a core business segment of the Company and its surrender will not have any material impact on the financial, operational or other business activities of the Company.

5paise Capital Limited