

March 19, 2026

<p>To, The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai – 400 001. BSE Scrip Code: 540776</p>	<p>To, The Manager, Listing Department, The National Stock Exchange of India Limited, Exchange Plaza, 5th Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai – 400 051. NSE Symbol: 5PAISA</p>
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Dear Sir / Madam,

Sub: Disclosure under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 – Settlement Order passed by SEBI under Settlement Scheme for Association with Certain Algo Platforms, 2025:

In terms of Regulation 30 read with Schedule III of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that the Securities and Exchange Board of India (“SEBI”) has passed a Settlement Order under the “*Settlement Scheme for Association with Certain Algo Platforms, 2025*”.

In this regard, the details pursuant to SEBI Circular No. HO/49/14/14(7)2025-CFD-POD2/I/3762/2026 dated January 30, 2026, are disclosed in “**Annexure A**”.

The Company continues to strengthen its compliance framework and remains committed to adhering to applicable regulatory requirements.

You are requested to take the same on record.

Thanking You,
Yours faithfully,

For 5paisa Capital Limited

Charvi Panchmatia
Company Secretary & Compliance Officer
ICSI Membership No.: A49189
Email: csteam@5paisa.com

5paisa Capital Limited

Annexure A

Disclosure of information pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, read with SEBI Circular No. HO/49/14/14(7)2025-CFD-POD2/I/3762/2026 dated January 30, 2026

Sr. No.	Particulars	Company's Response
1.	Name of the authority	Securities and Exchange Board of India ("SEBI")
2.	Nature and details of the action(s) taken or order(s) passed	SEBI passed a Settlement Order, imposing a settlement amount of ₹1,00,000/- (Rupees One Lakh only).
3.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	Order dated March 17, 2026, displayed on SEBI website on March 18, 2026.
4.	Details of the violation(s) / contravention(s) committed or alleged to be committed	<p>SEBI conducted an examination in matter of Tradetron and other algo platforms, wherein certain stock-brokers whose Application Programming Interfaces (APIs) were found to be integrated with such platforms. These platforms were observed to be displaying / marketing strategies indicating assured or consistent returns.</p> <p>SEBI observed that such association was in alleged violation of SEBI Circular dated September 02, 2022, and provisions of the SEBI (Stock Brokers) Regulations, 1992.</p>
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	There is no material financial impact on the Company other than the payment of the aforesaid settlement amount.

5paisa Capital Limited