

October 14, 2025

To, The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai – 400 001. BSE Scrip Code: 540776	To, The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai – 400 051. NSE Symbol: 5PAISA
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Dear Sir / Madam,

Sub: Disclosure under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulations, 2015 (“SEBI Listing Regulations”):

In terms of Regulation 30 read with Para A of Part A of Schedule III of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, It is hereby informed that the Company has received Adjudication Order on October 13, 2025, under Section 15-I of Securities and Exchange Board of India Act, 1992 read with Rule 5 of Securities and Exchange Board of India (Procedure For Holding Inquiry and Imposing Penalties) Rules, 1995.

The required details according to the SEBI Circular No. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024, are disclosed in “**Annexure A**”.

The Company is committed to adhering to the highest standards of corporate governance and will continue to make disclosures in compliance with the SEBI Listing Regulations from time to time.

You are requested to take the same on record.

Thanking You,
Yours faithfully,

For 5paise Capital Limited

Gourav Munjal
Whole time Director & CFO
DIN: 06360031
Email: csteam@5paise.com

5paise Capital Limited

Annexure A

Disclosure of information pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, read with SEBI Circular No. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024

Sr. No.	Particulars	Details
1.	Name of the authority	Securities and Exchange Board of India (SEBI)
2.	Nature and details of the action(s) taken or order(s) passed	SEBI has imposed a joint and several penalty of ₹ 3,00,000/- on Company and its 2 Executive Directors (out of which 1 is not associated with Company as on date)
3.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	October 13, 2025
4.	Details of the violation(s) / contravention(s) committed or alleged to be committed	<p>Pursuant to the inspection in respect of Online Bond Platform Provider (OBPP) for the period from June 14, 2023, to March 31, 2024, to examine compliance with the provisions of SEBI (Stock Brokers) Regulations, 1992 read with "NCS Regulations", SEBI observed certain non-compliances with the provisions of SEBI NCS Master Circular.</p> <p>Based on the findings, SEBI has imposed a penalty (joint & several) of ₹ 3,00,000/- on the Company and its 2 Executive Directors (out of which 1 is not associated with Company as on date).</p>
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Apart from the penalty imposed, there has been no significant impact on financial, operational or other activities of the Company.

5paisa Capital Limited