

July 06, 2024

<p>To, The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai – 400 001. BSE Scrip Code: 540776</p>	<p>To, The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai – 400 051. NSE Symbol: 5PAISA</p>
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Dear Sir / Madam,

Sub: Intimation with respect to order passed by Hon’ble Securities Appellate Tribunal (“SAT”) dated July 05, 2024.
Ref: Disclosure under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulations, 2015 (“Listing Regulations”)

With reference to the captioned subject and in continuation to our intimation dated March 15, 2024 and July 02, 2024 it is informed that the Company had filed an appeal against the order (“Impugned order”) passed by Member and Core Settlement Guarantee Fund Committee (MCSGFC) of the Multi Commodity Stock Exchange (“MCX”) vide its letter dated July 01, 2024 before Securities Appellate Tribunal (“SAT”).

In view of the above, please find below disclosure of information for development in relation to such proceeding pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, read with Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023.

Sr. No.	Particulars	Details
1.	The details of any change in the status and/or any development in relation to such proceedings;	<p>This is in continuation to our earlier intimation dated July 02, 2024 wherein it was informed that MCSGFC of MCX vide its order dated July 01, 2024 had imposed a restriction on on-boarding of new clients for a period of 14 days from the date of receipt of the order (taking into account that the member has already been directed not to on-board new clients for a day on March 15, 2024) and a consolidated monetary penalty of Rs. 2,59,75,000/- in terms of the exchange circulars.</p> <p>In furtherance to the above, we wish to inform you that the company had filed an appeal against the said order before Hon’ble Securities Appellate Tribunal (“SAT”).</p> <p>In this regard, SAT has passed an order on July 05, 2024, granting a stay on the operation of the Impugned order of MCX dated July 01, 2024 subject to deposit of 50% of the penalty amount i.e. ₹ 1,30,00,000/- (Rupees One Crore Thirty Lakhs Only) within one week.</p> <p>It is further informed that the Company has promptly deposited the abovementioned amount with MCX and the restriction, so imposed has been revoked across Exchanges.</p>
2.	In the case of litigation against key management personnel or its promoter or ultimate person in control, regularly provide	Not Applicable

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	details of any change in the status and / or any development in relation to such proceedings;	
3.	In the event of settlement of the proceedings, details of such settlement including - terms of the settlement, compensation/penalty paid (if any) and impact of such settlement on the financial position of the listed entity.	Not Applicable

Kindly take the above in record.

The Exchange shall be intimated on the developments in relation to the above in terms of SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023.

Thanking You,

Yours faithfully,

For 5paisa Capital Limited

Namita Godbole
Company Secretary & Compliance Officer
Membership No.: A21056
Email: csteam@5paisa.com

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