

June 06, 2026

To, The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai – 400 001. BSE Scrip Code: 540776	To, The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai – 400 051. NSE Symbol: 5PAISA
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Dear Sir / Madam,

Sub: Disclosure under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulations, 2015 (“SEBI Listing Regulations”):

In terms of Regulation 30 read with Schedule III of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, it is hereby informed that the Company has received a Show Cause Notice (SCN) issued by SEBI under Section 12(3) of the Securities and Exchange Board of India Act, 1992 (“SEBI Act, 1992”) read with Regulation 30A of the SEBI (Intermediaries) Regulations, 2008, in the matter of expiry of the certificate of registration as an Investment Adviser.

The required details according to the SEBI Circular No. Circular No. HO/49/14/14(7)2025-CFD-POD2/I/3762/2026 dated January 30, 2026 are disclosed in “**Annexure A**”.

The Company will promptly respond to the show cause notice and inform the stock exchanges of any material developments in this regard.

The Company is committed to adhering to the highest standards of corporate governance and will continue to make disclosures in compliance with the SEBI Listing Regulations from time to time.

You are requested to take the same on record.

Thanking You,
Yours faithfully,

For 5paisa Capital Limited

Gourav Munjal
Whole time Director & CFO
DIN: 06360031
Email: csteam@5paisa.com

5paisa Capital Limited

Annexure A

Disclosure of information pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, read with SEBI Circular No. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024

1.	Name of the authority	Securities and Exchange Board of India (SEBI)
2.	Nature and details of the action(s) taken or order(s) passed	SEBI has issued a Show Cause Notice (SCN) to the Company, seeking a response as to why the certificate of registration as an Investment Adviser should not be cancelled or suspended under Regulation 30A of the SEBI (Intermediaries) Regulations, 2008, read with Section 12(3) of the SEBI Act, 1992.
3.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	The Show Cause Notice (SCN) was received on June 05, 2026 via post.
4.	Details of the violation(s) / contravention(s) committed or alleged to be committed	<p>Pursuant to Clause 3 of the Second Schedule of the SEBI (Investment Advisers) Regulations, 2013, an Investment Adviser who has been granted a certificate of registration shall pay the prescribed fees every five years from the date of grant of the certificate, within 3 months before the expiry of the period for which the fees have been paid, in order to keep the registration in force.</p> <p>In this regard, SEBI has alleged that the Company failed to pay the applicable fees in accordance with the aforesaid provisions. Consequently, SEBI has stated that the Company ceased to satisfy the conditions of registration stipulated under Regulation 13(a) read with Clause 3 of the Second Schedule of the SEBI (Investment Advisers) Regulations, 2013, and that the registration as an Investment Adviser has expired</p> <p>Considering the same, SEBI has stated that the Certificate of Registration, which has already expired due to non-payment of fees, may be cancelled and has issued a Show Cause Notice to the Company, calling upon it to show cause as to why the Certificate of Registration should not be cancelled.</p>
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	The impact of the SCN will depend upon the outcome. The financial impact cannot be quantified as on date.

5paisa Capital Limited