

UJJIVAN/SE/2022-23/04

April 12, 2022

National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East),
Mumbai 400 051
Kind Attention: Manager, Listing Department

BSE Limited
P.J. Tower,
Dalal Street
Mumbai 400 001
Kind Attention: Manager, Listing Compliance

Trading Symbol: UJJIVAN

SCRIP CODE: 539874

Dear Sir/Madam,

Subject: Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the period from 1st April 2021 to 31st March 2022.

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the Certificate for the period from 1st April 2021 to 31st March 2022, duly signed by Mr. K. Jayachandran, Practicing Company Secretary.

Please take the above on record.

Thanking You,

Yours faithfully,

For Ujjivan Financial Services Limited

Digitally signed by
SHASHIDHARA
SUBRAMANIAN
Date: 2022.04.12
12:11:41 +05'30'

Shashidhara S
Company Secretary

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND LISTING REQUIREMENTS) REGULATIONS, 2015 (LISTING REGULATIONS)

FOR THE PERIOD ENDED 31st MARCH, 2022

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **UJJIVAN FINANCIAL SERVICES LIMITED** maintained by **KFin Technologies Limited** (*Formerly known as KFin Technologies Private Limited*) pertaining to transfer of equity shares of the Company for the period from **1st April, 2021 to 31st March, 2022** for the purpose of issuing a Certificate as per Regulation 40 (9) the Listing Regulations.

I confirm that no valid request received from the concerned investors with regard to Split of Shares / Consolidation of shares, Transfer of shares / Transmission of shares / duplicate Share Certificate during the period **1st April, 2021 to 31st March, 2022**.

Place: Bengaluru
Dated: April 8, 2022

KUNJITHAPADHAM
JAYACHANDRAN
Digitally signed by
KUNJITHAPADHAM
JAYACHANDRAN
Date: 2022.04.08 16:10:28 +05'30'

K. JAYACHANDRAN
CP NO. 4031
UDIN: A011309D000051184