



28th October, 2020

The Secretary The National Stock Exchange of India Ltd. Exchange Plaza, 5 th Floor Plot No. C/1, G Block Bandra - Kurla Complex, Bandra (E) MUMBAI - 400 051	Department of Corporate Services BSE Limited 1 st Floor, New Trading Ring, Rotunda Building P J Towers, Dalal Street, Fort, MUMBAI - 400 001
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Sub.: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 {Listing Regulations}.

Dear Sir,

Pursuant to Regulation 40(10) of Listing Regulations, please find enclosed herewith compliance certificate of Practicing Company Secretary for the half year ended 30th September, 2020, in terms of Regulation 40(9) of Listing Regulations.

You are requested to take the above on record.

Thanking you,

Yours faithfully,
for **BALLARPUR INDUSTRIES LIMITED**

AKHIL MAHAJAN
CHIEF GENERAL MANAGER & COMPANY SECRETARY

(Ballarpur Industries Limited is undergoing Corporate Insolvency Resolution Process under Insolvency and Bankruptcy Code 2016. The affairs, business and assets of the corporate debtor are being managed by Mr. Anuj Jain, Resolution Professional vide Hon'ble National Company Law Tribunal, Principal bench, New Delhi order dated May 27, 2020)



CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR HALF YEAR ENDED 30TH SEPTEMBER, 2020

I, Prashant Kumar Balodia, Practicing Company Secretary, have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **Ballarpur Industries Limited** ("the Company") maintained by **RCMC Share Registry Pvt. Ltd.** Registrar and Share Transfer Agents pertaining to transfer of equity shares of the Company for the period from 01st April, 2020 to 30th September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

- A) The Company has not received any request for transfer of shares during the period from 1st April, 2020 to 30th September, 2020.
- B) The Company has not received any request for Sub-division, Consolidation, Renewal, Transmission, Duplicate and Split certificates received during the period from 1st April, 2020 to 30th September, 2020.

For PDS & Co.
Company Secretaries



(Prashant Kumar Balodia)

Partner

FCS: 6047

CP No. : 6153

UDIN: F006047B001078723

Date: 28th October, 2020
Place: Delhi