

April 30, 2020

To

<b>BSE Limited,</b> <b>Dept. of Corporate Services,</b> Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400 001 <b>Company Code: 505075</b>	<b>National Stock Exchange of India Ltd,</b> <b>Listing Department</b> Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai – 400051 <b>Scrip Symbol: SETCO</b>
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Dear Sir,

**Sub: Certificate under Regulation 40 (9) of SEBI (LODR) Regulations, 2015**

With reference to above, we are enclosing herewith the Half Yearly Certificate for Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the period from 01.10.2019 to 31.03.2020.

Kindly take the above information on record and acknowledge receipt.

Thanking you,

Yours faithfully,

**For Setco Automotive Limited**

**Sd/-**

**Chandra Kant Sharma**

**Company Secretary**

Encl: As above

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LODR) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Setco Automotive Limited** having its registered office situated at Baroda – Godhra Highway, Kalol – 389 330, District Panchmahals, Gujarat maintained by **M/s. Link Intime (India) Private Limited**, a Registrar & Share Transfer Agent (RTA) having its office at C 101, 247 Park, L B S Marg, Vikhroli West, Mumbai – 400 083 pertaining to transfer of equity shares (share transfer deeds of which were lodged prior to April 1, 2019 and returned due to deficiency and re-lodged during the half year ended March 31, 2020) of the company for the period from **01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020** for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (LODR) Regulations, 2015 entered into by, **M/s. Setco Automotive Limited** with BSE Limited & National Stock Exchange of India Limited and based on the information provided by the Company, I hereby certify that the Company has delivered during **half year ended on 31<sup>st</sup> March, 2020:**

- A) ~~Share/Debt Certificate~~ Certificate(s) relating to the transfer of ~~Shares/Debentures~~ (share transfer deeds of which were lodged prior to April 1, 2019 and returned due to deficiency and re-lodged during the half year ended March 31, 2020) received during the period from **01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020** as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) *Any other information, if applicable:*

Note: As per The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fourth Amendment) Regulations, 2018, (hereinafter SEBI (LODR)) read with SEBI Notification No. SEBI/LAD-NRO /GN/ 2018/49 dated 30<sup>th</sup> November, 2018, first proviso has been inserted in Regulation 40 (1) of SEBI (LODR) as per which requests for effecting transfer of securities w.e.f. 1<sup>st</sup> April, 2019 shall not be processed unless the securities are held in the dematerialized form with a depository. Accordingly, during the period from

*01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020* no requests for share transfers were processed in physical form.

**For P. P. SHAH & CO.**

**(PRADIP C. SHAH)**  
**Practicing Company Secretary**  
**Partner**  
**Membership No: 1483**  
**Certificate of Practice: 436**  
**UDIN: F001483B000189407**

Date: 30<sup>th</sup> April, 2020  
Place: Mumbai

**Please note that:**

- 1) This Certificate should be given by a *Practicing Company Secretary*.
- 2) The Certificates should be given for *Half Year ended 31<sup>st</sup> March, 2020*.