

KPL/2021-22/BSE

22.04.2021



Bombay Stock Exchange Ltd. Phiroze Jeejeebhoy Towers 25th Floor, Dalal Street MUMBAI - 400 001	National Stock Exchange Of India Ltd. Exchange Plaza 5th Floor Plot No.C/1, G Block Bandra Kurla Complex Bandra (E) MUMBAI - 400 051
Scrip Code : 530299	Scrip Code : KOTHARIPRO

Dear sir,

Re : SUBMISSION OF COMPLIANCE CERTIFICATE UNDER
REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATION, 2015 (LISTING
REGULATIONS)

With reference to the captioned subject we are attaching herewith a Compliance Certificate from a practising Company Secretary in respect of despatch of Share Certificate(s) after transfer of shares of our Company for the period of Six months ended March, 2021.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully
for **KOTHARI PRODUCTS LTD.**


(RAJ KUMAR GUPTA)
CS & COMPLIANCE OFFICER

FCS - 3281

Address :

"Pan Parag House
24/19, The Mall,
Kanpur



Regd. Off. : "Pan Parag House", 24/19, The Mall, Kanpur - 208 001 (INDIA)

Phone : +91 512 2312171-72-73-74 • Fax: +91 512 2312058

E-mail: kothari@panparag.com

CIN No. - L 16008 UP 1983 PLC 006254

ADESH TANDON & ASSOCIATES
COMPANY SECRETARIES

Adesh Tandon
FCS, LL.B., B.Com., AAIMA

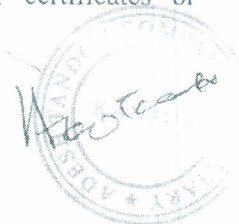
811, 8th Floor, KAN Chambers,
14/113, Civil Lines, Kanpur - 208 001 (U.P.)
Tel. : 0512 - 2332397 • Mobile : +91-9839100709
E-mail : adesh.tandon11@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATION, 2015 FOR THE HALF YEAR ENDED MARCH 31, 2021.**

The Securities and Exchange Board of India ("SEBI") vide gazette notification dated June 08, 2018 and vide its press release dated December 03, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 01, 2019. Further, SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 01, 2019.

Accordingly, on the basis of examination of all the relevant documents relating to Shares of **KOTHARI PRODUCTS LIMITED** ("the Company"), having its registered office at Pan Parag House, 24/19, The Mall, Kanpur-208001 and paid up share capital of Rs. 29,84,38,650/- (Rupees Twenty Nine Crores Eighty Four Lakhs Thirty Eight Thousand Six Hundred and Fifty only) divided into 2,98,43,865 (Two Crores Ninety Eight Lakhs Forty Three Thousand Eight Hundred and Sixty Five only) equity shares of Rs. 10/- (Rupees Ten only) each, maintained and provided electronically by ALANKIT ASSIGNMENTS LIMITED, Registrar and Transfer Agent (RTA) of the Company, pertaining to period from October 01, 2020 to March 31, 2021 for the purpose of issuing a Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

- (A) There were no requests for transfer/transmission/transposition of securities, duplicate/sub division (split), consolidation, renewal, exchange of certificates or endorsement of calls/allotment monies received during the said period;



ADESH TANDON & ASSOCIATES
COMPANY SECRETARIES

Adesh Tandon
FCS, LL.B., B.Com., AAIMA

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- (B) During the period under review, no request for Remat was received by the Company;
- (C) The Company has not issued any debentures, hence the reporting of the same is not applicable.
- (D) Any other information, if applicable: Physical verification of the documents could not be done due to the prevailing COVID-19 situation.

For ADESH TANDON AND ASSOCIATES
Company Secretaries

For ADESH TANDON & ASSOCIATES
COMPANY SECRETARIES

Adesh Tandon
PROPRIETOR
C.P. No. 1121

Adesh Tandon
Proprietor
FCS No.2253
C. P. No.1121

UDIN: F002253C000148542

Date: April 21, 2021

Place: Kanpur