

**UJJIVAN/SE/2019-20/39**

**October 15, 2019**

**National Stock Exchange of India Limited**  
Exchange Plaza, Bandra Kurla Complex,  
Bandra (East),  
Mumbai 400 051  
Kind Attention: Manager, Listing Department

**BSE Limited**  
P.J. Tower,  
Dalal Street  
Mumbai 400 001  
Kind Attention: Manager, Listing Compliance

**Trading Symbol: UJJIVAN**

**SCRIP CODE: 539874**

**Dear Sir/Madam,**

**Subject: Compliance Certificate under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")**

We confirm that all activities in relation to share transfer facility are maintained by our Registrar and share transfer agent, Karvy Fintech Pvt. Ltd.

In compliance with the Regulation 7(3), please find enclosed herewith Compliance Certificate from Karvy Fintech Pvt. Ltd. duly certified by us for the half year ended September 30, 2019.

We request you to please take the above on record.

Thanking You,

Yours faithfully,

**For Ujjivan Financial Services Limited**

  
**Sanjeev Barnwal**  
Company Secretary and Compliance Officer



**COMPLIANCE CERTIFICATE**

THIS IS TO CERTIFY that, Karvy Fintech Private Limited (KFPL) is providing Registry and Share Transfer services to UJJIVAN FINANCIAL SERVICES LIMITED and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFPL:

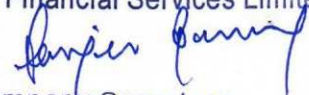
- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For Karvy Fintech Private Limited

  
Authorised Signatory

Place : Hyderabad  
Date : 05/10/2019

*Certified*  
For Ujjivan Financial Services Limited  
  
Company Secretary

**Karvy Fintech Private Limited (KFPL)**  
(Formerly known as KCPL Advisory Services Private Limited)

**Registered & Corporate Office**

"Karvy Selenium Tower-B", Plot No. 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500032, Telangana.  
Ph : +91 40 6716 2222, 3321 1000 | www.karvyfintech.com | CIN : U72400TG2017PTC117649