

**UJJIVAN/SE/2019-20/38**

**October 15, 2019**

**National Stock Exchange of India Limited**  
Exchange Plaza, Bandra Kurla Complex,  
Bandra (East),  
Mumbai 400 051  
Kind Attention: Manager, Listing Department

**BSE Limited**  
P.J. Tower,  
Dalal Street  
Mumbai 400 001  
Kind Attention: Manager, Listing Compliance

**Trading Symbol: UJJIVAN**

**SCRIP CODE: 539874**

**Dear Sir/Madam,**

**Subject: Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended on September 30, 2019**

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the Certificate for the half year ended September 30, 2019, duly signed by Mr. K. Jayachandran, Practicing Company Secretary.

We request you to please take the above on record.

Thanking You,

Yours faithfully,

For Ujjivan Financial Services Limited

  
**Sanjeev Barnwal**  
Company Secretary and Compliance Officer





**K. Jayachandran**  
Company Secretary

Off : 080-23478383  
Cell : 98864 83991  
E-mail : jayck\_1960@yahoo.com

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND LISTING REQUIREMENTS) REGULATIONS, 2015 (LISTING REGULATIONS)**

**FOR THE PERIOD ENDED 30<sup>th</sup> September, 2019**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **UJJIVAN FINANCIAL SERVICES LIMITED** maintained by **KarvyFintech Private Limited** pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019** for the purpose of issuing a Certificate as per Regulation 40 (9) the Listing Regulations.

I confirm that no valid request received from the concerned investors with regard to Split of Shares / Consolidation of shares, Transfer of shares / Transmission / duplicate Share Certificate during the period **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019**.

Place: Bengaluru  
Dated: 15.10.2019

  
**K. JAYACHANDRAN**  
CP NO. 4031

