UJJIVAN/SE/2018-19/16

June 27, 2018

National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East),
Mumbai 400 051
Kind Attention: Manager, Listing Department

BSE Limited
P.J. Tower,
Dalal Street
Mumbai 400 001
Kind Attention: Manager, Listing Compliance

Trading Symbol: UJJIVAN

SCRIP CODE: 539874

Dear Sir/Madam,

Subject: Compliance with Regulation 30(2) – Schedule III – Part A and Regulation 44(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

We wish to inform you that the 14th Annual General Meeting ("AGM") of the Company was held today, Wednesday, June 27, 2018 at 02:30 P.M. (IST) at Annex. II, No. 23-26, Grape Garden, 17th H Main, 6th Block, Koramangala, Bangalore 560095.

As per the provisions of the Companies Act, 2013 and the SEBI Listing Regulations, the Company had provided the facility of remote e-voting to the shareholders to enable them to cast their vote electronically on all the resolutions proposed in the AGM notice. The remote e-voting was open from Friday, June 22, 2018 (10.00 AM) to Tuesday, June 26, 2018 (5.00 PM).

The Board of Directors had appointed Mr. S. Kannan, Practising Company Secretary (FCS 6261, holding CP No. 13016) as the Scrutinizer for the remote e-voting and voting process at the AGM. Mr. S Kannan has carried out the scrutiny of all the electronic votes received upto 5.00 PM on Wednesday, June 26, 2018 and voting conducted at the AGM today and has submitted his consolidated report today on June 27, 2018.

Based on the consolidated report of the Scrutinizer, all the resolutions (Ordinary and Special Business) as set out in the notice of 14th AGM have been duly approved by the shareholders with requisite majority.

In this regard please find enclosed the following:

1. Proceedings of the 14th AGM of the Company, as required under Reg. 30, Part-A of Schedule III of the Listing Regulations as Annexure-A
2. Disclosure pertaining to the voting results of the remote e-voting and insta-poll conducted at the 14th AGM, pursuant to provisions of Reg. 44 (3) of Listing Regulations
3. Consolidated Report of the Scrutinizer dated August 05, 2017, pursuant to Sec 108 and 109 of the Companies Act, 2013 and Rules thereunder.

The Annual Report for the FY 2017-18 duly approved and adopted by the members has already been uploaded on the exchanges as required under Regulation 34 of the Listing Regulations.

The result along with the Scrutinizer's Report is also available at the registered office of the Company and Karvy Computershare Private Limited, Registrar and Transfer Agent of the Company.

Kindly take the same on record.

Thanking You,

Yours faithfully,

For **Ujjivan Financial Services Limited**

Sanjeev Barnwal
Company Secretary and Compliance Officer
Enclosures: as mentioned above

1) Annexure A – AGM Proceedings
2) Voting Results
3) Scrutinizer's Report

Annexure-A

Summary of proceedings of the 14th Annual General Meeting

The 14th Annual General Meeting (AGM) of the Members of Ujjivan Financial Services Limited ('the Company') was held on Wednesday, June 27, 2018 at 2.30 P.M (IST) at Annex-II, No. 23-26, Grape Garden, No. 17th H Main, 6th Block, Koramangala, Bangalore – 560095, Karnataka.

Mr. Sanjeev Barnwal, Company Secretary and Compliance Officer welcomed the Members present at the meeting. He also informed that the Register of Directors and Key Managerial Personnel and the Register of proxies are available for inspection near the registration counters. He introduced the Board of Directors present in the meeting, Mr. K.R. Ramamoorthy (Chairman of the Company as well as the Chairman of the Audit Committee and Stakeholders Relationship Committee), Mr. Abhijit Sen (Independent Director and Chairman of Governance, Nomination and Remuneration Committee) and Ms. Sudha Suresh (Managing Director & CEO). He also introduced Mr. Samit Ghosh (Managing Director & CEO of 'Ujjivan Small Finance Bank Limited') who was present for the AGM of the Company.

Other Directors of the Company Mr. Jayanta Basu, Mr. Amit Gupta and Ms. Vandana Viswanathan expressed their sincere apologies for their inability to make it for the AGM and had sought leave of absence.

The representatives from Price Waterhouse Chartered Accountants LLP (Statutory Auditors), Mr. Jayachandran, Practising Company Secretary (Secretarial Auditor), Mr. S. Kannan, Consultant Company Secretary (Scrutiniser) and representatives from Karvy Computershare Private Limited (Registrar and Transfer Agent) were present at the Meeting.

Mr. K.R. Ramamoorthy chaired the meeting. He welcomed the Members to the 14th Annual General Meeting of the Company and the requisite quorum being present, the meeting was called to order. The Chairman explained that due to prior commitment, the other Directors could not be present at the AGM.

The total number of shareholders of the Company as on the cut-off date i.e. June 20, 2018 was 74,915. As per the attendance records 58 Members were present in the Meeting. The Chairman delivered his speech covering *inter alia* the business and financial performance of the Company, the strategic initiatives taken by 'Ujjivan Small Finance Bank Limited' (hereinafter referred to as the 'Bank') during the year and the future opportunities and potential of the Bank. He also shared with regret that Ms. Sudha Suresh, the MD & CEO has resigned for personal reasons, to take effect from June 30, 2018. Mr. Samit Ghosh, MD & CEO of 'Ujjivan Small Finance Bank Limited provided an insight on the performance of the Bank and initiatives taken during the year, the opportunities and his vision moving forward to create a best in class mass market bank. He emphasized on Ujjivan's philosophy of inclusive growth and being best for all stakeholders – shareholders, employees, customers and society at large.

The Company Secretary informed that the Company had provided the Members the facility to cast their vote electronically, on all resolutions set forth in the Notice. Members who were present at the AGM and had not cast their votes electronically were provided an opportunity to cast their votes through Insta-Poll Facility at the end of the meeting. It was further informed that there would be no voting by show of hands. With the approval of the Shareholders, the notice of the AGM and the Auditors Report (which was free from any qualification) was taken as read.

The following items of business, as per the Notice of AGM dated May 10, 2018, were transacted at the meeting.

Ordinary Business:

1) Adoption of Financial Statements of the Company for the financial year ended March 31, 2018 and the reports of the Board of Directors and Auditors thereon.

   Ms. Sudha Suresh, MD & CEO of the Company made a presentation on the financial performance of the Company for the FY 2017-18.

2) Declaration of Dividend of Rs. 0.50 per Equity Share for the year ended March 31, 2018

3) To appoint a director in place of Mr. Jayanta Basu (DIN: 01268046), who retires by rotation and being eligible, offers himself for re-appointment

Special Business:

4) To approve the appointment of Mr. Ittira Davis (DIN: 06442816) as the Managing Director and Chief Executive Officer of the Company for a period of 3 years w.e.f. July 01, 2018 subject to the approval of the Reserve Bank of India

5) Cancellation of Options which are lapsed and valid under existing ESOP Schemes 2006, 2007, 2008, 2010 and 2015 and approval for adding back the cancelled options to the ESOP 2015 Pool

6) Grant of Stock Options to the Employees (present and future) of the wholly owned subsidiary 'Ujjivan Small Finance Bank Limited' under ESOP Scheme 2015

The Chairman invited the members to ask their queries and seek clarifications, if any. The Chairman together with Ms. Sudha Suresh and Mr. Samit Ghosh, MD & CEO of the Bank responded to the queries raised at the AGM. The necessary clarifications and queries were duly addressed by the Company representatives.

The Board of Directors had appointed Mr. S Kannan (PCS No.13016 / FCS 6261) as the Scrutinizer to supervise the e –voting and ballot voting process. The Chairman authorized the Company secretary to declare the results of voting after receipt of Scrutinizer's Report and within 48 hours of the conclusion of the AGM.

The Chairman thanked the members for attending the AGM and declared that the resolutions set forth in the notice shall be deemed to be passed today and thereafter the meeting was concluded.

This is for your information and records.

Thanking you,
Yours Sincerely,

For Ujjivan Financial Services Limited

Sanjeev Barnwal
Company Secretary and Compliance Officer

	UJJIVAN FINANCIAL SERVICES LIMITED
Date of the AGM/EGM	**27-06-2018**
Total number of shareholders on record date	74915
No. of shareholders present in the meeting either in person or through proxy:	
Promoters and Promoter Group:	0
Public:	58
No. of Shareholders attended the meeting through Video Conferencing	
Promoters and Promoter Group:	Not Applicable
Public:	Not Applicable

Resolution No.	**1**							
Resolution required: (Ordinary/ Special)	**ORDINARY - Adoption of Financial Statements for the FY 2017-18**							
Whether promoter/ promoter group are interested in the agenda/resolution?	No							
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]* 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	0	0	0.0000	00	0	0.0000	0.0000
	Poll		0	0.0000	00	0	0.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		0	0	0	0	0	0
Public- Institutions	E-Voting	68608374	38264269	55.7720	38264269	0	100.0000	0.0000
	Poll		3106308	4.5276	3106308	0	100.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		41370577	60.2996	41370577	0	100	0
Public- Non Institutions	E-Voting	52319149	6963878	13.3104	6963695	183	99.9973	0.0026
	Poll		217929	0.4165	217929	0	100.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		7181807	13.7269	7181624	183	99.9975	0.0025
	Total	120927523	48552384	40.1500	48552201	183	99.9996	0.0004

Resolution No.	**2**							
Resolution required: (Ordinary/ Special)	**ORDINARY - Declaration of Dividend of 5% i.e. Rs. 0.50 per equity share for the year ended March 31, 2018**							
Whether promoter/ promoter group are interested in the agenda/resolution?	No							
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]* 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	0	0	0.0000	00	0	0.0000	0.0000
	Poll		0	0.0000	00	0	0.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		0	0	0	0	0	0
Public- Institutions	E-Voting	68608374	38630311	56.3055	38630311	0	100.0000	0.0000
	Poll		3106308	4.5276	3106308	0	100.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		41736619	60.8331	41736619	0	100	0
Public- Non Institutions	E-Voting	52319149	6963878	13.3104	6963710	168	99.9975	0.0024
	Poll		217929	0.4165	217929	0	100.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		7181807	13.7269	7181639	168	99.9977	0.0023
	Total	120927523	48918426	40.4527	48918258	168	99.9997	0.0003

Resolution No.	**3**							
Resolution required: (Ordinary/ Special)	**ORDINARY - To appoint a director in place of Mr. Jayanta Basu (DIN: 01268046), who retires by rotation and being eligible, offers himself for re-appointment**							
Whether promoter/ promoter group are interested in the agenda/resolution?	No							
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]* 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	0	0	0.0000	00	0	0.0000	0.0000
	Poll		0	0.0000	00	0	0.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		0	0	0	0	0	0
Public- Institutions	E-Voting	68608374	38243111	55.7412	37714501	528610	98.6177	1.3822
	Poll		3106308	4.5276	3106308	0	100.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		41349419	60.2688	40820809	528610	98.7216	1.2784
Public- Non Institutions	E-Voting	52319149	6963878	13.3104	6963704	174	99.9975	0.0024
	Poll		217929	0.4165	217929	0	100.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		7181807	13.7269	7181633	174	99.9976	0.0024
	Total	120927523	48531226	40.1325	48002442	528784	98.9104	1.0896

Resolution No.	**4**							
Resolution required: (Ordinary/ Special)	**SPECIAL - To approve the appointment of Mr. Ittira Davis (DIN: 06442816) as the Managing Director and Chief Executive Officer of the Company for a period of 3 years w.e.f. July 01, 2018 subject to the approval of the Reserve Bank of India (please refer the AGM notice for the detailed resolution)**							
Whether promoter/ promoter group are interested in the agenda/resolution?	No							



Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]* 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	0	0	0.0000	00	0	0.0000	0.0000
	Poll		0	0.0000	00	0	0.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		0	0	0	0	0	0
Public- Institutions	E-Voting	68608374	38630311	56.3055	38630311	0	100.0000	0.0000
	Poll		3106308	4.5276	3106308	0	100.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		41736619	60.8331	41736619	0	100	0
Public- Non Institutions	E-Voting	52319149	6963878	13.3104	6963719	159	99.9977	0.0022
	Poll		217929	0.4165	217929	0	100.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		7181807	13.7269	7181648	159	99.9978	0.0022
	Total	120927523	48918426	40.4527	48918267	159	99.9997	0.0003

Resolution No.	5							
Resolution required: (Ordinary/ Special)	SPECIAL - Cancellation of Options which are lapsed and valid under existing ESOP Schemes 2006, 2007, 2008, 2010 and 2015 and approval for adding back the cancelled options to the ESOP 2015 Pool (please refer the AGM notice for the detailed resolution)							
Whether promoter/ promoter group are interested in the agenda/resolution?	No							
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]* 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	0	0	0.0000	00	0	0.0000	0.0000
	Poll		0	0.0000	00	0	0.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		0	0	0	0	0	0
Public- Institutions	E-Voting	68608374	38630311	56.3055	38627743	2568	99.9933	0.0066
	Poll		3106308	4.5276	3106308	0	100.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		41736619	60.8331	41734051	2568	99.9938	0.0062
Public- Non Institutions	E-Voting	52319149	6963838	13.3103	6963799	39	99.9994	0.0005
	Poll		217929	0.4165	217929	0	100.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		7181767	13.7268	7181728	39	99.9995	0.0005
	Total	120927523	48918386	40.4526	48915779	2607	99.9947	0.0053

Resolution No.	6							
Resolution required: (Ordinary/ Special)	SPECIAL - Grant of Stock Options to the Employees (present and future) of the wholly owned subsidiary 'Ujjivan Small Finance Bank Limited' under ESOP Scheme 2015 (please refer the AGM notice for the detailed resolution)							
Whether promoter/ promoter group are interested in the agenda/resolution?	No							
Category	Mode of Voting	No. of shares held (1)	No. of votes polled (2)	% of Votes Polled on outstanding shares (3)=[(2)/(1)]* 100	No. of Votes – in favour (4)	No. of Votes – against (5)	% of Votes in favour on votes polled (6)=[(4)/(2)]*100	% of Votes against on votes polled (7)=[(5)/(2)]*100
Promoter and Promoter Group	E-Voting	0	0	0.0000	00	0	0.0000	0.0000
	Poll		0	0.0000	00	0	0.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		0	0	0	0	0	0
Public- Institutions	E-Voting	68608374	3863031 1	56.3055	38627743	2568	99.9933	0.0066
	Poll		3106308	4.5276	3106308	0	100.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		41736619	60.8331	41734051	2568	99.9938	0.0062
Public- Non Institutions	E-Voting	52319149	6963778	13.3102	6963544	234	99.9966	0.0033
	Poll		217929	0.4165	217929	0	100.0000	0.0000
	Postal Ballot (if applicable)		0	0.0000	00	0	0.0000	0.0000
	Total		7181707	13.7267	7181473	234	99.9967	0.0033
	Total	120927523	48918326	40.4526	48915524	2802	99.9943	0.0057



CS S KANNAN
Complete Compliance

FORM No. MGT-13
Report of Scrutinizer

[*Pursuant to rule section 109 of the Companies Act, 2013 and rule 21 (2)* of the Companies (Management and Administration) Rules, 2014 amended as on date]

Chairman / Authorised Person
UJJIVAN FINANCIAL SERVICES LIMITED
(CIN L65999KA2004PLC035329)
Grape Garden,
No. 27, 3rd 'A' Cross, 18th Main,
6th Block, Koramangala,
Bangalore 560095,

Dear Sir,

I, S Kannan, Practicing Company Secretary, appointed as Scrutinizer for the purpose of the poll taken on the resolutions indicated in the Notice sent to the shareholders of the company through e-voting and by poll at the Annual General Meeting (AGM) of the company held on 27th June, 2018 at Annex. II, No. 23-26, Grape Garden, No. 17th H Main, 6th Block, Koramangala, Bangalore 560095, at 2.30 P.M, submit my report as under:

1. The remote e-voting commenced from 22nd June 2018 at 10.00 AM and concluded at 5.00 PM on 26th June 2018. The Company had sent the annual reports to 63,618 Shareholders through e-mail out of which, 101 shareholders had exercised their rights in casting their votes through remote e-voting system.

2. Physical copy of the Annual Report was sent by Speed Post/Registered Post / Courier to 11,796 shareholders.

3. Amongst the 58 shareholders present at the AGM, 44 Shareholders had exercised their rights in casting their votes.

4. The polling at the AGM was done through Instapoll facility provided by Karvy Computershare Private Limited, the Share Transfer Agent of the Company. The procedure for voting through the Instapoll was explained by the Company Secretary to the shareholders present at the meeting.

5. After the result of the Instapoll was arrived at, I unblocked the votes cast through remote e-voting.

6. The voting done through remote e-voting and Instapoll were diligently scrutinized and were reconciled with the records maintained by the Company/ Registrar and Transfer Agents of the Company and the authorizations / proxies lodged with the Company.

7. The results of the Poll are as under:

RES. NO.	Resolution	E-VOTING		Instapoll at AGM		Total		Result
		For	Against	For	Against	For	Against	
1	Adoption of Financial Statements for the Year ended March 31, 2018.	45227964	183	3324237	0	48552201	183	Passed with requisite majority
2	Declaration of Dividend of 5% i.e. Rs. 0.50 per equity share for the year ended March 31, 2018.	45594021	168	3324237	0	48918258	168	Passed with requisite majority
3	To appoint a director in place of Mr. Jayanta Basu (DIN: 01268046), who retires by rotation and being eligible, offers himself for re-appointment.	44678205	528784	3324237	0	48002442	528784	Passed with requisite majority
4	To approve the appointment of Mr. Ittira Davis (DIN: 06442816) as the Managing Director and Chief Executive Officer of the Company for a period of 3 years w.e.f. July 01, 2018 subject to the approval of the Reserve Bank of India.	45594030	159	3324237	0	48918267	159	Passed with requisite majority
5	Cancellation of Options which are lapsed and valid under existing ESOP Schemes 2006, 2007, 2008, 2010 and 2015 and approval for adding back the cancelled options to the ESOP 2015 Pool.	45591542	2607	3324237	0	48915779	2607	Passed with requisite majority
6	Grant of Stock Options to the Employees (present and future) of the wholly owned subsidiary 'Ujjivan Small Finance Bank Limited' under ESOP Scheme 2015.	45591287	2802	3324237	0	48915524	2802	Passed with requisite majority

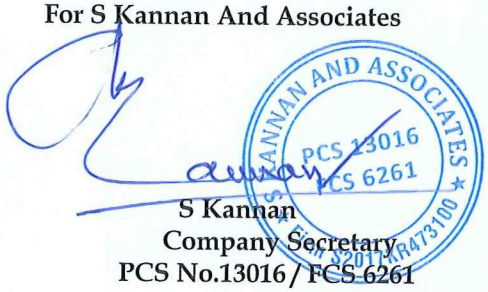
For your information, details of the polling done through remote e-voting and polling done by members present at the AGM are given in **Annexure A.**

S KANNAN AND ASSOCIATES FCS 6261 PCS 13016 Firm S2017KR473100

On reckoning the voting rights of the Members of the Company, I report that all the resolutions shall stand passed with the requisite majority. The company is hereby instructed to put up the results on its web site and also that of the service provider and inform the Stock Exchanges.

I confirm that I am maintaining the registers received from the service provider electronically, in respect of the votes cast through remote e-voting and through Instapoll by the shareholders of the Company.

For S Kannan And Associates



S Kannan
Company Secretary
PCS No.13016 / FCS 6261

Place: Bangalore
Date: 27th June 2018

Encl: Annexure A as above.

ANNEXURE - A

UJJIVAN FINANCIAL SERVICES LIMITED

Res. No.	Resolution	Mode of Voting	No. of Ballots received	No. of Votes	Ballots in Favour	No. of Votes in Favour	% of votes in favour	Ballots Against	No. of Votes against	% of votes against	No. of ballots invalid	No. of votes invalid	% of invalid votes	No. of Ballots Abstain	No. of votes in Abstain	% of votes in Abstain
1	Adoption of Financial Statements for the FY 2017-18	Electronic	101	45699789	90	45227964	98.97	6	183	0.00	0	0	0	5	471642	1.03
		Instapoll	45	3324239	44	3324237	100.00	0	0	0.00	0	0	0	1	2	0.00
	TOTAL		146	49024028	134	48552201	99.04	6	183	0.00	0	0	0	6	471644	0.96
2	Declaration of Dividend of 5% i.e. Rs. 0.50 per equity share for the year ended March 31, 2018	Electronic	101	45699789	95	45594021	99.77	5	168	0.00	0	0	0	1	105600	0.23
		Instapoll	45	3324239	44	3324237	100.00	0	0	0.00	0	0	0	1	2	
	TOTAL		146	49024028	139	48918258	99.78	5	168	0.00	0	0	0	2	105602	0.22
3	To appoint a director in place of Mr. Jayanta Basu (DIN: 01268046), who retires by rotation and being eligible, offers himself for re-appointment	Electronic	101	45699789	86	44678205	97.76	13	528784	1.16	0	0	0	2	492800	1.08
		Instapoll	45	3324239	44	3324237	100.00	0	0	0.00	0	0	0	1	2	
	TOTAL		146	49024028	130	48002442	97.92	13	528784	1.08	0	0	0	3	492802	1.01
4	To approve the appointment of Mr. Ittira Davis (DIN: 06442816) as the Managing Director and Chief Executive Officer of the Company for a period of 3 years w.e.f. July 01, 2018 subject to the approval of the Reserve Bank of India	Electronic	101	45699789	96	45594030	99.77	4	159	0.00	0	0	0	1	105600	0.23
		Instapoll	45	3324239	44	3324237	100.00	0	0	0.00	0	0	0	1	2	
	TOTAL		146	49024028	140	48918267	99.78	4	159	0.00	0	0	0	2	105602	0.22
5	Cancellation of Options which are lapsed and valid under existing ESOP Schemes 2006, 2007, 2008, 2010 and 2015 and approval for adding back the cancelled options to the ESOP 2015 Pool	Electronic	101	45699789	94	45591542	99.76	4	2607	0.01	0	0	0	3	105640	0.23
		Instapoll	45	3324239	44	3324237	100.00	0	0	0.00	0	0	0	1	2	
	TOTAL		146	49024028	138	48915779	99.78	4	2607	0.01	0	0	0	4	105642	0.22
6	Grant of Stock Options to the Employees (present and future) of the wholly owned subsidiary 'Ujjivan Small Finance Bank Limited' under ESOP Scheme 2015.	Electronic	101	45699789	91	45591287	99.76	7	2802	0.01	0	0	0	3	105700	0.23
		Instapoll	45	3324239	44	3324237	100.00	0	0	0.00	0	0	0	1	2	
	TOTAL		146	49024028	135	48915524	99.78	7	2802	0.01	0	0	0	4	105702	0.22

