

## Annexure 5

### Parameters for inadequate and inaccurate disclosures

#### **Clause 35:**

<b>Sr. No.</b>	<b>Parameters</b>
1	Changes in the names of the promoters, their shareholding, encumbered shares, persons holding more than the required percentage in public category and requisite disclosures have not been made under SEBI (Prohibition of Insider Trading) Regulations, 1992, SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 and any other applicable laws, rules and regulations
2	Promoter Shareholders name entered in the public shareholder annexure or vice versa in the same quarter
3	Promoters reclassified into the public category in the subsequent quarter
4	Promoters total mismatch with the total figure given under promoters holding total in the main shareholding pattern
5	Annexure of Shareholding pattern is missing as per shareholding pattern i.e. Main Shareholding Pattern (Annexure 1a), Promoter Shareholding (Annexure 1b) , Public Shareholding more than 1% {Annexure 1(ci)}, Public Shareholding more than 1% {Annexure 1 (cii)}, Lock-In category (Annexure 1d), Depository Receipts details (Annexure 2a), Depository Receipts holding (Annexure 2b)
6	Incomplete data in any annexure of Shareholding pattern
7	Lock-In category not given in the Lock-In annexures
8	Total Mismatch in any annexure of Share holding Pattern
9	99% dematerialization of Promoter Shareholding not achieved and reason not given or the reasons given are not exempted under SEBI Circular dated June 17, 2011, September 30, 2011 and March 31, 2012
10	Names of shareholders under the public category holding more than 5% have not been entered in public shareholding more than 1%
11	The effect of convertible securities is not given in the last column for 'Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital'
12	The period for which the shareholding pattern given is not specified
13	Custodian details not segregated between promoter and public category
14	Custodian details given in the main shareholding pattern but not given or wrongly given in the DR annexures
15	The number of shares held in demat is more than the total number of shares for any shareholder/class of shareholders
16	Total of Public annexures i.e public holding more than 1% and 5% goes beyond the total of public holding (i.e. B1+B2) in the main shareholding pattern
17	At any time, based on total shareholding of the company, the public shareholding should not go below 25% other than PSUs. In case of PSUs, the public shareholding should not go below 10%

**Clause 36:**

<b>Sr. No.</b>	<b>Parameters</b>
18	Non submission / late submission of important information
19	Non intimation / late intimation of material events

**Clause 41:**

<b>Sr. No.</b>	<b>Parameters</b>
20	Investors complaints has not been disclosed
21	The Company has not submitted Annual Audited results
22	The Company has not submitted Limited Review Report / Auditor's Report for the quarterly results
23	The Company has not given segment details
24	Asset/ Liability statement not submitted for the financial results
25	The Company has submitted consolidated financial results but not submitted standalone financial results for the last quarter or vice versa
26	The Company's MD/ Directors have not signed the financial results
27	Format for Clause 41 has not been adhered to
28	The Company has either not submitted notice or has given short notice (less than seven clear calendar days) for the board meeting where the financial results will be considered
29	The Company has not submitted the financial results to the exchange within 15 minutes of conclusion of the board meeting in which they were approved
30	The Company has not published the financial results within 48 hours in the newspaper

**Clause 49:**

<b>Sr. No.</b>	<b>Parameters</b>
31	The Company has not mentioned the Status of 'Yes', 'No' or 'NA' in sub-Clauses of Clause 49
32	The Company has omitted one of the sub-clauses of Clause 49 in case of physical submission
33	The Company has not sent the signed copy in case of physical submission
34	The Company has not mentioned the Status or Remarks clearly
35	There is mismatch between Status and Remarks