

## Annexure 1

### Fine structure

1. The Exchange shall impose fine for non-compliance with certain clauses of the listing agreement due to non-submission / delay in submission of the required reports / documents within the stipulated timelines as under:

Clause of listing agreement	Fine payable for 1st non-compliance	Fine Payable each subsequent and consecutive noncompliance
<b>Listing agreement Clause 31</b> Non-submission of the Annual Report within period prescribed under this clause.	If non-compliance continues for more than 5 days, Rs.1,000 per day till the date of compliance.	Rs. 2,000 per day till the date of compliance.
<b>Listing agreement Clause 35</b> Non submission of the shareholding pattern within period prescribed under this clause.	Rs. 1,000 per day till the date of compliance and If non-compliance continues for more than 15 days additional fine of 0.1 % of paid up capital* of the entity or Rs. 1 crore, whichever is less.	Rs. 2,000 per day till the date of compliance and If non-compliance continues for more than 15 days additional fine of 0.1 % of paid up capital* of the entity or Rs. 1 crore, whichever is less.
<b>Listing agreement Clause 41</b> Non submission of the financial results within period prescribed under this clause	Rs. 5,000 per day till the date of compliance and If non-compliance continues for more than 15 days additional fine of 0.1 % of Paid Up capital* of the entity or Rs. 1 crore, whichever is less.	Rs. 10,000 per day till the date of compliance and If non-compliance continues for more than 15 days additional fine of 0.1 % of Paid Up capital* of the entity or Rs. 1 crore, whichever is less.
<b>Listing agreement Clause 49</b> Non submission of the Corporate governance compliance report within period provided under this clause	Rs. 1,000 per day till the date of compliance.	Rs. 2,000 per day till the date of compliance.

*\*Listed Paid up capital as on first day of the financial year in which the non compliance occurs.*

2. The amount of fine realized as per the above structure shall be credited to the "Investor Protection Fund".
3. The names of noncompliant listed entities that are liable to pay fine for non-compliance of the above clauses of the listing agreement shall be disseminated on the exchange website.
4. A review of the compliance status of the listed entities will be conducted within 45 days from the end of the each quarter (for clauses at 35 and 49) and within 15 days from the due date of submissions under the clauses 31 and 41 and notices will be issued to the non-compliant listed entities to ensure compliance and pay fine as per above fine structure within 15 days from the date of the notice.

If any non-compliant listed entity fails to pay the fine despite receipt of the notice as stated above, Exchange may initiate appropriate enforcement action including prosecution.