

Axis Bank A/c Number 004010200021261 has been discontinued for collection of Processing Fees, Initial listing Fees, Annual listing and Application Fees. Fees are to be paid in IDBI Bank in unique virtual account number made based on symbol of the company and in case of new listings virtual account number based on PAN No of the company.

Mandatory step for generation of Bill and Bill payment recognition in books is adjustment of Pending transaction with Pending unadjusted amount in Neaps. This activity is to be done by Applicant Company.

Application Flow and Adjustment of Pending Transaction by following example tested in UAT

Case 1- Only Processing Fees

Company Name	Serial No	Application Type	GL 5/23239	GL GST	GL 39393/23279	TDS	Fees Payable	Receipt from bank to be shown
XYZ	1	Pre Allotment Bonus	75000	13500	88500	7500	81000	2500000
XYZ	2	Pre Allotment ESPS	200000	36000	236000	20000	216000	
XYZ	3	Pre Allotment FCCB	150000	27000	177000	15000	162000	
XYZ	4	Pre Allotment GDR	150000	27000	177000	0	177000	
XYZ	5	Pre Allotment QIP	1500000	270000	1770000	0	1770000	
XYZ	6	Pre Allotment IPP	1500000	270000	1770000	0	1770000	2360000
XYZ	7	Pre Allotment PREFERENTIAL ISSUE	500000	90000	590000	0	590000	
Total							4766000	4860000

Process-

- a) As per above mentioned case companies will make an application in Neaps as per current procedure and submit it. They can create transaction via RTGS/NEFT or Online payment only as per below screenshot.

b) Transaction will be created in each application as per below screenshot:

172.17.6.251:2010/LISTING/ x New Tab x New Tab x Welcome to NSE Electronic Appli x +

← → ↻ ⚠ Not secure | 172.17.6.251:2010/LISTING/

5		Certified true copy of the notice convening the AGM/EGM of shareholders along with the explanatory statement annexed thereto where the proposal for issue is to be put for approval					
6		Certified copy of the resolution passed by the shareholders at the AGM/EGM approving the issue/increase in the authorised share capital					
7		Confirmation from the Company that the equity shares so issued / arising on conversion of any convertible instrument so issued shall rank pari passu with the existing shares of the company in all respects including dividend					
8		Confirmation from company that if the conversion of FCD/PCDs is pending from company, if pending, benefit of bonus is available to those FCD/PCD holders also					

Fees Details

Processing Fees GST (18% on Fees amount)
TDS Applicable TDS Amount
Fees Payable
☐ Confirm Fees To be forwarded to Fees Report ? ☐ Yes ☒ No

Instrument Type	Cheque/DD No	Cheque/DD/Transaction Date	Drawn On Bank	UTR/Transaction number	Amount In Rupees
<input type="checkbox"/> NEFT/RTGS		01-Nov-2018	ALLAHABAD BANK		81000.00
Total Amt Paid					81000.00

Note : Fees Details will auto populate after successful bill payment .
Please Update your UTR no. for Payment mode RTGS/NEFT(Payments -> Bill Payment History)

Letter

Attached Letter List

No Application Letter(s) available.

Application Attachment

No Application Attachment(s) available.

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- c) On submission of applications, all transactions and money transfers in IDBI Bank for the company will get reflected in tab "Pending Adjusted Transaction".

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Symbol

XYZ

Total Pending Transaction Amount

1.4428283E7

Company Details

Company Name

Total Unadjusted Received Amount

4860000.0

Pending Transaction (Select pending transaction to be adjusted)

Bill No.	Instrument Type	Fees Type	Application No.	Application Type	Transaction Amount / Bill Amount	Transaction Date
2017-18/3122		AN			9662283.00	30-APR-18
	VAS	PF	0	FI	590000.00	12-NOV-18
	VAS	PF	0	FI	216000.00	12-NOV-18
	VAS	PF	0	FI	162000.00	02-NOV-18
	VAS	PF	0	FI	1770000.00	02-NOV-18
	VAS	PF	0	FI	1770000.00	02-NOV-18
	VAS	PF	0	FI	177000.00	02-NOV-18
	VAS	PF	0	FI	81000.00	01-NOV-18

Pending Unadjusted Amount (Select amount to be deducted from)

Account No.	UTR No.	Received Amount	Balance Amount	Received Date	Payment Mode	Amount to be utilized
VNSE0000RELIANCE	HDFCH12109362583	2360000.00	2360000.00	01-NOV-18	RTGS	
VNSE0000RELIANCE	HDFCH12109362578	2500000.00	2500000.00	01-NOV-18	RTGS	

Sum of amount entered

Adjust Cancel

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Transactions as per applications.

Amount received in Bank

Pending Transactions are Fees as per Application and Pending Unadjusted amount are amount received from the company in NSE IDBI Bank Account.

d) Company has to adjust all pending transactions with pending unadjusted amount in order to get a bill and also to record the payment done by them in Books of NSE.

1. Scenario 1- Company adjusted all the pending transactions with Pending unadjusted entries, then bills will get generated on that day and can be downloaded from Payments-Reports-All Bill report as per following screenshot. OJV of Bill, TDS and BRV against that bill will also get generated once adjusted transactions are pushed in NFA by IT department and interface run is done by accounts dept.

172.17.6.251:2010/LISTING/

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Welcome exchange MyProfile Logout

NSE | NSE's Electronic Application Processing System (NEAPS)

Role: Exchange Officer 12-Nov-2018 05:41:21 PM

HOME MASTER ISSUE COMPLIANCE MUTUAL FUND PAYMENT REPORT HELP DEBT Value Added Service

Adjust Pending Transaction
Annual Bill Payment
Cheque Detail
Listing Fees
Processing Fees Report
Reports

Symbol From Date To Date Search (Date filter is based on Bill Date)

All Bills Report

10 Records/Page

Click Here Symbol Company Name Bill No Amount Current Due Bill Due Date Segment Fees Type Fees Sub type Bill Status Processing Status Download

XYZ

DUMMY/2018-19/2239 88500.00 0.00 12-Nov-2018 27-Nov-2018 CM Paid TDS Not Received PDF not Created

DUMMY/2018-19/2238 177000.00 0.00 12-Nov-2018 27-Nov-2018 CM Paid TDS Not Received PDF not Created

DUMMY/2018-19/2237 177000.00 0.00 12-Nov-2018 27-Nov-2018 CM Paid Paid PDF not Created

DUMMY/2018-19/2236 236000.00 0.00 12-Nov-2018 27-Nov-2018 CM Paid TDS Not Received PDF not Created

DUMMY/2018-19/2235 1770000.00 0.00 12-Nov-2018 27-Nov-2018 CM Paid Paid PDF not Created

DUMMY/2018-19/2234 1770000.00 0.00 12-Nov-2018 27-Nov-2018 CM Paid Paid PDF not Created

DUMMY/2018-19/2233 5900000.00 0.00 12-Nov-2018 27-Nov-2018 CM Paid Paid PDF not Created

ABC

2018-19/2227 1169822.50 0.00 19-Oct-2018 18-Nov-2018 CM Application Paid TDS Not Received PDF not Created

2018-19/2225 123900.00 0.00 19-Oct-2018 18-Nov-2018 CM Application Paid TDS Not Received PDF not Created

172.17.6.251:2010/LISTING/bills/showAllBills?reportType=All&menuId=531

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2. Scenario 2- Company did not adjust pending transactions with Pending unadjusted entries: No Bill will get generated against that application.

Please Note: No BRV will be made for amount available in bank, but is lying unadjusted in NEAPS and reminders will be sent to company and listing compliance by IT Team via email as per below screenshot.

Pending Transaction								
Bill No	Symb ol	Instrume nt	Fees Type	Applicati on No.	Applicati on Type	Transacti on Amount / Bill Amount	Transacti on Date	Transacti on Create By
2017- 18/312 2	XYZ		Annu al			9662283.0 0	30-APR-18	LISTDBA

Received Amount				
UTR No.	Received Amount	Balance Amount	Received Date	Payment Mode
HDFCH12109362578	2500000.00	94000.00	01-NOV-18	NEFT/RTGS

Case 2- Processing Fees (Pre Allotment), Application Fees(Post Allotment) and MIS Entries(Application made by Listing Compliance)

Company Name	Serial No	Application Type	GL 5/23239	GL GST	GL 39393/23279	TDS	Fees Payable	Receipt from bank to be shown
ABC	9	Pre Allotment ADR	150000	27000	177000	3000	174000	300000
ABC	10	Post Allotment ADR	105000	18900	123900	10500	113400	
ABC	11	Right issue - Post allotment	991375	178448	1169822.5	99137.5	1070685	1080000
ABC	12	Right issue - Final	0	0	0	0	0	

Same procedure is to be followed as explained in Case-1.

How is it different from case 1? - Transactions is to be made by listing compliance for MIS entries since application is made by them and will have to provide virtual account number to applicant company for making payment in IDBI Bank Account.

Case 3- SMEIPO (In principle approval (processing fees), initial fees and annual fees)

Step 1- Company will fill in-principle application as below:

The screenshot displays the NSE Electronic Application Processing System (NEAPS) interface. The browser address bar shows the URL 172.17.6.251:2010/LISTING/. The page header includes the NSE logo and the text 'NSE's Electronic Application Processing System (NEAPS)'. The company name 'Sme Ipo Company' is displayed. The date and time are 1-Nov-2018 04:05:57 PM. The page is marked as 'UAT' (User Acceptance Testing). The main navigation bar includes links for HOME, ISSUE, REPORT, and HELP. The sub-navigation bar includes links for IPO Information, IPO, and Final Listing. The 'In Principle' link is highlighted. The 'In-principle Application' form is displayed, showing the 'Approved' status. The form includes sections for Company Details and Contact Person Details. The Company Details section includes fields for Company Name, Registered Office Address, CIN, and Corporate Office Address. The Contact Person Details section includes fields for Name, Email Id, Designation, and Mobile No.

In-principle Application			
Approved			
Company Details			
Company Name	Sme Ipo Company	CIN	U51909GJ1992PLC015694
Registered Office Address	kohinoor city mall MUMBAI-400070.	Corporate Office Address	.
Contact Person Details			
Name	Test Test	Designation	Company Secretary
Email Id	smulukh@nse.co.in	Mobile No	6551132132

Step 2- Fees will get calculated as below

172.17.6.251:2010/LISTING/ Welcome to NSE Electronic Appli x +

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Welcome Test MyProfile Logout

NSE NSE's Electronic Application Processing System (NEAPS)

Company: Sme Ipo Company

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HOME ISSUE REPORT HELP

In-principal Application

Approved

Back Previous Next

Company Details Checklist Details Promoter and Other G... Director Details Capital Structure/Ter... Fees Details Additional Attachment Letter Generation

Fees Details

Processing Fees	25000.00	GST 18%	4500.00	Fees Payable	29500.00
TDS Applicable	10%	TDS	2500.00		

Step 3: On clicking Bill Payment, payment gateway will get opened as below and its virtual account number will get flash in bank details which is made based on PAN Number of the company.

172.17.6.251:2010/LISTING/LF/getDataListjspBP?feesType=PF&fromModule=IPO&netAmount=25000.00&gst=4500.00&tds=2500.00&totalPayable=27000.00&appld=396&symbolId=15435&activityType= - Google Chrome

Not secure | 172.17.6.251:2010/LISTING/LF/getDataListjspBP?feesType=PF&fromModule=IPO&netAmount=25000.00&gst=4500.00&tds=2500.00&totalPayable=27000.00&appld=396&symbolId=15435&activityType=

Total Payable Amount 27000.00

RTGS/NEFT Online Payment

NEFT/RTGS Payment Details

Total Amount(Include GST) * 27000.00

RTGS/NEFT IFSC Code * (eg. XXXX11111111)

Bank Name *

Date of Deposit * 12-Nov-2018

Branch Location

Save Cancel [Click here to download RBI IFSC code](#)

RTGS/NEFT Help

USER GUIDE FOR REMITTING LISTING FEES THROUGH RTGS/NEFT

BANK DETAILS OF NATIONAL STOCK EXCHANGE OF INDIA LIMITED

Beneficiary Name	National Stock Exchange of India Limited
Bankers Name	IDBI Bank Ltd.
Bankers Complete Address	'G' Block, Plot no. C/7, Bandra-Kurla Complex, Bandra (E), Mumbai - 400051.
IDBI Bank RTGS/NEFT/IFSC Code	IBKL0001000
UNIQUE ACCOUNT CODE Number of listed company	VNSE00XYZKP1234L
Remarks	Please use the above mentioned UNIQUE ACCOUNT CODE assigned to you for transferring fund to NATIONAL STOCK EXCHANGE OF INDIA LIMITED.
Account Type	Current A/C
MICR No.	400259092
Proprietary Code	4000

Step 4: In the same way company will fill Initial and Annual Fees application and pay the fees as below:

Application path-

172.17.6.251:2010/LISTING/ x Welcome to NSE Electronic Appli x +

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NSE | NSE's Electronic Application Processing system (NEAPS)

Company: Sme Ipo Company

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HOME ISSUE REPORT HELP

T+4 To T+5 Application

5 Records/Page

Click Here Application Number Status T+5 Submitted Date Created By

No matching records found

Showing 0 to 0 of 0 entries (filtered from 4 total entries)

First Previous Next Last

Export to Csv

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172.17.6.251:2010/LISTING/newIPO/showInprincipleToRhpFormRHP?atnId=119&menId=846

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Fees Page-

172.17.6.251:2010/LISTING/ x Welcome to NSE Electronic Appli x +

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HOME ISSUE REPORT HELP

T+5 Application

Submit Delete Modify Back

Drafted

Checklist Details Reconciliation of Shares Fees Details Additional Attachment For System Use

Fees Details

Post Issue Paid Up Capital 250000000 Promoter consists of Govt of India ☐ Yes ☐ No Government of India post issue 2500000

Initial Listing Fees 25000.00 GST 18% 4500.00 Fees Payable 27000.00

TDS Applicable 10% TDS 2500.00

Final Listing Fees 45000.00 GST 18% 8100.00 Fees Payable 48600.00

TDS Applicable 10% TDS 4500.00

	Fees Type	Instrument Type	Cheque/DD No.	Cheque/DD Dated On	Drawn On Bank	UTR/Transaction number	Amount In Rupees
Initial Listing Fees	NEFT/RTGS		01-NOV-2018	ALLAHABAD BANK		27000.00	
Final Listing Fees	NEFT/RTGS		01-NOV-2018	ALLAHABAD BANK		48600.00	
Total Amount Paid:						75600.00	

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Pending Adjusted Transactions- On adjustment of receipt from bank with pending transaction as follows bills will get generated:

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HOME MASTER ISSUE COMPLIANCE MUTUAL FUND PAYMENT REPORT HELP DEBT Value Added Service

Pending Transaction

Symbol

SMEIPO

Total Pending Transaction Amount

102600.0

Company Name

Total Unadjusted Received Amount

106000.0

Company Details

Pending Transaction (Select pending transaction to be adjusted)

Bill No.	Instrument Type	Fees Type	Application No.	Application Type	Transaction Amount / Bill Amount	Transaction Date
<input checked="" type="checkbox"/>	VAS	PF	167	IPO	27000.00	24-OCT-18
<input type="checkbox"/>	VAS	IN		IPO	27000.00	01-NOV-18
<input type="checkbox"/>	VAS	AN		IPO	48600.00	01-NOV-18

Pending Unadjusted Amount (Select amount to be deducted from)

Account No.	UTR No.	Received Amount	Balance Amount	Received Date	Payment Mode	Amount to be utilized
<input checked="" type="checkbox"/> VNSE00XYZKP1234L	P12041101528459	27000.00	27000.00	02-NOV-18	NEFT	
<input type="checkbox"/> VNSE00XYZKP1234L	BARBH12117241440	79000.00	79000.00	02-NOV-18	NEFT	

Sum of amount entered

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