National Stock Exchange of India

Circular

Department: SURVEILLANCE	
Download Ref No: NSE/SURV/ 50158	**Date:** October 29, 2021
Circular Ref. No: 727/2021	

To All NSE Members

Sub: Monitoring of Foreign Investment limits in listed Indian companies

This is in continuation and partial modification of Exchange circular no. NSE/SURV/37906 dated June 04, 2018 pertaining to Monitoring of Foreign Investment limits in listed Indian companies.

Members may note that, Para C of the above circular has been modified and the penalty structure on trading members buying on behalf of their FPI/NRI clients in scrips where aggregate FPI/NRI/sector cap limit has been breached, has been revised as under:

Existing Penalty	Revised Penalty
0.10 % of the total value or Rs.10,000 whichever is lower per security	0.10 % of the total value or Rs.50,000/- whichever is higher per security

This circular shall be effective from December 01, 2021.

In case of any further queries, you may write to us at surveillance@nse.co.in or call on +91-22-2659 8129/66 or +91-44-6630 9949/93.

For National Stock Exchange of India Limited

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