

National Stock Exchange Of India Limited**Department : SURVEILLANCE**

Download Ref No: NSE / SURV / 45768

Date : September 21, 2020

Circular Ref. No: 563 / 2020

To All NSE Members

Sub: Surveillance Indicator – update (Un-solicited SMS indicator)

This is further to Exchange Circular no. NSE/SURV/39327 dated November 02, 2018 on Surveillance Indicator - Additional Surveillance Measure (ASM).

With effect from **October 12, 2020**, the additional values in field “SurvInd” in security.txt & nnf_security.dat file shall be introduced to indicate scrips in ‘Information scrip list’ / ‘Current watch list’.

The applicable surveillance indicators for securities under the respective list shall be as under:

Unsolicited SMS type	Surveillance Indicator
Information scrip list	30
Current watch list	31

Further, while placing an order on a security which is under Graded Surveillance Measure (GSM) /Additional Surveillance Measure (ASM) /IRP as per IBC/Unsolicited SMS, following alert message shall be displayed on NEAT/NEAT+ :

“Security is under Surveillance Measure / Un-solicited SMS (<relevant surveillance Indicator >), Would you like to continue? For surveillance indicator master refer NSE website path ‘Regulation> Surveillance actions > Consolidated list of Surveillance indicators”

For ready reference, the consolidated list of surveillance indicators assigned to securities under GSM / ASM / ASM-IBC/ Unsolicited SMS is attached as **Annexure I**.

In case of any further queries, members are requested to contact on 022-26598129/8166.

For National Stock Exchange of India Limited

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