

National Stock Exchange Of India Limited**Department : SURVEILLANCE**

Download Ref No: NSE / SURV / 39327

Date : November 02, 2018

Circular Ref. No: 309 / 2018

To All NSE Members

Sub: Surveillance Indicator - Additional Surveillance Measure (ASM)

This is further to Exchange Circular no. NSE/SURV/39265 dated October 27, 2018 on Additional Surveillance Measure (ASM).

With effect from **November 14, 2018**, the values in field “SurvInd” in security.txt & nnf_security.dat file shall indicate stages under Short-Term / Long Term ASM.

The applicable surveillance indicators for securities under various stages of ASM framework shall be as under:

ASM framework	Surveillance Indicator
Short Term ASM - Stage I	11
Short Term ASM - Stage II	12
Long Term ASM - Stage I	13
Long Term ASM - Stage II	14
Long Term ASM - Stage III	15
Long Term ASM - Stage IV	16

Further, while placing an order on a security which is under Graded Surveillance Measure (GSM) /Additional Surveillance Measure (ASM) /IRP as per IBC an alert message “Security is under Surveillance Measure / Insolvency Resolution Process, would you like to continue?” shall be displayed on NEAT/NEAT+.

For ready reference, the consolidated list of surveillance indicators assigned to securities under GSM / ASM / ASM-IBC is attached as **Annexure I**.

In case of any further queries, members are requested to contact on 022-26598129/8166.

For National Stock Exchange of India Limited

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