
NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : SURVEILLANCE	
Download Ref. No.: NSE/SURV/ 36130	Date: October 17, 2017
Circular Ref. No.:- 160 /2017	

To All NSE Members

Sub: Graded Surveillance Measure (GSM) – Updated list of companies

This has reference to circular download reference no. NSE/SURV/34262 dated February 23, 2017 on Introduction of Graded Surveillance Measure (GSM). In this regard, pursuant to SEBI directions, it has been decided that identification / review of securities for GSM shall be carried out on a quarterly basis instead of twice a year.

As per the provisions of aforementioned circular, review for identification of securities for placing in GSM Framework has been carried out and;

- a) Securities given in **Annexure I** have been identified for placing in GSM Framework and monitoring of these securities for action, shall be initiated w.e.f. October 30, 2017 (Monday).
- b) Securities given in **Annexure II** shall continue to remain in GSM framework with their applicable stages.
- c) Securities given in **Annexure III** shall move out of GSM Framework w.e.f. October 18, 2017 (Wednesday).

Market participants may note that GSM framework shall be in conjunction with all other prevailing surveillance measures being imposed by the Exchanges from time to time. Further, the amount of Additional Surveillance Deposit (ASD) collected on securities given in Annexure III shall be released on October 30, 2017.

Further, market participants please note that securities which are placed under GSM Stage VI based on SEBI direction vide circular download reference no. NSE/SURV/35548 dated August 07, 2017, shall continue to remain in GSM Stage VI until further directions.

Trading Members are advised to exercise additional due diligence while trading in these securities either on own account or on behalf of their clients.

In case of any further queries, members are requested to contact the following officials:

Mr. Sareesh Koroth (Extn: 23053), Mr. Siddhant Gupta (Extn: 22404) or Ms. Vibha Kallianpur (Extn: 25455); Direct No: 022-26598129/8166

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