

# National Stock Exchange of India Limited

## Circular

| Department: SECURITIES LENDING AND BORROWING MARKET |                         |
|-----------------------------------------------------|-------------------------|
| Download Ref No: NSE/SLBS/54685                     | Date: December 01, 2022 |
| Circular Ref. No: 25/2022                           |                         |

All Members,

Mock trading on Saturday, December 03, 2022 – New Version of NEATSLBM (1.2.5) - Update

The Exchange shall be conducting a mock trading session in the SLBM on Saturday, December 03, 2022 as per the following schedule:

Mock trading from Primary Site:

| Saturday, December 03, 2022 | Start Time | End Time  |
|-----------------------------|------------|-----------|
| Normal Market               | 11:15 hrs  | 14:00 hrs |
| Contingency Test Time       | 12:30 hrs  | 14:00 hrs |

| Saturday, December 03, 2022 | Start Time | End Time  |
|-----------------------------|------------|-----------|
| Re-Login Time               | 16:00 hrs  | 16:30 hrs |

The Exchange shall be releasing new version of NEATSLBM (1.2.5). The new version (1.2.5) shall be available for download from December 02, 2022 at 17:00 hours onwards on NSE Extranet path /slbftp/slbcommon/NEAT 1.2.5.

Login with the older version NEAT 1.2.4 shall be discontinued from next mock in January 2023.

Members are also requested to refer to Exchange circular download ref no NSE/SLBS/54273 dated November 02, 2022 regarding Trading System Password Policy. The same shall be available for testing in mock of December 03, 2022 and shall be effective from December 05, 2022 in LIVE.

For other important instructions regarding the mock trading, kindly refer to the following Annexure:

Annexure 1: Important instructions regarding mock trading session.

Annexure 2: Additional features- Self-Trade Prevention

Annexure 3: Pre-requisites / General guidelines for participating in the Mock environment.

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## National Stock Exchange of India Limited

For and on behalf of  
National Stock Exchange of India Limited

Khushal Shah  
Associate Vice President

| Toll Free No              | Email id                                         |
|---------------------------|--------------------------------------------------|
| 1800-266-00-50 (Option 1) | <a href="mailto:msm@nse.co.in">msm@nse.co.in</a> |

### Annexure – 1

#### Important Instructions regarding mock trading:

1. Refer to Exchange consolidated circular download ref no NSE/MSD/45703 dated September 15, 2020 for Interactive Connectivity Parameters.
2. Trades resulting from this session shall not attract any obligation in terms of funds and / or securities pay-in and/or pay-out. Members are requested not to transfer any data files for this session.
3. Kindly participate actively in the mock trading session from all trading software and re-login into live environment to check the connectivity and to avoid login problems on Monday, December 05, 2022.
4. Kindly refer to the website of NSE at [www.nseindia.com](http://www.nseindia.com) for any information which may be updated by the Exchange on the mock trading session.
5. With reference to Exchange circular NSE/ISC/51355 Dated February 18, 2022 issued by Investor Services Cell and updated from time to time, only valid and compliant UCC uploaded by members before cut-off time in UCC system and approved by Exchange shall be available for trading in the mock session. In case of any queries for status of UCC and/or reporting, members may connect with UCI team. ([uci@nse.co.in](mailto:uci@nse.co.in))
6. Unique Client Code (UCC) will not be validated for the Order entry during contingency time if any.
7. In case of any queries please call Toll Free no: 1800 266 0050 (Option 1).

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## Annexure – 2

### Additional features- Self-Trade Prevention

Error message for Self Trade Prevention:- For orders cancelled by the Exchange for self-trade prevention, an error message 'Order Cancelled by System - The order could have resulted in self trade' shall be displayed.

In partial modification to Exchange circular NSE/SLBS/54672 dated November 30, 2022, members are requested to note that Self-Trade Prevention mechanism provisions shall be effective from January 09, 2023 as per the circular no NCL/CMPT/54469 issued by NSE Clearing Limited. The same shall be available for testing in mock of January 2023.

## Annexure 3

### Pre-requisites / General guidelines for participating in the Mock environment

All members eligible to trade in SLBM in live market are enabled for participating in the mock trading sessions with their existing user ids, IP and Box Id mapping that of the live environment. Accordingly, members are requested to ensure the following:

1. You are able to successfully telnet the Exchange host from the IP you wish to participate.
2. The Box ID with appropriate messages has been created on the IP in the SLBM you wish to participate.
3. In case you wish to participate via Non-NEAT frontend (NNF) applications, kindly ensure that the User Id is of type dealer, is converted for NNF and is mapped with the IP.
4. Members are required to set appropriate branch and / user limits from their corporate manager terminal in the SLBM, prior to placing orders in the Mock.
5. Members are requested to send requests via email pertaining to following activities with the details of User ID and segment for Exchange action only for the purpose of Mock participation.
  - a. Pro enablement
  - b. CTCL conversion (only dealer ID can be converted)
  - c. Password Reset for Corporate Manager user id
  - d. Unlocking of Corporate Manager user id
6. Password Reset / Unlocking of all other types of user ids should be done from the corporate manager user id by the member in the SLBM.