

National Stock Exchange of India Limited

Circular

Department: SECURITIES LENDING AND BORROWING MARKET	
Download Ref No: NSE/SLBS/50296	Date: November 12, 2021
Circular Ref. No: 15/2021	

All Members,

Mock trading on Saturday, November 13, 2021 – New Version of NEATSLBM (1.2.2) - Update

The Exchange shall be conducting a mock trading session in the SLBM on Saturday, November 13, 2021 as per the following schedule:

Mock trading from Primary Site:

Saturday, November 13, 2021	Start Time	End Time
Normal Market	11:15 hrs	15:30 hrs
Contingency Test Time	13:00 hrs	14:00 hrs

Saturday, November 13, 2021	Start Time	End Time
Re-Login Time	18:30 hrs	19:00 hrs

The Exchange shall be releasing new version of NEATSLBM (1.2.2). The new version (1.2.2) shall be available for download from November 12, 2021 at 17:00 hours onwards on NSE Extranet path /slbftp/slbcommon/NEAT 1.2.2.

Login with the older version NEAT 1.2.1 shall be discontinued from December 04, 2021 (Mock).

For other important instructions regarding the mock trading, kindly refer to the following Annexure:

Annexure 1: Important instructions regarding mock trading session.

Annexure 2: Pre-requisites / General guidelines for participating in the Mock environment.

Annexure 3: Additional Features.

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For and on behalf of
National Stock Exchange of India Limited

Khushal Shah
Associate Vice President

Toll Free No	Email id
1800-266-00-50 (Option 1)	msm@nse.co.in

Annexure – 1

Important Instructions regarding mock trading:

1. Refer to Exchange consolidated circular download ref no NSE/MSD/45703 dated September 15, 2020 for Interactive Connectivity Parameters.
2. Trades resulting from this session shall not attract any obligation in terms of funds and / or securities pay-in and/or pay-out. Members are requested not to transfer any data files for this session.
3. Kindly participate actively in the mock trading session from all trading software and re-login into live environment to check the connectivity and to avoid login problems on Monday, November 15, 2021.
4. Kindly refer to the website of NSE at www.nseindia.com for any information which may be updated by the Exchange on the mock trading session.
5. In case of any queries please call Toll Free no: 1800 266 0050 (Option 1).

Annexure 2

Pre-requisites / General guidelines for participating in the Mock environment

All members eligible to trade in SLBM in live market are enabled for participating in the mock trading sessions with their existing user ids, IP and Box Id mapping that of the live environment. Accordingly, members are requested to ensure the following:

1. You are able to successfully telnet the Exchange host from the IP you wish to participate.
2. The Box ID with appropriate messages has been created on the IP in the SLBM you wish to participate.
3. In case you wish to participate via Non-NEAT frontend (NNF) applications, kindly ensure that the User Id is of type dealer, is converted for NNF and is mapped with the IP.
4. Members are required to set appropriate branch and / user limits from their corporate manager terminal in the SLBM, prior to placing orders in the Mock.
5. Members are requested to send requests via email pertaining to following activities with the details of User ID and segment for Exchange action only for the purpose of Mock participation.

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- a. Pro enablement
- b. CTCL conversion (only dealer ID can be converted)
- c. Password Reset for Corporate Manger user id
- d. Unlocking of Corporate Manager user id
6. Password Reset / Unlocking of all other types of user ids should be done from the corporate manger user id by the member in the SLBM.

Annexure 3

Additional Features

NEAT File upload facility in order limits dialog and SLB User Limit file from Extranet

1. A file upload functionality has been introduced to set Order limits for multiple users under a trading member -
 - i) Branch Order value limit - Buy limit and sell limit
 - ii) User Order value limit - Buy limit and sell limit
 - iii) Order Limit - Order value and Order Quantity

The input file format and details for bulk upload facility are available in help file in Neat under Branch order Value limit, User order value limit and Order Limit.

2. Members are requested to note that henceforth limits shall not be set as 'unlimited'. The option to set the limit as 'unlimited' is discontinued and not available in NEATSLBM (1.2.2). Further, trading members are strongly recommended to review and update their limits accordingly.
3. In case any limits set or remain as 'unlimited' and if the same is not modified as above by end of trading hours on December 03, 2021, Exchange shall reset such 'unlimited' limits to '0' effective from December 04, 2021.
4. Member are requested to refer SLBM_UL_<MemberCode>_ddmmyyyyn.csv report downloaded on a daily basis on path slbftp/S<MemberCode>/Reports/Order_Reports for set Branch, User and Order limits.

Structure of Order Limit Report				
Control Record Structure				
S. No	Field Name	Data Type	Max Length	Description
1	RECORD_TYPE	CHARACTER	2	Value = '10'
2	FILE NAME	CHARACTER	25	SLBM_UL_<MemberCode>_ddmmyyyyn.csv
3	MEMBER CODE	CHARACTER	5	Trading member code

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4	TOTAL NUMBER OF DETAIL RECORDS	NUMERIC		Total number of detail records
5	CHECK DIGIT	NUMERIC	1	Modules of number of detailed records present in generated file with divider 10 (Value = 0 – 9)
Detail Record Structure for Branch and User Limits (Second row onwards in the file):				
S. No	Field Name	Data Type	Max Length	Description
1	RECORD_TYPE	CHARACTER	2	Value = '20' - Detailed records for branch and user limits
2	USER TYPE	CHARACTER	2	'CM' - Corporate Manager 'BM' - Branch Manager 'DL' – Dealer (shall be blank for Branch level details)
3	BRANCH ID	NUMERIC	5	Branch Id
4	USER ID	NUMERIC	5	User Id (shall be blank for Branch level details)
5	NNF INDICATOR	CHARACTER	1	'Y' – NNF user 'N' – Neat User (shall be blank for Branch level details)
6	LIMIT TYPE	CHARACTER	5	'BBVL' - Branch Buy Value Limit 'BSVL' - Branch Sell Value Limit 'OVL' - Order Value Limit 'OQL' - Order Quantity Limit 'UBVL' - User Buy Value Limit 'USVL' - User Sell Value Limit
7	LIMIT	CHARACTER	25	Limit Values (Rs. Lacs) for e.g. 10 for 10 lakhs (Unlimited Value = -1)
8	FILLER 1	CHARACTER	5	Filler 1
9	FILLER 2	CHARACTER	16	Filler 2