

National Stock Exchange of India

Circular

Department: NMF TM SEGMENT	
Download Ref No: NSE/NMF/49692	Date: September 23, 2021
Circular Ref. No: 15/2021	

All Members,

Clarification with regards to transactions under Direct Plan in Mutual Fund units by the Mutual Fund Distributors, registered Investment Advisers, Portfolio Managers and Stockbrokers

This is with reference to SEBI circular no SEBI/IMD1/DoF-1/SK/2021/25517/1 dated Sep 06, 2021 regarding bringing the clarifications as per the aforesaid circular to the notice of All AMCs, MFD, including IAs/PMS/Brokers who have a distributor code /ARN, for necessary compliance.

A copy of SEBI letter dated September 06, 2021 is provided as Annexure 1.

AMFI has informed the exchange to convey the above information to the members of the platform.

Members are requested to take a note of the above and plan their operational activity accordingly.

For and on behalf of
National Stock Exchange of India Limited

Abhijeet Sontakke
Associate Vice President

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