

PART D REPORTS

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1. SUBSCRIPTION OBLIGATION REPORT (SOBG)

File Name - <Seg Code>_<Memcode>_SOBG_<ddmmyyyy>.csv

File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details -

Order Date

Settlement Date

Settlement Type

Settlement No

Trading Member Code

Client code

Depository ID

DP Client ID

Order number

Order Indicator ('S' for Subscription)

Symbol

Series

Amount (Rs.)

2. FINAL SUBSCRIPTION OBLIGATION REPORT (FOBG)

File Name - <Seg Code>_<Memcode>_FOBG_<ddmmyyyy>.csv

File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details -

Order Date

Settlement Date

Settlement Type

Settlement No

Trading Member Code

Client code

Depository ID

DP Client ID

Order number

Order Indicator ('S' for Subscription)

Symbol

Series

3. DAILY FUNDS REPORT (DFND)

File Name - <Seg Code>_<Memcode>_DFND_<ddmmyyyy>.csv

File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details -

Summary Txn No

Segment Indicator

Bank Txns Received Date

Transaction Code

Transaction Description

Debit/Credit

Due Amount (Rs.)

Amount Received (Rs.)

Short Amount (Rs.)

4. DELIVERIES REPORT (DLVR)

File Name - <Seg Code>_<Memcode>_DLVR_<STP type and no>_<ddmmyyyy>.csv

File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details -

Settlement No

Delivery Date

Security Symbol

Security Series

ISIN code

Quantity to be received (Electronic)

Quantity received (Electronic)

Order No

Client Code

5. SECURITY WISE DEMAT RECEIPT STATEMENT (DFRS)

File Name - <Seg Code>_<Memcode>_DFRS_<ddmmyyyy>.csv

File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details -

Settlement No

Security Symbol

Security Series

Security ISIN

Quantity Received

Depository ID (for which order is entered)

DP Client ID (for which order is entered)

Transaction No

6. CLIENT ALLOCATION DETAILS (CADT)

File Name – M_CADT_<memcode>_<DD-MMM-YYYY>.csv

File Location - M<Members Folder>/Reports

Header Record

Record Type (01)

File Type

Member Type

Member Code

Date (YYYYMMDD)

Total Number of Records

Total Quantity

Detailed Record (Security wise client level allocation)

Record Type (10)

Settlement Type

Settlement No

Delivery Type

Security Symbol

Security Series

Security ISIN

Quantity Received

Depository

Transaction No

Quantity Credited to CM Pool A/c / Clearing A/c

Quantity Credited to Beneficiary A/c

Detailed Record (Details of Direct Payout to Beneficiary Account)

Record Type (20)

Order No

Client code

Settlement Type

Settlement No

Delivery Type

Security Symbol

Security Series

Security ISIN

Depository

Beneficiary Account No

Quantity Requested to be credited in Beneficiary A/c

Quantity actually credited in Beneficiary A/c

Transaction No

Note: In case where the units are transferred to AMC Account for a particular order, the Quantity actually credited in Beneficiary A/c shall be zero.

7. SECURITY SHORTAGE REPORT

File Name - M_<memcode>_SHRT_SETTYPNO_DDMMYYYY.csv

File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details -

Order No

Client code

Settlement Type

Settlement No

Security Symbol

Security Series

Security ISIN

DELIVERING_MEMBER

Quantity_to_be_received

Quantity Received – *This will always be null.*

Short quantity

8. SECURITY SHORTAGE REPORT FINAL

File Name - M_<memcode>_SHRT_F_SETTYPNO_DDMMYYYY.csv

File Location- MFSS /M<Members Folder>/Reports/Dnld

File details -

Order No

Client code

Settlement Type

Settlement No

Security Symbol

Security Series

Security ISIN

DELIVERING_MEMBER

Quantity_to_be_received

Quantity Received – *This will always be null.*

Short quantity

9. SECURITY WISE DEMAT DELIVERY STATEMENT (DFDS)

File Name - <Seg Code>_<Memcode>_DFDS_<ddmmyyyy>.csv

File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details -

Settlement Type

Settlement No

Security Symbol

Security Series

Security ISIN

Client Code

Quantity Delivered

Depository ID (for which order is entered)

DP Client ID (for which order is entered)

Transaction No

10. FNDS REPORT

File Name - <Seg Code>_<Memcode>_FNDS_<STP type and no>_<ddmmyyyy>.csv

File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details -

Settlement Type

Settlement No

Delivery Date

Security Symbol

Security Series

ISIN code

Value to be received

Order No

Client Code

11. ALLOTMENT REPORT FOR ETF NFO/ FFO

File Name- <Seg Code>_<TM code>_NFOALLOT_<SETTYPNO>_<ddmmyyyy>_<nn>.txt

File Location- MFSS/M<Members Folder>/Reports/Dnld

File details -

Date of Report

Order No

Settlement Type

Settlement No

Allotment Mode

Order Date

Order Time

Scheme

Category

AMC code

AMC Scheme

Code

RTA Code

RT Scheme

Code

Scheme Symbol

Scheme Series

Scheme Option

Type

ISIN Code

Ordered

Quantity

Ordered Amount

Purchase Type

Member Code

Branch Code

Dealer Code

Folio Number

Payout

Mechanism

Application

Number

Client Code

Tax Status

Mode of Holding

Client Name

Depository

Name

DP Id



DP client Id
NAV for
allotment
Quantity allotted
Amount allotted
STAMP DUTY

12. REFUND REPORT FOR ETF NFO/ FFO

File Name - <Seg Code>_<TM code>_REFUND_<ddmmyyyy>_<nn>.txt

File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details

Date of Report
Order No
Settlement Type
Settlement No
Allotment Mode
Order Date
Order Time
Scheme Category
AMC code
AMC Scheme code
RTA Code
RTA Scheme code
Scheme Symbol
Scheme Series
Scheme Option Type
ISIN Code
Ordered Quantity
Ordered Amount
Purchase Type
Member Code
Branch Code
Dealer Code
Folio Number
Payout Mechanism
Application Number
Client Code
Tax Status



Mode of Holding
Client Name
Depository Name
DP Id
DP client Id
NAV for Allotment
Quantity allotted
Amount Refund
SIP Regd No
SIP Tranche No
participant dp id
participant beneficiary id
EUIN number

13. PROVISIONAL ORDER REJECTION REPORT (POR)

File Name - <Seg Code>_<Memcode>_POR_<ddmmyyyy>.csv
File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details-

Order number
Date
Symbol
Series
Category Code
AMC Code
AMC Scheme code
RTA Code
RTA Scheme code
Buy/Sell – R: Redemption, P: Subscription
TM Code
Amount (Rs) – 0: for redemption orders
Quantity – 0: for subscription orders
Rejection reason – As received from RTA

14. SUBSCRIPTION ALLOTMENT REPORT

Report Name - <Seg Code>_<member code>_ALLOT_<DDMMYYYY>_NN
File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details

Date of Report
Order No
Settlement Type



Settlement No
Allotment Mode
Order Date
Order Time
Scheme
AMC code
AMC Scheme Code
RTA Code
RT Scheme Code
Scheme Symbol
Scheme Series
Scheme Option Type
ISIN Code
Ordered Quantity
Ordered Amount
Purchase Type
Member Code
Branch Code
Dealer Code
Folio Number
Payout Mechanism
Application Number
Client Code
Tax Status
Mode of Holding
Client Name
Depository Name
DP Id
DP client Id
NAV for allotment
Quantity allotted
Amount allotted
SIP Regd No
SIP Tranche No
Participant DP Id
Participant Beneficiary Id
EUIN number
Stamp Duty Amount

15. SUBSCRIPTION ALLOTMENT REJECTION REPORT

Report Name - <Seg Code>_<member code>_ALLOT_REJ_<DDMMYYYY>_NN

File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details -

Date of Report

Order No

Settlement Type

Settlement No

Allotment Mode

Order Date

Order Time

Scheme Category

AMC code

AMC Scheme Code

RTA Code

RT Scheme Code

Scheme Symbol

Scheme Series

Scheme Option Type

ISIN Code

Ordered Quantity

Ordered Amount

Purchase Type

Member Code

Branch Code

Dealer Code

Folio Number

Payout Mechanism

Application Number

Client Code

Tax Status

Mode of Holding

Client Name

Rejection Reason

SIP Regd No

SIP Tranche No

EUIN number

16. REDEMPTION REPORT

Report Name - <Seg Code>_<member code>_REDEM_<DDMMYYYY>_NN

File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details -

Date of Report
Order No
Settlement Type
Settlement No
Allotment Mode
Order Date
Order Time
Scheme Category
AMC code
AMC Scheme Code
RTA Code
RT Scheme Code
Scheme Symbol
Scheme Series
Scheme Option Type
ISIN Code
Ordered Quantity
Ordered Amount
Purchase Type
Member Code
Branch Code
Dealer Code
Folio Number
Payout Mechanism
Application Number
Client Code
Tax Status
Mode of Holding
Client Name
Bank Name
Bank Account Type
Bank Account Number
NAV for redemption
Quantity redeemed
Amount redeemed



Entry/Exit Load
Security Transaction Tax
TDS
EUIN number

17. REDEMPTION REJECTION REPORT

Report Name - <Seg Code>_<member code>_REDEM_REJ_<DDMMYYYY>_NN
File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details -

Date of Report
Order No
Settlement Type
Settlement No
Allotment Mode
Order Date
Order Time
Scheme Category
AMC code
AMC Scheme Code
RTA Code
RT Scheme Code
Scheme Symbol
Scheme Series
Scheme Option Type
ISIN Code
Ordered Quantity
Ordered Amount
Purchase Type
Member Code
Branch Code
Dealer Code
Folio Number
Payout Mechanism
Application Number
Client Code
Tax Status
Mode of Holding
Client Name
Rejection Reason
EUIN number

18. PROVISIONAL ORDER REPORT

File Name - <Seg Code>_<Memcode>_ORD_PROV_<ddmmyyyy>.csv

File Location- MFSS/M<Members Folder>/Reports/Dnld

File details -

Order No
Settlement Type
Settlement No
Subscription/Redemption Flg
Allotment Mode
Order Date
Order Time
AMC Code
AMC Scheme Code
RTA Code
RTA Scheme Code
Scheme Category
Scheme Symbol
Scheme Series
Scheme Opt Type
ISIN Code
Qty
Amt
Purchase Type
Mem Code
Branch Code
Dealer Code
Folio No.
Payout Mechanism
Application No.
First Client Code
Tax Status
Mode of Holding
First Client Name
First Client PAN
First Client KYC Flag
Second Client Name
Second Client Pan
Second Client KYC Flag
Third Client Name
Third Client PAN
Third Client KYC Flag
Guardian Name



Guardian PAN
Depository Name
DP Id
DP client Id
Mobile Number
Bank Acct Type
Bank Acct No.
Bank Name
Bank Branch
Bank City
MICR Code
NEFT Code
RTGS Code
E-mail ID
Confirmation Flag
Rejection Reason
SIP Regd No
SIP Tranche No
EUIN number

19. FINAL ORDER REPORT

File Name - <Seg Code>_<Memcode>_ORD_FNL_<ddmmyyyy>.csv
File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details -

Settlement Type
Settlement No
Subscription/Redemption Flag
Allotment Mode
Order Date
Order Time
AMC Code
AMC Scheme Code
RTA Code
RTA Scheme Code
Scheme Category
Scheme Sym
Scheme Ser
Scheme Opt Type
ISIN Code
Qty



Amt
Purchase Type
Mem Code
Branch Code
Dealer Code
Folio No.
Payout Mechanism
Application No.
First Client Code
Tax Status
Mode of Holding
First Client Name
First Client PAN
First Client KYC Flag
Second Client Name
Second Client Pan
Second Client KYC Flag
Third Client Name
Third Client PAN
Third Client KYC Flag
Guardian Name
Guardian PAN
Depository Name
DP Id
DP client Id
Mobile Number
Bank Acct Type
Bank Acct No.
Bank Name
Bank Branch
Bank City
MICR Code
NEFT Code
RTGS Code
E-mail ID
Confirmation Flag
Rejection Reason
SIP Regd No
SIP Tranche No

20. SUBSCRIPTION FUNDS SETTLED REPORT

File Name - <Seg Code>_<Memcode>_CLI_FUNDS_<ddmmyyyy>.csv

File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details -

Order Date

Order No

AMC Code

AMC Scheme code

RTA Code

RTA Scheme code

Symbol

Series

Client code

Client Bank name

Client Bank Acc No

Value (Rs.)

Credit Confirm from Exchange Y/N

21. SUBSCRIPTION REFUND SETTLED REPORT

File Name - <Seg Code>_<Memcode>_CLI_REFUND_<ddmmyyyy>.csv

File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details -

Order Date

Order No

AMC Code

AMC Scheme code

RTA Code

RTA Scheme code

Symbol

Series

Client code

Client Bank name

Client Bank Acc No

Order Amount

Allotment Quantity

Refund Value(Rs.)

UTR

Transfer Date DDMMYYYY

Refund to Client by NCL Amount (Rs.)

Returned to AMC by NCL Amount (Rs.)

22. REDEMPTION FUNDS REPORT

File Name - <Seg Code>_<Memcode>_CLI_REDEMP_<ddmmyyyy>.csv

File Location- MFSS/ M<Members Folder>/Reports/Dnld

File details -

Order Date

Order No

AMC Code

AMC Scheme code

RTA Code

RTA Scheme code

Symbol

Series

Client code

Client Bank name

Client Bank Acc No

Redemption Amount

UTR

Transfer Date DDMMYYYY

Redemption Amount to Client by NCL Amount (Rs.)

Redemption Amount Returned to AMC by NCL Amount (Rs.)