

National Stock Exchange Of India Limited**DEPARTMENT: INVESTOR SERVICE CELL**

Download Ref No: NSE/ISC/63397

Date: August 13, 2024

Circular Ref. No: 42

To All Investors,

Sub: Final call for submission of pending documents for claim applications filed against Defaulter/Expelled Trading Members

The Exchange vide its Circular Ref. No. 04/2020 dated November 18,2020 and Circular Ref. No. 01/2021 dated February 17,2021 informed all the market participants regarding the Standard Operating Procedure (SOP) which inter alia covers procedures and timelines obtaining information from investors and processing of investor claims from IPF.

It has been observed that certain claims are still pending for processing on account of deficiency in documents and clarification sought from the claimant. To ensure that the claims are expeditiously processed, the Exchange had sent multiple reminders and calls to all the concerned claimants for submission of complete details.

Accordingly, all those claimants of attached list of defaulter/expelled members who are yet to rectify the deficiency and submit clarification for their claims are requested to submit the same within three months from the date of this circular (i.e November 13, 2024) failing which the claim shall be treated as closed.

The Concerned investors are requested to take note of the contents of the circular.

**For and on behalf of
National Stock Exchange of India Limited****Ramkumar Pandey
Chief Manager**

Encl: Annexure A

Toll Free No	Email id
1800 266 0050 (select option 5)	defaultisc@nse.co.in

Annexure A

Sr No.	Member Code	Members	Member Status	Defaulter date
1	11834	Amrapali Aadya Trading & Investment Pvt. Ltd.	Defaulter and Expelled	11-Oct-17
2	08137	Enrich Fin & Securities Ltd	Expelled	12-Jan-18
3	08769	CPR Capital Services Ltd	Expelled	5-Mar-18
4	12986	Ficus Securities Pvt Ltd	Defaulter and Expelled	5-Mar-18
5	11686	Wealth Mantra Ltd	Expelled	13-Apr-18
6	14673	F6 Finserve Private Limited	Defaulter and Expelled	13-Apr-18
7	09348	Prem Somani Share Brokers Pvt. Ltd.	Defaulter and Expelled	13-Aug-18
8	90013	Moneyflo Securities Pvt. Ltd	Expelled	24-Aug-18
9	11460	Guiness Securities Ltd	Defaulter and Expelled	6-May-19
10	13477	Raghukul Shares India Private Limited	Defaulter and Expelled	4-Nov-19
11	09033	Credential Stock Brokers Limited	Defaulter and Expelled	4-Nov-19
12	14331	Allied Financial Services Pvt Ltd	Defaulter and Expelled	4-Nov-19
13	12723	Fairwealth Securities Limited	Defaulter and Expelled	14-Jan-20
14	12331	Bma Wealth Creators Limited	Defaulter and Expelled	13-Feb-20
15	12763	Kaynet Finance Limited	Defaulter and Expelled	13-Feb-20
16	12889	Vrise Securities Private Limited	Defaulter and Expelled	13-Feb-20
17	14365	Ksbl Securities Limited	Defaulter and Expelled	28-Feb-20
18	08256	Quantum Global Securities Limited	Defaulter and Expelled	29-Apr-20
19	12829	Wellindia Securities Limited	Defaulter and Expelled	29-Apr-20
20	90038	Grovalue Securities Pvt Ltd.	Defaulter and Expelled	30-Apr-20

21	11402	Vineet Securities (P) Ltd.	Defaulter and Expelled	30-Apr-20
22	90042	Anee Securities Private Limited	Defaulter and Expelled	15-Sep-20
23	08775	Modex International Securities Ltd.	Defaulter and Expelled	15-Sep-20
24	07701	Karvy Stock Broking Ltd.	Defaulter and Expelled	23-Nov-20
25	11856	Anugrah Stock & Broking Pvt. Ltd.	Defaulter and Expelled	26-Nov-20
26	14290	Sumpoorna Portfolio Limited	Defaulter and Expelled	14-Dec-20
27	07492	Action Financial Services (India) Limited	Defaulter and Expelled	22-Jan-21