

**National Stock Exchange Of India Limited****Department: INVESTOR SERVICES CELL**

Download Ref No: NSE/ISC/63250

Date: August 05, 2024

Circular Ref. No: 40/2024

To All Members,

**Sub: Guidelines in pursuance of amendment to SEBI KYC (Know Your client) Registration Agency (KRA) Regulations, 2011**

This is further to the circular download reference no. NSE/ISC/63231 dated August 04, 2024 wherein, at point 4, it was mentioned that the Trading Members were required to report on a daily basis, the status of open positions at the end of the day (till complete square off), for the respective clients (where KRA is on hold).

Trading Members are requested to send the information in the below format via email at [uci@nse.co.in](mailto:uci@nse.co.in) by EOD on a daily basis upto August 7, 2024. It may be noted that the subject line of the mail should be "Status of reduction in open positions":

TM Code	TM Name	Client Code	PAN	Segment	Date	Complete Square off (Y/N)	Fresh position taken in contravention to the circular

Members are requested to take note and ensure compliance.

In case of any query kindly contact [uci@nse.co.in](mailto:uci@nse.co.in)

**For and on behalf of**  
**National Stock Exchange of India Limited**

**Shanti Idnani**  
**Associate Vice President**

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1800 266 0050 (select option 5)	<a href="mailto:uci@nse.co.in">uci@nse.co.in</a>