

National Stock Exchange Of India Limited**Department: INVESTOR SERVICES CELL**

Download Ref No: NSE/ISC/59983

December 27, 2023

Circular Ref. No: 53/2023

To All Members,

Subject: Trading Supported by Blocked Amount in Secondary Market – Revised file format UCC

This has reference to SEBI circular no. SEBI /HO/MRD/MRD-PoD-2/P/CIR/2023/99 dated June 23, 2023, and Exchange Circulars ref. no. NSE/INSP/57314 dated June 27, 2023, NSE/ISC/59004 dated October 18, 2023, and NSE/ISC/59712 dated December 11, 2023, regarding **Trading supported by Blocked Amount in Secondary Market**.

Based on representations from Trading Members, Exchange vide circular reference no. NSE/ISC/59911 dated December 23, 2023, had postponed the effective date for implementing the revised file format of UCC for uploading or modifying client details in the UCC system.

In the above-mentioned circular, it was also stated that Trading Members would be provided with a facility for uploading or modifying the new fields in the UCC module for the clients desirous of availing Trading supported by Blocked Amount in Secondary Market

While the Trading Members are required to upload all the UCC details as per the existing file format in the UCC database, in the event that for clients (Individual / HUF) intending to avail the Trading supported by Blocked Amount in Secondary Market facility, Trading Members are requested to provide additional fields related to the Bank account, as specified in the file format attached as **Annexure**. The specifications are as under:

- File format should be CSV.
- File Name: "<TMCODE>_UPI_DDMMYYYY_<NN>.csv" where NN stands for the file number.
- The file should contain the specified header.
- Bank account number should match with information provided in UCC database. Example **Bank Account No 1** from file should be same as **Bank Account No 1** in UCC database).
- It is required to be mailed at uci@nse.co.in with the subject line "UCC records for TSBA".
- The mail should reach us by 4 pm on trading days.
- Requests received post the said timeline shall be processed on the next trading day.

It may be noted that the additional Bank related information is mandatory for facilitating this process efficiently. Members are kindly requested to ensure the timely submission of these additional details for seamless processing of requests.

Trading Members may please note that old format of UCC for uploading or modifying client details in the UCC system would be discontinued w.e.f. **January 22, 2024**, and if appropriate changes are not carried out within this deadline, upload/amendment of UCC may get adversely impacted.

Members are hereby urged to carry out necessary UCC structural changes at their end at the earliest, but not later than **January 19, 2024**. Exchange would also be conducting familiarisation session for this new facility for Trading Members in January.

In case of any queries/clarifications, you may reach us on the following contact details.

**For and on behalf of
National Stock Exchange of India Limited**

**Shanti Idnani
Associate Vice President**

Toll Free No	Email id
1800 266 0050 (select option 5)	uci@nse.co.in