Circular

National Stock Exchange Of India Limited

| Department: INVESTOR SERVICES CELL | |
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| Download Ref No: NSE/ISC/59911 | Date: December 23, 2023 |
| Circular Ref. No: 51/2023 | |

To All Members,

**Subject: Trading Supported by Blocked Amount in Secondary Market- Revised file format UCC**

This has reference to SEBI circular no. SEBI /HO/MRD/MRD-PoD-2/P/CIR/2023/99 dated June 23, 2023, and Exchange Circulars ref. no. NSE/INSP/57314 dated June 27, 2023, NSE/ISC/59004 dated October 18, 2023, and NSE/ISC/59712 dated December 11, 2023, regarding "**Trading supported by Blocked Amount in Secondary Market**".

In the above-mentioned circulars, the revised UCC file format had been provided and the same was made available to Trading Members in the UAT environment for testing. Further, the Exchange vide its circular reference no. NSE/ISC/59712 dated December 11, 2023, had mentioned that the revised file format of UCC would be made "LIVE" with effect from December 26, 2023.

Based on representations from Trading Members, it has been decided that the effective date for implementing the revised file format of UCC for uploading or modifying client details in the UCC system is postponed to a further date which shall be communicated shortly. In the interim, for uploading or modifying UCC details for clients desirous of availing Trading supported by Blocked Amount in Secondary Market, Trading Members would be provided with a facility which shall be announced shortly. It may be noted that the effective date for the 'Unified Payments Interface (UPI) block mechanism' remains unchanged, i.e. January 1, 2024.

Trading Members are advised to take note and await further guidance on the same.

In case of any queries/clarifications, you may reach us on the following contact details.

**For and on behalf of**
**National Stock Exchange of India Limited**

**Shanti Idnani**
**Associate Vice President**

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