

National Stock Exchange Of India Limited**Department: INVESTOR SERVICES CELL**

Download Ref No: NSE/ISC/ 52463

Date: May 31, 2022

Circular Ref. No: 09/2022

To All Investors / Participants

Standard Operating Procedures (SOP) for dispute resolution under the Stock Exchange arbitration mechanism for disputes between a Listed Company and/or Registrars to an Issue and Share Transfer Agents (RTAs) and its Shareholder(s)/Investor(s)

SEBI vide circular no. SEBI/HO/CFD/SSEP/CIR/P/2022/48 dated April 08, 2022 advised the Exchange to put in place “Standard Operating Procedures (SOP) for dispute resolution available under the stock exchange arbitration mechanism for disputes between a listed company and its shareholder(s)/investor(s).”

Further, SEBI vide circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/76 dated May 30, 2022, issued the Standard Operating Procedure to be followed for dispute resolution through arbitration mechanism for disputes between a listed company and/or Registrars to an Issue and Share Transfer Agents (RTAs) and its shareholder(s)/ investor(s). The said circular is attached as **Annexure A**.

The copy of the Standard Operating Procedure for Arbitration Mechanism for disputes between a listed company and/or Registrars to an Issue and Share Transfer Agents (RTAs) and its shareholders/ investor(s) is also available on the Exchange Website (<https://www.nseindia.com/invest/about-arbitration>).

The provisions of this Circular shall come into force with effect from June 01, 2022.

This is for your information.

For and on behalf of
National Stock Exchange of India Limited

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