

National Stock Exchange Of India Limited**Department: INVESTOR SERVICES CELL**

Download Ref No: NSE/ISC/50597

Date: December 14, 2021

Circular Ref. No: 30/2021

To All Members and Participants

Sub: Publishing Investor Charter and disclosure of Investor Complaints by Stock Brokers on their websites

SEBI has issued Circular No. SEBI/HO/MIRSD/DOP/CIR/P/2021/676 dated December 02, 2021, regarding publishing Investor Charter and disclosure of Investor Complaints by Stock Brokers on their websites. The copy of the SEBI circular dated December 02, 2021, is attached as Annexure A.

Trading Members are advised to bring the Investor Charter to the notice of their clients (existing as well as new clients) by uploading the Investor Charter on their respective websites, making them available at prominent places in the office, providing a copy of the Investor Charter as a part of account opening kit to the clients, through e-mails / letters etc.

Additionally, Trading Members shall disclose the data on complaints received against them or against issues dealt by them and redressal thereof, latest by 7th of succeeding month on their website, in the format given in the attached SEBI circular.

The provisions of this circular shall come into effect from January 01, 2022.

Trading Members are requested to take note of the contents of the circular and comply accordingly.

**For and on behalf of
National Stock Exchange of India Limited**

**Dinaz Shroff
Chief Manager**

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