

NATIONAL STOCK EXCHANGE OF INDIA LIMITED**DEPARTMENT : INVESTOR SERVICES CELL**

Download Ref.No.: NSE/ISC/2017/35484

Date : July 28, 2017

Circular Ref.No.: 04/2017

To All Members,

Sub : Enhanced Supervision of Stock Brokers – “Upload of fund balance and securities balance”

This is further to circular NSE/ISC/35268 dated July 03, 2017 on enhanced supervision of stock brokers.

Based on the procedure involved for uploading balances, a user manual is enclosed as Annexure1 for the information of trading members. Further, based on the queries of trading members, FAQ has been prepared and is enclosed as Annexure2.

Accordingly, all members are requested to upload the data as on last trading day of the month within seven trading days of the subsequent month from July 31, 2017 onwards.

For and on behalf of
National Stock Exchange of India Limited

Sharlene Vaz
Senior Manager
Investor Service Cell

Encl: As above

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