

National Stock Exchange of India

Circular

Department: PRIMARY MARKET SEGMENT	
Download Ref No: NSE/IPO/52963	Date: July 13, 2022
Circular Ref. No: 21/2022	

All Participants

Update on SCSB bulk Upload file formats & Mock session related to Processing of ASBA applications in Public Issue of Equity Shares and Convertibles

This is in continuation and partial modification to Exchange circular 19/2022 (download Ref No: NSE/IPO/52731) dated June 24, 2022, and reference to SEBI vide Circular SEBI/HO/CFD/DIL2/P/CIR/2022/75 dated May 30, 2022, regarding Processing of ASBA applications in Public Issue of Equity Shares and Convertibles.

As per above mentioned SEBI circular and as a part of Phase I, the existing field Bank/Internal Reference number was renamed as **ASBA Blocking Reference Number** and had been made as a mandatory field at the time of bid entry through manual, bulk upload & API mode.

Considering the implementation timelines for above mentioned SEBI circular and as a part of Phase II, it is now decided that the ASBA Block Ref No shall **mandatorily start/prefix with- “D” for Direct ASBA and with “S” for Syndicate ASBA**, bids entered by Bank/SCSB user. No Bid shall be uploaded by the SCSBs without the ASBA Blocking Reference Number.

The ASBA Block Ref no can be upto 60 (alphanumeric) characters. Special characters shall be allowed except the following special characters:

Sr. No	Special Character Not Allowed	
1	Tilde	~
2	Comma	,
3	Pipe	
4	Ampersand	&
5	Percentage	%

In case of API mode, Exchange shall issue revised API document separately with additional remark for existing field reference number.

Kindly refer following annexures for changes in bulk upload files applicable for SCSB's/Banks:

Particulars	Annexures
Bank Input File & Modification/Cancellation file format for EQ IPO	1 & 2
DP/PAN Modification (T+1 Mismatch Session) EQ IPO	3
Uniform/Standardized Bulk Upload Format for EQ IPO	4
e-Form Generation and Bulk Upload Format for EQ IPO	5

For the SCSBs to get accustomed pursuant to the above changes, the Exchange shall be conducting mock session in UAT environment upto **29 July 2022**. The details of mock are provided as Annexure 6.

The above changes shall be effective in Live for all equity IPO's starting on or after **September 01, 2022**.

SCSBs are requested to take note of above changes and plan their preparedness accordingly.

**For and on behalf of
National Stock Exchange of India Limited**

**Abhijeet Sontakke
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Annexure 6 - Mock Parameters

Mock Session Details	
Mock Session	14-Jul-2022 to 29-Jul-2022
Bidding Timings	10.00 A.M. to 5.00 P.M.
Live Web URL	https://uat-ipo.nseindia.com/eipo/bidux/login.jsp

Mock Security Parameters	
Security Symbol	ABC
Series	EQ
Company Name	ABC Limited
Face Value	Rs.10 per Equity Share
Price Range for Mock	Rs.280 to Rs.300
Discount	Rs. 12 Per Equity Share for Eligible Employees
Lot size for Mock	Minimum 50 equity shares and in multiples thereof
Minimum Order Size for Mock	50 Equity Shares
Tick size	Re.1
Categories	FI, IC, MF, FII, OTH, CO, IND, NOH and EMP