

National Stock Exchange of India

Circular

Department: Investigation	
Download Ref No: NSE/INVG/65269	Date: November 27, 2024
Circular Ref. No: 408/2024	

To All NSE Members,

Sub: SEBI Directions in reference to SEBI order issued against Vivek Krishnamoorthy

This has reference to NSE Circular No. NSE/INVG/61641 dated April 18, 2024 in reference to SEBI Order no. QJA/AA/SRO/SRO/30278/2024-25 dated April 18, 2024 wherein, SEBI has restrained Mr. Vivek Krishnamoorthy (PAN: ATWPV1245M) from accessing the securities market, directly or indirectly and is prohibited from buying, selling or otherwise dealing in the securities market, directly or indirectly in any manner whatsoever, for a period of six months from the date of this order or till the expiry of six months from the date of completion of refunds to complainants/investors, whichever is later.

SEBI vide email dated November 27, 2024 has informed that Mr. Vivek Krishnamoorthy (PAN: ATWPV1245M) has submitted the compliance report on completion of refunds and six months has lapsed from the date of completion of refunds and directed to de-freeze the accounts of said entities.

Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page at the below mentioned link:

<https://www.nseindia.com/regulations/member-sebi-debarred-entities>

Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to email us at dl-invsg-all@nse.co.in

For and on behalf of
National Stock Exchange of India Limited

Sandesh Sawant
Senior Manager