

National Stock Exchange of India

Circular

Department: Investigation	
Download Ref No: NSE/INVG/64578	Date: October 15, 2024
Circular Ref. No: 398/2024	

To All NSE Members,

Sub: SEBI Corrigendum to Final Order dated 24.01.2022 in the matter of Unregistered Investment Advisory Services by Capital Exchange India

This has reference to NSE circular no. NSE/INVG/51124 dated January 10, 2020 in respect of SEBI Order No. WTM/MPB/IMD/ILO/100/2020 dated February 07, 2020 wherein SEBI has restrained below mentioned entity from accessing the securities market and are further prohibited from buying, selling, or otherwise dealing in securities, either directly or indirectly, whatsoever, for the period mentioned in SEBI order.

Sr. no.	Name of Entity	PAN
1.	Mr. Ankit Mishra	BBPPM7624F

SEBI vide Corrigendum no. WTM/KV/WRO/WRO/30866/2024-25 dated October 15, 2024 has informed that the Permanent Account Number (PAN) of Mr. Ankit Mishra (Noticee No.3) has inadvertently been mentioned as “BBPPM7624F”, instead of “DPPPM7624F” and directed that the PAN of Mr. Ankit Mishra (Noticee No.3) as appearing in the aforesaid order shall be read as “DPPPM7624F”.

The detailed order is available on SEBI website (<https://www.sebi.gov.in/enforcement.html>).

Further, the consolidated list of such entities is available on the Exchange website <http://www.nseindia.com> home page at the below mentioned link:

<https://www.nseindia.com/regulations/member-sebi-debarred-entities>

Members are advised to take note of the above and ensure compliance.



National Stock Exchange of India

In case of any further queries, members are requested to email us at dl-invsg-all@nse.co.in

**For and on behalf of
National Stock Exchange of India Limited**

**Sandesh Sawant
Senior Manager**

**Annexure: SEBI Corrigendum to Final Order dated 24.01.2022 in the matter of
Unregistered Investment Advisory Services by Capital Exchange
India**

**BEFORE THE SECURITIES AND EXCHANGE BOARD OF INDIA
KAMLESH C. VARSHNEY, WHOLE TIME MEMBER
CORRIGENDUM**

**CORRIGENDUM TO THE FINAL ORDER DATED JANUARY 24, 2022 BEARING
REFERENCE NUMBER WTM/AB/WRO/WRO/14742/2021-22 IN THE MATTER OF
UNREGISTERED INVESTMENT ADVISORY SERVICES BY CAPITAL EXCHANGE INDIA**

1. Securities and Exchange Board of India has passed Final Order dated January 24, 2022, bearing reference number WTM/AB/WRO /WRO/14742/2021-22 in the matter of Unregistered Investment Advisory Services by Capital Exchange India.
2. In the aforesaid final order, the Permanent Account Number (PAN) of Mr. Ankit Mishra (Noticee No.3) has inadvertently been mentioned as “BBPPM7624F”, instead of “DPPPM7624F”.
3. It is hereby directed that the PAN of Mr. Ankit Mishra (Noticee No.3) as appearing in the aforesaid order shall be read as “DPPPM7624F”. Accordingly, all the directions issued against Mr. Ankit Mishra (Noticee No.3) in the aforesaid order, shall apply to Mr. Ankit Mishra (Noticee No.3) having PAN “DPPPM7624F”.
4. The aforesaid Final Order shall always be read with this Corrigendum.
5. A copy of this corrigendum shall be served on the Stock Exchanges, the relevant Banks, Depositories, Registrar and Transfer Agents and Mr. Ankit Mishra (having PAN-DPPPM7624F) for their information and necessary action.

**DATE : October 15, 2024
PLACE: MUMBAI**

**Sd/-
KAMLESH C. VARSHNEY
WHOLE TIME MEMBER
SECURITIES AND EXCHANGE BOARD OF INDIA**