National Stock Exchange of India

Circular

Department: Investigation	
Download Ref No: NSE/INVG/63940	Date: September 13, 2024
Circular Ref. No: 377/2024	

To All NSE Members,

Sub: **SEBI directions in the matter of Unregistered Investment Advisory Services.**

This is with reference to NSE circular no. NSE/INVG/58968 dated October 16, 2023 in respect of SEBI Order No. WTM/AB/IVD/ID16/29420/2023-24 dated October 16, 2023, wherein SEBI has restrained below entities from buying, selling or dealing in the securities market or associating themselves with the securities market, directly or indirectly in any manner whatsoever, for a period of six months from the date of this order or till the expiry of six months from the date of completion of refunds to complainants/ investors as directed in paragraph 44(a) above, whichever is later.

Sr. no.	Name	PAN
1	Zenith Research Services	AABFZ3937C
2	Shri Preetam Singh Rajput	AQKPR3991H

Further, SEBI vide its email dated September 09, 2024 has approved to remove the debarment on Mr. Preetam Singh Rajput (PAN – AQKPR3991H) from accessing the securities market, directly or indirectly and from buying, selling or otherwise dealing in the securities market, directly or indirectly in any manner whatsoever.

The detailed order is available on SEBI website (https://www.sebi.gov.in/enforcement.html).

Further, the consolidated list of such entities is available on the Exchange website http://www.nseindia.com home page at the below mentioned link:

https://www.nseindia.com/regulations/member-sebi-debarred-entities

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Members are advised to take note of the above and ensure compliance.

In case of any further queries, members are requested to email us at dl-invsg-all@nse.co.in

For and on behalf of
National Stock Exchange of India Limited

Mihir Nisar
Manager